

# **ORTHOGONAL FREQUENCY DIVISION MULTIPLEXING (OFDM) TRANSCEIVER DESIGN**

**A DESIGN PROJECT REPORT**

**PRESENTED TO THE ENGINEERING DIVISION OF THE GRADUATE SCHOOL  
OF CORNELL UNIVERSITY**

**IN PARTIAL FULFILLMENT OF THE REQUIREMENTS FOR THE DEGREE OF  
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**by**

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# Abstract

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Design Project Report

**Project Title:** Orthogonal Frequency Division Multiplexing (OFDM) Transceiver

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## **Abstract:**

This project implements an Orthogonal Frequency Division Multiplexing (OFDM) transceiver in a hybrid Simulink/Verilog programming environment with timing and hardware resources consideration for an FPGA platform - Altera Cyclone II. The deliverable of the project is real-time processing MATLAB Simulink model of an OFDM transceiver with the core components implemented in hardware using hardware descriptive language Verilog.

My choice of the OFDM transceiver design project is motivated by the relevance of its realization for students who share interest in the communication field. The project provides opportunity to study outside the classroom a real-time processing software/hardware implementation of a complete digital communication system based on most commonly used in wireless technology modulation scheme - Orthogonal Frequency Division Multiplexing.

The orthogonality between overlapping subcarriers which is at the core of OFDM modulation requires a perfect synchronization. As a consequence, a more sophisticated synchronization mechanism than in the case of a single carrier modulation necessitates employment of fast implementations of various commonly used in a receiver design algorithms, such as CORDIC and Fast-Fourier Transform, which can be re-used in different transceiver's architectures.

Report Approved by

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## EXECUTIVE SUMMARY

This project involved design and development of an Orthogonal Frequency Division Multiplexing transceiver (OFDM) in a hybrid Simulink and Modelsim/Quartus environment for an FPGA platform - Altera Cyclone II. Orthogonal Frequency Division Multiplexing is the most commonly used multicarrier modulation scheme in wireless communication due to its robustness in frequency-selective channels. Advantages of Orthogonal Frequency Division Multiplexing, such as efficient spectrum utilization due to overlapping subcarriers, stem from the orthogonality principle between subcarriers which requires perfect timing and frequency synchronization. As a consequence, the main emphasis in the project was put on design and development of the synchronization mechanism.

The goal set forth for the project - development of a base software/hardware digital communication system, which can serve the purpose of an educational tool to supplement students' coursework in communication theory, is reflected in the aforementioned selection of the project 's technology and associated with it requirements.

Due to the fact that OFDM is an underlying technology of most modern wireless communication systems one can use and expand the project to study not only the OFDM system but also other wireless communication features. I developed synchronization mechanism based on a single master control counter and a state machine which can easily be expanded:

- to a larger number of OFDM subcarriers by adjusting a reference of the control signals to new master control counter values
- to include an additional feature by introducing an additional state in the state machine

Development of synchronization mechanism involved implementation of algorithms which are commonly used in a digital transceiver design, such as:

- CORDIC algorithm of linear and circular type in both rotation and vectoring modes
- Fast-Fourier Transform
- Quadrature digital synthesis without ROM look-up tables

I researched most-up-to-date hardware optimizations of the aforementioned algorithms and selected representatives which had the highest speed-to-hardware cost ratio. The implementation was done both in software (MATLAB) and hardware (Verilog HDL). I implemented a fast variation of CORDIC algorithm in rotation mode which eliminates a critical path from the rotation direction evaluation with a little hardware cost overhead - a major speed bottleneck in a standard form of CORDIC algorithm. My implementation of CORDIC algorithm in vectoring mode halves the number of iterations required for convergence by employing radix-4 modification to the standard CORDIC algorithm. I designed 64-point multiplierless Fast Fourier Transform unit which in standard implementation requires 49 nontrivial complex multiplications (49\*4 real multipliers). The Fast-Fourier Transform implementation also offers an outstanding speed performance (14 clock cycles in a serial output mode and 21 clock cycles in a parallel output mode). The OFDM transceiver is robust to synchronization errors and achieves error-free decoding in both ideal and moderate multipath channel conditions.

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# 1 DESIGN PROBLEM AND SYSTEM OF REQUIREMENTS

The deliverable of the project is a hybrid Simulink/Verilog model of an Orthogonal Frequency Division Multiplexing transceiver which is robust to synchronization errors. The transceiver should be able to correctly detect the start of an OFDM symbol and decode error-free data in spite of various non-idealities, such as frequency offset between transmitter and receiver's oscillators as well as superposition of multiple copies of the transmitted signal with various delays due to the multipath channel.

Initially, I intended to make my design exclusively in Quartus software – a synthesis tool for Cyclone II FPGA platform. However, during the course of the project I changed the deliverable of the project from the Verilog code developed exclusively for Cyclone II FPGA in Quartus software to a hybrid Simulink and Verilog model with timing and hardware resources consideration for Cyclone II FPGA. The motivation behind this change stemmed from the ultimate goal of the project - reusability of the project's materials as a study material and a base platform for further expansion for students interested in communication theory.

The new deliverable enables more transparent system's verification process and better reusability of the system for implementation in different FPGA platforms.

I developed all core components of the OFDM transceiver, such as Fast Fourier Transform unit, CORDIC-based de-rotator and rectangular-to-polar/polar-to-rectangular coordinates converters in hardware descriptive language - Verilog with timing and hardware resources constraints consideration for Cyclone II FPGA. First, I implemented a given algorithm in a sequential hardware and tested propagation delay between loading input registers and appearance of output signals at the output registers using timing analysis tool in Quartus software for Cyclone II FPGA. Based on the obtained propagation delay and a particular module's throughput requirement I divided the sequential hardware into pipeline stages.

Keeping in mind reusability premise of the project I coded hardware modules around a core notion of a particular algorithm - a notion of iteration in the case of CORDIC algorithm and multiplication by complex exponentials (twiddle factors) in the case of FFT. This way one can easily increase precision of my CORDIC algorithm implementation by merely instantiating additional iteration modules or increase throughput by grouping iterations modules into more pipeline stages. The core of FFT calculation control mechanism remains the same for higher FFT orders, the only modification concerns expansion of a set of complex exponential multiplication factors.

The only remaining components in a Simulink model of the system which were not coded in Verilog and instantiated as Verilog modules in the model are basic hardware components such as multipliers, accumulators and shift registers. This approach allows an easy mapping of the model to an FPGA platform of one's choice.

## **2 INTRODUCTION**

### **2.1 MULTICARRIER MODULATION**

Orthogonal Frequency Division Multiplexing (OFDM) is a multicarrier modulation technique.

Multicarrier transmission is a method devised to deal with frequency selective channels. In frequency selective channels different frequencies experience disparate degrees of fading. The problem of variation in fading levels among different frequency components is especially aggravated for high data rate systems due to the fact that in a typical single carrier transmission the occupied bandwidth is inversely proportional to the symbol period. The basic principle of multicarrier transmission is to translate high rate serial data stream into several slower parallel streams such that the channel on each of slow parallel streams can be considered flat. Parallel streams are modulated on subcarriers.

In addition to that, by making symbol period longer on parallel streams the effect of the delay spread of the multipath channel, namely inter-symbol interference (ISI), is greatly reduced. In multipath channels multiple copies of the transmitted signal with different delays, which depend on characteristics of the material from which the transmitted signal has been reflected, are received at the receiver. The delay spread of a channel is a measure of degree of multipath effect - it is equal to the difference between arrival times of the first and the last multipath components. Due to the fact the length of the symbol period of each parallel stream scales proportionally to the number of subcarriers used the percentage of overlap between two adjacent symbols due to delay spread and resulting from it inter-symbol interference (ISI) also decreases proportionally to the number of subcarriers.

### **2.2 ORTHOGONAL FREQUENCY DIVISION MULTIPLEXING (OFDM)**

#### ***2.2.1 ADVANTAGES OF ORTHOGONAL FREQUENCY DIVISION MULTIPLEXING (OFDM)***

In early multicarrier transmission systems subcarriers were non-overlapping to prevent inter-carrier interference which can greatly degrade performance of a system. Individual subcarriers were separated by guard bands which constituted wasted bandwidth.

The reason why Orthogonal Frequency Division Multiplexing (OFDM) has become the most popular technique of multicarrier transmission is that subcarriers overlap in frequency and

therefore bandwidth utilization increases by up to 50%. Overlapping subcarriers is allowed because in OFDM modulation subcarriers are orthogonal to each other. Moreover, OFDM modulation/demodulation takes form of inverse DFT/DFT which can be efficiently implemented in hardware using Fast-Fourier Transform algorithm.

### 2.2.2 OFDM MODULATION

In OFDM transmitter  $N$  complex-value source symbols  $X_k$   $k=0,1,\dots,N-1$ , which can come from any constellation, such as QPSK or QAM, are modulated onto  $N$  orthogonal subcarriers - inverse Fourier-Transform complex exponentials evaluated at subcarrier frequencies  $f_k$ :

$$x(t) = \sum_{k=0}^{N-1} X_k * e^{j2\pi f_k t} \quad (1)$$

In a digital transmitter  $t=nT_s$  where  $T_s$  is the sampling period. Subcarriers frequencies are uniformly distributed:

$$f_k = k * f_s \quad k=0,1, \dots, N-1 \quad (2)$$

Frequency spacing  $f_s$  is equal to  $\frac{1}{NT_s}$  in order to preserve orthogonality between subcarriers.

The final form of OFDM transmission takes a form of inverse-Fast-Fourier Transform:

$$x_n = x(nT_s) = \sum_{k=0}^{N-1} X_k * e^{j\frac{2\pi nk}{N}} \quad n=0,1,\dots,N-1 \quad (3)$$

$N$ -sample long  $x$  sequence is called OFDM symbol and its duration is equal to  $N * T_s$ .

### 2.2.3 OFDM DEMODULATION

ADC at the receiver receives an analog signal which is a result of convolution of the transmitted OFDM symbol  $x(t)$  with the channel impulse response plus noise:

$$r(t) = \int_{-\infty}^{\infty} x(t - \tau) * h(\tau, t) + n(t) \quad (1)$$

OFDM demodulation takes a form of Fast-Fourier Transform of the sampled received signal  $r(t)$  (after removal of  $N_g$  samples of the guard interval):

$$R_k = \sum_{n=0}^{N-1} r_n * e^{-j\frac{2\pi nk}{N}} \quad k=0,1,\dots,N-1 \quad (2)$$

Since inter-carrier interference (ICI) is avoided by maintaining orthogonality between subcarriers the channels ( $H_k$ 's) at subcarriers' frequencies can be treated independently and the demodulated OFDM symbol in a frequency domain can be written as:



$$R_k = H_k * X_k + N_k \quad (3)$$

After channel estimation which yields complex-valued channel attenuation factors  $H_k$  at each subcarrier's frequency the decoded k-th transmitted data symbol  $\hat{X}_k$  can be obtained through the following transformation:

$$\hat{X}_k = \text{QAM/QPSK de-mapper} \left( \frac{R_k}{H_k} \right)$$

#### **2.2.4 GUARD INTERVAL**

In order to eliminate inter-symbol interference (ISI) due to the multipath channel a guard interval is inserted at the beginning of each OFDM symbol in the OFDM transmitter. The length of the guard interval -  $N_g$  is set such it is greater than the delay spread of the channel. The guard interval is formed by copying last  $N_g$  samples of an OFDM symbol and appending them at the beginning of the same OFDM symbol.

The guard interval is made by design longer than the delay spread of the channel therefore within the symbol period only multiple copies of the same symbol are combined - no ISI occurs.

Due to the fact that I did not design my transceiver for a specific channel I used 802.11a length of the guard interval - 16 samples.

### **2.3 SYSTEM ARCHITECTURE**

The circuit diagram for the entire transceiver's structure is in Appendix Section 8.1 Figure 12.

#### **2.3.1 DESCRIPTION OF THE OFDM TRANSCEIVER'S COMPONENTS**

##### **2.3.1.1 COARSE SYNCHRONIZATION COMPONENTS**

Coarse synchronization is used to roughly estimate the start of an OFDM symbol and the fractional frequency offset (FCO) (for a detailed description of the coarse synchronization mechanism see Section 4.4.2). The coarse synchronization mechanism is the first processing unit in the receiver.

##### **COARSE SYMBOL TIMING OFFSET SYNCHRONIZATION COMPONENTS**

The chain of the following components in the circuit diagram is responsible for calculation of the timing offset metric which is used for the coarse symbol timing synchronization - determination

of the start of an OFDM symbol (please refer to eq. (4) in Section 4.2.1 for the timing offset metric expression):

- **16-element shift register**
- **bank of multipliers**
- **multi-operand adders**
- **Vectoring CORDIC unit**
- **TO multiplier**

The autocorrelation calculation embedded in the expression for the timing offset metric has a special form in which multiplication of the consecutive samples in the two halves of the 15-sample long autocorrelation window is replaced by the multiplication of the samples “going” back and forward in time with respect to the center sample in the autocorrelation window.

15-element shift register creates a perfect structure for this special form of the autocorrelation calculation (it consists of 15 elements because the preamble used for the coarse synchronization consists of 16-sample long “mini” preambles, as described in Section 4.3, and the calculation symmetry inherent in the timing offset metric expression requires an odd number of elements). The eight pairs of complex multiplication terms in the timing offset metric expression are tapped off from the shift register and fed into a bank of multipliers.

The bank of multipliers consists of four “mini” banks– each “mini” bank of 8 multipliers is responsible for calculation of one of the four cross-products of the real and the imaginary parts of the eight complex pairs. The resulting cross-product terms are summed up using multi-operand adders (one adder for the real part of the multiplication result and the other one for the imaginary part). The outputs of the adders are fed to the Vectoring CORDIC unit which calculates the modulus of the complex-valued timing offset metric (the Vectoring CORDIC unit is used as a rectangular-to-polar coordinate converter).

Next, the modulus of the timing offset metric is squared using TO multiplier to de-emphasize erroneous peaks in the autocorrelation function embedded in the timing offset metric expression.

### ***COARSE FRACTIONAL FREQUENCY OFFSET SYNCHRONIZATION COMPONENTS***

**Coarse FCO Metric Calculation Unit** – this unit calculates the coarse frequency offset metric (see eq. (2) in Section 4.2.1) using a serial multiplier/accumulator mechanism (for a description of the serial multiplier/accumulator mechanism see Section 4.4.2.2). The frequency offset metric is converted to the actual frequency offset value using the Vectoring CORDIC unit.

## **FINE SYNCHRONIZATION COMPONENTS**

Fine synchronization is used for fine-tuning the estimates of the synchronization errors (the symbol timing offset and the fractional frequency offset) obtained in the course synchronization stage. It is the second processing unit in the receiver.

### ***COMPONENTS COMMON FOR BOTH THE FINE SYMBOL TIMING OFFSET AND THE FINE FRACTIONAL FREQUENCY OFFSET SYNCHRONIZATION***

**De-rotator (Para-CORDIC)** – it de-rotates the received samples by the coarse frequency offset. Both the fine symbol timing offset synchronization and the fine frequency offset synchronization components use as a source of the received data samples the de-rotated samples produced by this unit. The de-rotator unit is based on CORDIC algorithm in rotation mode (for a detailed description of the algorithm and its hardware implementation, called Para-CORDIC, see Section 3.2.4).

### ***FINE SYMBOL TIMING OFFSET SYNCHRONIZATION COMPONENTS***

**Cross-correlator** – this unit calculates the cross-correlation between the received de-rotated samples of the second preamble (Preamble 2) and the reference Preamble 2 samples stored in a look-up table (for a description of the Preamble 2 structure see Section 4.3). The cross-correlation is calculated for an 11-delay window around the start of an OFDM symbol determined by the coarse symbol timing offset synchronization. The maximum of the cross-correlation values (one value for one delay in the cross-correlation window) determines the start of an OFDM symbol for decoding purposes.

### ***FINE FRACTIONAL FREQUENCY OFFSET SYNCHRONIZATION COMPONENTS***

**Fine FCO Metric Calculation Unit** – this unit calculates the coarse frequency offset metric for each delay in the cross-correlation window. Similar to the coarse synchronization counterpart it uses a serial multiplier/accumulator mechanism and its output is converted to the actual frequency offset value using the Vectoring CORDIC unit.

## **CHANNEL ESTIMATION**

Channel estimation is used to estimate complex-valued frequency attenuation factors at all subcarriers' frequencies from the FFT of the received pilot samples and the reference pilot samples (for a detailed description of the channel estimation mechanism see Section 4.4.4). The obtained attenuation factors are used to equalize the data symbols using an equalizer.

### ***CHANNEL ESTIMATION COMPONENTS***

**Channel Frequency Response Register** – this unit consists of a shift register storing the complex-valued frequency attenuation factors in polar coordinates for all subcarriers' frequencies.

**Pilot Look-up Table** – a look-up table used to store the reference samples of the pilot symbol.

### ***COMPONENTS COMMON FOR BOTH CHANNEL ESTIMATION AND EQUALIZATION/DATA DECODING***

**FFT64** – 64-point Fast-Fourier Transform unit used to demodulate OFDM symbols (see eq. (2) in Section 2.2.3). An identical unit is used to do OFDM modulation of the source symbols in the transmitter (see eq. (1) in Section 2.2.2)

**Polar-to-Rectangular Coordinate Converter** – channel estimation and equalization are based on a division operation of complex numbers in polar coordinates. The results obtained in these processing units have to be converted back to rectangular coordinates for 16-QAM de-mapper.

**Division Unit** – this unit calculates division of the modulus of the FFT of the received pilot sample by the modulus of the reference pilot sample in the channel estimation processing unit and the modulus of the FFT of the received data sample by the modulus of the channel frequency response in the equalization/data decoding processing unit. The division operation is implemented using CORDIC algorithm in vectoring mode of linear type (for a detailed description of the algorithm and its hardware implementation see Section 3.2.3)

## **EQUALIZATION/DATA DECODING**

An equalizer is used for compensation of the received sample by the complex-valued frequency attenuation factor at a given subcarrier's frequency (for a detailed description of equalization/data decoding mechanism see Section 4.4.5). The equalizer is implemented using the division unit.

### ***EQUALIZATION/DATA DECODING COMPONENTS***

**16-QAM De-mapper** – this unit maps the received data samples to one of the sixteen 16-QAM constellation points.

## **3 ALGORITHMS USED IN THE OFDM TRANSCEIVER'S DESIGN**

### **3.1 FAST-FOURIER TRANSFORM**

#### ***3.1.1 ALTERNATIVE SOLUTIONS AND DESIGN CHOICE***

As a specification reference for my OFDM transceiver's parameters I used 802.11a modem standard. In 802.11a standard 64-point Fast Fourier Transform processor is used to implement OFDM modulation and demodulation.

I did an extensive research on efficient hardware implementation of Fast Fourier Transform algorithm from which I concluded that the most crucial design aspect of the hardware implementation of Fast Fourier Transform is a process of performing multiplication by complex exponential factors, so called twiddle factors.

### Fast-Fourier Transform Design Choice

Alternative Solutions	Justification of Selection Decision
<p>One can group available solutions to the "twiddle factors" multiplication problem into :</p> <ul style="list-style-type: none"> <li>• a brute force method by a regular multiplication</li> <li>• CORDIC algorithm based multiplication</li> <li>• hardwired multiplication using Carry-Save Adder Trees and Canonical Signed-Digit representation of twiddle factors</li> </ul>	<p>In the preliminary hardware cost analysis I ruled out the first option due to the fact that it is the most hardware resources expensive - each of 49 nontrivial multiplications for 64-point FFT requires 4 real multipliers - not viable solution due to lack of resources on the target Altera FPGA.</p> <p>CORDIC algorithm is an attractive solution due to its inherent efficient mechanism for performing a multiplication by a complex exponential. In addition to that, CORDIC-based multiplication architecture has a very regular structure therefore it is easily scalable (there is no difference in multiplication process for different sets of twiddle factors). However, in order to minimize latency multiple CORDIC modules have to be instantiated.</p> <p>Hardwired multiplication using Carry-Save Adder Trees and Canonical Signed-Digit representation of the twiddle factors is very hardware efficient method to perform multiplication because it only uses adders and shifters which are fast hardware components. The reason why I chose the algorithm based on the hardwired multiplication over CORDIC algorithm is because for the desired FFT order of 64 there are only 8 sets of unique constants which, with conditional interchange and negation operations, can perform all 49 nontrivial complex multiplications. This solution offers the best speed performance and great hardware savings as compared to CORDIC algorithm based alternative. CORDIC--based architecture would require multiple CORDIC modules to achieve the same latency.</p>

### 3.1.2 ALGORITHM DESCRIPTION

The basis for my Fast-Fourier Transform implementation is Cooley-Tukey algorithm. The reason why Cooley-Tukey algorithm was a perfect fit for my design specification (the need for both FFT and inverse FFT units imposes the largest constraint on hardware resources usage among all units in the OFDM transceiver) is that a specific form of this algorithm directly maps itself onto a very compact hardware implementation.

The characteristic feature of Cooley-Tukey algorithm is re-mapping of time and frequency indices.

Time	Standard FFT algorithm	Cooley-Tukey FFT Algorithm (N - FFT order)
Time index	n	$n1 * \sqrt{N} + n2$
Frequency index	k	$k1 + \sqrt{N} * k2$

Table 1: Cooley-Tukey Algorithm time and frequency indices re-mapping

#### 3.1.2.1 Cooley-Tukey FFT algorithm

$$\begin{aligned}
 X[k1 + \sqrt{N} * k2] &= \sum_{n2=0}^{\sqrt{N}-1} \sum_{n1=0}^{\sqrt{N}-1} x[n1 * \sqrt{N} + n2] * W_N^{(k1 + \frac{\sqrt{N}}{2} * k2)(n1 * \frac{\sqrt{N}}{2} + n2)} = \\
 &= \sum_{n2=0}^{\sqrt{N}-1} \sum_{n1=0}^{\sqrt{N}-1} x[n1 * \sqrt{N} + n2] * W_N^{(k1 * n1 * \frac{\sqrt{N}}{2})} W_N^{(k1 * n2)} W_N^{(\frac{\sqrt{N}^2}{2} * k2 * n1)} W_N^{(\frac{\sqrt{N}}{2} * k2 * n2)} \\
 W_N^{(k1 * n1 * \frac{\sqrt{N}}{2})} &= e^{-j2\pi i(k2 * n1)/\sqrt{N}} = W_{\sqrt{N}}^{(k1 * n1)} \quad W_N^{(\frac{\sqrt{N}^2}{2} * k2 * n1)} = e^{-j2\pi i(k2 * n1)} = 1 \quad W_N^{(\frac{\sqrt{N}}{2} * k2 * n2)} = e^{-j2\pi i(k2 * n2)/\sqrt{N}} = W_{\sqrt{N}}^{(k2 * n2)} \\
 X[k1 + \sqrt{N} * k2] &= \sum_{n2=0}^{\sqrt{N}-1} W_{\sqrt{N}}^{(k2 * n2)} * \underbrace{(W_N^{(k1 * n2)} \sum_{n1=0}^{\sqrt{N}-1} x[n1 * \sqrt{N} + n2] * W_{\sqrt{N}}^{(k1 * n1)})}_{\substack{\text{twiddle factors} \\ \sqrt{N}\text{-point FFT for a given } n2}} \\
 &\quad \underbrace{\phantom{X[k1 + \sqrt{N} * k2]}}_{\sqrt{N}\text{-point FFT}}
 \end{aligned}$$

As can be seen from the final expression for Cooley-Tukey algorithm, by using a technique of time and frequency indices re-mapping 64-point FFT is split into two 8-point FFTs:

- the inner 8-point FFT with n1 time index calculated for a specific value of n2. Through the dependence on n2 value the inner 8-point FFT is embedded in the outer 8-point FFT
- the outer 8-point FFT with n2 time index

### 3.1.3 HARDWARE IMPLEMENTATION

#### 3.1.3.1 Overall architecture

In the design of the Fast-Fourier Transform unit I used ideas presented in [6].

The expression for Cooley-Tukey algorithm maps directly into the following hardware implementation:

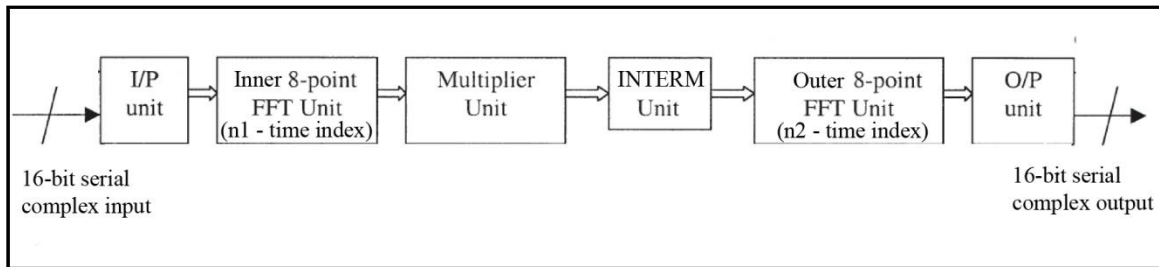


Figure 1: Fast-Fourier Transform unit circuit diagram.

#### FFT hardware units:

- **I/P (input) unit** - consist of a bank of 57 registers for both the real and the imaginary parts of the serial input. At each clock cycle a serial data is shifted in at 56th position register (counting from 0) and the data in the rest 56 registers is shifted down one register at a time. The registers at 0, 8, 16, 24, 32, 40, 48 and 56th positions are tapped off to produce  $x[n1 * \sqrt{8} + n2]$  samples for the inner 8-point FFT unit (where  $n1=0,1,2.. 7$ ).
- **Inner 8-point FFT unit** -  $n1$  is a time index calculated for a specific  $n2$  value
- **Multiplier unit** - multiplication of the inner 8-point FFT outputs by the twiddle factors
- **INTERM Unit** - an intermediate storage unit. It consists of a bank of 64 registers for both the real and the imaginary parts of data coming from the multiplier unit. Its function is to collect all 64 inner 8-point FFT outputs multiplied by the twiddle factors for all eight  $n2$  values. Similarly to I/P unit register bank 0, 8, 16, 24, 32, 40, 48 and 56th positions are tapped off to produce the input to the outer 8-point FFT
- **Outer 8-point FFT unit** -  $n2$  is a time index
- **O/P (output) unit** - consists of a bank of 57 registers, similar to I/P unit. During the eight clock cycles of the 8-point outer FFT unit operation 64-point FFT samples are shifted in from the outer 8-point FFT at 0, 8, 16, 24, 32, 40, 48 and 56th positions. At each clock cycle data is shifted down one register at a time in the register bank and the serial out data is shifted out from the 0th position register.

### 3.1.3.2 Multiplication by the twiddle factors

64-point Fast Fourier Transform requires 49 nontrivial complex multiplications. However, there are only eight unique sets of complex constants which allow to compute all 49 multiplications with conditional interchange and negation operations. This important observation combined with the representation of each constant in Canonical Signed-Digit representation (binary weights  $\in \{-1, 0, 1\}$ ) leads to a multiplierless solution to the twiddle factor multiplication problem.

Canonical Signed-Digit (CSD) representation of a number is a linear combination of  $2^{-i}$  terms which in binary arithmetic are just shift operations. Therefore multiplication of a number by a constant represented in CSD can be realized with only adders and shifters. Due to the fact that multiplication by a constant factor realized through a linear combination of shifted copies of an input signal involves addition of multiple operands I decided to implement addition operation using Carry-Save Adder Tree to minimize latency associated with addition of a chain of operands.

Constant	Real part	Imaginary part	Real part in CSD	Imaginary part in CSD
C1	0.9951847	0.0980171	$1-2^{-8}-2^{-10}+2^{-14}$	$2^{-4}+2^{-5}+2^{-8}+2^{-12}+2^{-14}$
C2	0.9807853	0.1950903	$1-2^{-6}-2^{-8}+2^{-12}+2^{-14}$	$2^{-3}+2^{-4}+2^{-7}-2^{-12}$
C3	0.9569403	0.2902847	$1-2^{-5}-2^{-7}-2^{-8}-2^{-13}$	$2^{-2}+2^{-5}+2^{-7}-2^{-10}+2^{-12}$
C4	0.9238795	0.3826834	$1-2^{-4}-2^{-7}-2^{-8}-2^{-9}$	$2^{-2}+2^{-3}+2^{-7}-2^{-13}+2^{-14}$
C5	0.8819213	0.4713967	$1-2^{-3}-2^{-7}-2^{-10}-2^{-14}$	$2^{-1}-2^{-5}+2^{-9}+2^{-11}+2^{-12}+2^{-14}$
C6	0.8314696	0.5555702	$1-2^{-3}-2^{-5}-2^{-6}+2^{-8}-2^{-11}-2^{-13}$	$2^{-1}+2^{-4}-2^{-7}+2^{-10}-2^{-13}$
C7	0.7730105	0.6343933	$1-2^{-2}+2^{-6}+2^{-7}-2^{-11}+2^{-14}$	$2^{-1}+2^{-3}+2^{-7}+2^{-10}+2^{-11}+2^{-14}$
C8	0.7071068	0.7071068	$2^{-1}+2^{-3}+2^{-4}+2^{-6}+2^{-8}+2^{-14}$	$2^{-1}+2^{-3}+2^{-4}+2^{-6}+2^{-8}+2^{-14}$

Table 2: The set of eight unique complex constants used to compute all 49 complex multiplications by the twiddle factors.

Time Instant	First 8-point FFT number (=INTERM Counter value)	Frequency index of the first 8-point FFT CONSTANT USAGE FORMAT (negate(N)/swap(S) real and imaginary parts/real part sign/imaginary part sign/constant number)							
		0	1	2	3	4	5	6	7
0	0th	Trivial (1)	Trivial (1)	Trivial (1)	Trivial (1)	Trivial (1)	Trivial (1)	Trivial (1)	Trivial (1)
1	1st	Trivial (1)	N/+/-/1	N/+/-/2	N/+/-/3	N/+/-/4	N/+/-/5	N/+/-/6	N/+/-/7
2	2nd	Trivial (1)	N/+/-/2	N/+/-/4	N/+/-/6	N/+/-/8			
3	2nd	Trivial (1)					S/-/+6	S/-/+4	S/-/+2
4	3rd	Trivial (1)	N/+/-/3	N/+/-/6	S/+/-/7	S/-/+4	S/-/+1	S/-/-/2	S/-/-/5
5	4th	Trivial (1)	N/+/-/4	N/+/-/8		Trivial (-j)			



6	4th	Trivial (1)			S/-/+4			N/-/-8	
7	4th	Trivial (1)					S/-/-4		
8	4th	Trivial (1)						N/-/-4	
9	5th	Trivial (1)	N/+/-/5	S/-/+6	S/-/+1	S/-/-4	N/-/-7	N/-/-2	N/-/+3
10	6th	Trivial (1)	N/+/-/6	S/-/+4	S/-/-2	N/-/-8			
11	6th	Trivial (1)					N/-/-2	N/-/+4	S/+/-/6
12	7th	Trivial (1)	N/+/-/7	S/-/+2	S/-/-5	N/-/-4	N/- /+3	S/+/-/6	S/+/+1

Table 3: Utilization of the constants during all 49 complex multiplications by the twiddle factors.

### 3.1.3.3 8-point FFT implementation

8-point FFT unit has been implemented using decimation-in-time (DIT) Fast Fourier Transform algorithm. Multiplication by the non-unity twiddle factors  $-\frac{+/-1+/-j}{\sqrt{2}}$  of the intermediate results in the butterfly architecture of DIT 8-point FFT algorithm has been implemented using Carry Save Tree Adder and Canonical Signed-Digit representation of these factors. This approach led to a fast multiplierless implementation which computes 8-point FFT in the same clock cycle.

### 3.1.3.4 FFT control

The entire operation of FFT unit is governed by three counters:

- **MSCounter** – master control counter. Majority of control signals which "orchestrate" disparate FFT units and their cooperation is generated from this counter.
- **IUCounter** - input unit counter
- **INTERMCounter** - intermediate storage counter
- **OPCounter** - output unit counter

The start of FFT unit operation is signaled by *start\_fft* input. *Start\_fft* can be set high either during a clock cycle for which one wants to start FFT calculation or can stay high afterwards because internally there is generated a one-cycle long in duration signal - *data\_start* to enable serial data input mechanism for the FFT unit.

At the positive clock edge when *data\_start* is high the first serial input is shifted into the input register bank (at 56th position) and *IUCounter* is reset.

At 56th IUCounter count all registers in the input register bank are filled and first 8-point FFT is calculated. The count of *INTERMCounter* corresponds to *n2* value in Cooley-Tukey FFT

expression hence it specifies the number of currently being processed inner 8-point FFT and coordinates a shifting in process in the intermediate storage register bank according to  $n2$  value. Because of that *INTERMCounter* has to be reset at 56th count of *IUCounter*. In order to centralize control around *MSCounter* *MSCounter* is enabled by *start\_count* control signal two cycles before the first 8-point FFT is computed (when *IUCounter*=54). This way the reset of *INTERMCounter* can be evoked through the main control counter.

As can be seen in Table 3., the constants 2,4 and 6 are reused two times for the 2nd and the 6th inner FFT and the constant 4 is reused 4 times. Therefore shifting down in the input register bank and an update of the intermediate storage bank are suspended for one cycle for the 2nd and the 6th inner FFT and for three clock cycles for the 4th inner FFT by means of *suspend\_shift* and *suspend\_INTERMCounter* control signals.

There are three temporary registers inside the input unit designed specifically to hold input data during suspension of the shifting mechanism. Every clock cycle a serial input data is shifted into temporary register 1. The existing data stored in the temporary registers is shifted down one register at a time until all three temporary registers hold the last input data samples. At this point shifting in and down operations in the temporary registers are suspended through *suspend\_tempregUpdate* signal.

Utilization of temporary registers during suspension													
Inner FFT number	0	2	2	2	3	4	4	4	4	5	6	6	7
Serial input data sample number (range: 0-63 )	57	58	59	60	61	62	63	...	...	...	...	...	...
Temporary register 1	56	57	58	59	60	61	62	63	63	63	63	63	63
Temporary Register 2	55	56	57	58	59	60	61	62	62	62	62	62	62
Temporary register 3	54	55	56	56	58	59	60	61	61	61	61	61	61
Input register 56	56	57	58	58	59	60	60	60	60	61	62	62	63
Suspend_shift													
suspend_tempregUpdate													

Table 4: The bordered fields indicate which temporary register is used as a source of the input data for the 56th position in the input register bank after release of suspension. The red shading indicates active status of the suspension control signals. As can be seen in the table no input data is lost during suspension of the shifting mechanism.

Once the last (8th) inner FFT computation is finished on the next clock cycle all inner FFT outputs, which have been multiplied by the twiddle factors, are available in the intermediate storage register bank for the outer FFT unit. From this point on the outer 8-point FFT begins its operations.

For the next 8 clock cycles during which all 8 outer FFTs are calculated shifting down in the intermediate register bank is enabled (*enable\_INTERMshift*) in order to provide correct input data to the outer 8-point FFT unit.

Due to the fact that the 8-point FFT unit produces its result in the same clock cycle with a stable logical value before the negative clock edge an update of the output register bank's 0, 8, 16, 24, 32, 40, 48 and 56th positions is done on the negative edge in the same clock cycle. The update takes place during 8 cycles of the outer FFT unit operation (it is enabled via *enable\_OPUpdate* control signal).

The reason why the output register bank is updated on the negative clock edge is that it allows to produce a serial output right at the next positive edge without introducing additional delay. This approach cuts the serial output latency in half because instead of using one clock cycle for an update and one cycle for shifting out of the FFT data only one cycle is used for both operations.

The serial output of FFT samples is controlled by *OPcounter* which value corresponds to the FFT sample number currently being output.

*OPcounter* is reset in the same clock cycle as the first outer 8-point FFT and counts up to 63 (total of 64 FFT samples).

The serial output of the 64-point FFT samples continues as long as *enable\_serialout* stays high.

*Enable\_serialout* signal stays high for 64 cycles starting from the first outer FFT unless the FFT unit is continuously active in which case it stays high until the last batch of 64 data FFT samples are output.

### 3.1.3.5 INVERSE FAST FOURIER TRANSFORM

The only change in the Fast Fourier Transform unit required to implement Inverse Fast Fourier Transform concerns swapping of the real and the imaginary parts of both the input serial data and the output serial data. A signal *inverse\_fft* triggers the swapping mechanism.

## 3.2 CORDIC ALGORITHM

### 3.2.1 INTRODUCTION

CORDIC (COordinate Rotation DIgital Computer) algorithm is a multiplierless method to perform a vector rotation. The fundamental principle of CORDIC algorithm is based on decomposition of a rotation angle  $\theta$  onto the discrete bases  $w_n = \arctan(2^{-n})$ :

$$\theta = \sum_{k=0}^{\infty} \sigma_k w_n \quad (1)$$

where  $\sigma_k$  – rotation direction

Instead of performing a vector rotation in one step by a given rotation angle  $\theta$ :

$$x_{\text{new}} = \cos(\theta)x_0 - \sin(\theta)y_0 \quad (2)$$

$$y_{\text{new}} = \sin(\theta)x_0 + \cos(\theta)y_0 \quad (3)$$

CORDIC algorithm iteratively rotates a vector  $(x_0, y_0)$  by the rotation angle's discrete bases:

$$x_{n+1} = \cos(\sigma_n w_n)x_n - \sin(\sigma_n w_n)y_n \quad (4)$$

$$y_{n+1} = \sin(\sigma_n w_n)x_n + \cos(\sigma_n w_n)y_n \quad (5)$$

Given an initial vector  $(x_0, y_0)$  and a rotation angle  $\theta$  the general form of radix-2 CORDIC algorithm is:

$$x_{n+1} = x_n - m\sigma_n y_n 2^{-\sigma_n} \quad (6)$$

$$y_{n+1} = y_n + \sigma_n x_n 2^{-\sigma_n} \quad (7)$$

$$z_{n+1} = z_n - \sigma_n w_n \quad (8)$$

Type	m	$w_n$	Rotation mode $\sigma_n = \text{sign}(z_n)$	Vectoring mode $\sigma_n = -\text{sign}(y_n)$
<b>Circular</b>	1	$\arctan(2^{-n})$	$x_n \rightarrow K(x_0 \cos(z_0) - y_0 \sin(z_0))$ $y_n \rightarrow K(y_0 \cos(z_0) + x_0 \sin(z_0))$ $z_n \rightarrow 0$	$x_n \rightarrow K\sqrt{x_0^2 + y_0^2}$ $y_n \rightarrow 0$ $z_n \rightarrow z_0 + \arctan(\frac{y_0}{x_0})$
<b>Linear</b>	0	$2^{-n}$	$x_n \rightarrow 0$ $y_n \rightarrow y_0 + x_0 z_0$ $z_n \rightarrow 0$	$x_n \rightarrow x_0$ $y_n \rightarrow 0$ $z_n \rightarrow z_0 + \frac{y_0}{x_0}$

Table 5: Various forms of CORDIC Algorithm.

### 3.2.2 CORDIC ALGORITHM IN VECTORING MODE (CIRCULAR TYPE)

In the design of the CORDIC algorithm in vectoring mode of circular type hardware implementation I used ideas presented in [10] and [13].

#### 3.2.2.1 Applications in the OFDM transceiver

Applications of Vectoring CORDIC of Circular Type In the OFDM Transceiver		
Function	Alternative solution	Justification of selection decision
<b>Rectangular-to-polar coordinate conversion required for a division operation in the equalizer</b>	Complex division in rectangular coordinates - rectangular-to-polar coordinate conversion is not needed.	Complex division is much more computationally efficient in polar coordinates. Comparison of resources usage for a complex division in: <ul style="list-style-type: none"> <li>❖ rectangular coordinates: <ul style="list-style-type: none"> <li>• 6 real multiplications and 1 real division</li> </ul> </li> <li>❖ polar coordinates: <ul style="list-style-type: none"> <li>• 1 real division and 1 subtraction</li> </ul> </li> </ul>
<b>Modulus calculation of the complex timing offset metric</b>	Use two multipliers to calculate square of the modulus of the timing offset metric. There is no need for square root operation due to the fact that only square of the modulus of the timing offset metric is required for synchronization purposes (squaring operation de-emphasizes small magnitudes of the autocorrelation function).	Due to the fact that modulus calculation is needed for a rectangular-to-polar coordinate conversion I decided to reuse the existing hardware instead of introducing two additional multipliers.
<b>Angle calculation of the complex frequency offset metric (conversion of the frequency offset metric to the frequency offset value)</b>	None	CORDIC algorithm in vectoring mode is the most commonly used method for an angle calculation due to its efficient hardware implementation.

### 3.2.2.2 Alternative solutions and design choice

Characteristic of radix-2 CORDIC algorithm is that the number of iterations required for convergence is equal to the desired bit precision. The direct correlation between the number of iterations and precision is the main disadvantage of radix-2 CORDIC algorithm.

In order to decrease latency of radix-2 CORDIC algorithm the general expression for the radix-2 CORDIC algorithm is extended to radix-4:

$$x_{i+1} = x_i + m \cdot \sigma_i \cdot y_i \cdot 4^{-i} \quad (1)$$

$$y_{i+1} = y_i - \sigma_i \cdot x_i \cdot 4^{-i} \quad (2)$$

$$z_{i+1} = z_i - \alpha_{m,i}(\sigma_i) \quad (3)$$

$$\text{where } \alpha_{m,i}(\sigma_i) = \begin{cases} \tan^{-1}(\sigma_i \cdot 4^{-i}) & \text{in rotation mode} \\ \sigma_i \cdot 4^{-i} & \text{in vectoring mode} \end{cases}$$

$$\sigma_i = \{-2, 1, 0, 1, 2\}$$

Radix-4 CORDIC Algorithm	
Advantages (+)	Disadvantages (-)
<ul style="list-style-type: none"> <li>the number of iterations is halved (equal to <math>n/2</math> where <math>n</math> is bit precision)</li> </ul>	<ul style="list-style-type: none"> <li>more complicated logic to evaluate rotation directions <math>\sigma_i</math></li> <li>non-constant scaling factor due to redundancy in rotation directions' representation</li> </ul>

Table 6: Advantages and disadvantages of radix-4 CORDIC algorithm.

I chose radix-4 over radix-2 implementation of the vectoring CORDIC algorithm due to the fact that in some of the above listed functions required for synchronization tasks in the receiver only an angle calculation is required. Therefore latency associated with it can be significantly reduced as the number of iterations is halved and the angle calculation does not require scaling by a non-constant scaling factor.

However, scaling cannot be avoided in the case of a modulus calculation and there is no particular advantage of employing radix-4 variation. Nevertheless, both angle and modulus calculations are based on the same logic for rotation directions' evaluation hence introducing a separate radix-2 CORDIC algorithm unit solely for the purpose of a modulus calculation would only entail an additional hardware and no benefit in speed performance. Both radix-2 and radix-4 take the same number of iterations (equal to  $n$  – number of precision bits) to compute modulus because in the case of radix-4 CORDIC algorithm there are  $n/2$  iterations for the uncompensated (by a scaling factor) modulus calculation and  $n/2$  iterations for the non-constant scaling factor compensation using a linear CORDIC in vectoring mode.

### 3.2.2.4 Algorithm description

#### ***Radix-4 Vectoring CORDIC Algorithm***

Using variable substitution  $w_i = y_i 4^i$  radix-4 CORDIC algorithm can be described with the following set of equations:

$$x_{i+1} = x_i + m \sigma_i w_i 4^{-2i} \quad (4)$$

$$w_{i+1} = 4(w_i - \sigma_i x_i) \quad (5)$$

$$z_{i+1} = z_i - \alpha_{m,1}(\sigma_i) \quad (6)$$

$$\text{where } m = \begin{cases} 0 & \text{- linear type} \\ 1 & \text{- circular type} \end{cases}$$

$$\alpha_{m=0,1} = \begin{cases} \sigma_i 4^{-i} & \text{- linear type} \\ \arctan(\sigma_i 4^{-i}) & \text{- circular type} \end{cases}$$

***Proof that the number of iterations required for convergence is halved compared to radix-2 CORDIC algorithm***

**Preliminary derivation:**

If the rotation direction  $\sigma_i$  in each iteration is selected according to the following criterion:

$$x_i \sigma_i - (2/3)^* x_i \leq w_i \leq x_i \sigma_i + (2/3)^* x_i \quad (7)$$

then  $w_i \leq (8/3)^* x_i$ .

**Proof by induction:**

$w_0 < (8/3)^* x_0$  because according to the criterion (7)  $w_0 \leq (5/3)^* x_0$  which is less than  $(8/3)^* x_0$ .

Assuming that the induction hypothesis is true for  $i-1$  iterations the proof will be completed if it can be shown that the hypothesis is also true for  $i$ -th iteration.

For  $i-1$ -th iteration the criterion becomes:

$$|w_{i-1}| \leq x_{i-1} \cdot \sigma_{i-1} + (2/3) \cdot x_{i-1} \quad (8)$$

From the expression for  $w$  we obtain  $w_{i-1}$ :

$$w_i = 4w_{i-1} - 4x_{i-1} \cdot \sigma_{i-1} \Rightarrow w_{i-1} = w_i / 4 + 4x_{i-1} \cdot \sigma_{i-1} \quad (9)$$

Plugging in the expression for  $w_{i-1}$  into (5) the result is:

$$|w_i| \leq (8/3)x_i \quad (10)$$

Based on the proof presented above after  $n$  iterations  $w$  is bounded by  $(8/3) \cdot x_n$ .

The angle of the final coordinates  $x$  and  $y$  after  $n$  iterations can be expressed:

$$\theta = \tan^{-1}\left(\frac{y_n}{x_n}\right) = \tan^{-1}\left(\frac{w_n 4^{-n}}{x_n}\right) \quad (11)$$

Plugging in the bounded value for  $w$  (10) into the above angle expression (11):

$$\theta = \tan^{-1}(w_n \cdot 4^{-n} / x_n) \leq \tan^{-1}((8/3)x_n 2^{-2n} / x_n) = \tan^{-1}((8/3)x_n 2^{-2n} / x_n) = \tan^{-1}((4/3)2^{-2n+1}) \quad (12)$$

Let's assume that the number of iterations for convergence of radix-2 CORDIC is  $2n$ . The angle value obtained in radix-4 CORDIC algorithm is slightly greater than after  $n$  iterations and less than after  $2n+1$  iterations in a radix-2 CORDIC counterpart therefore it can be concluded that convergence occurs after half the number of iterations of radix-2 CORDIC algorithm.

### ***A new selection function for rotation directions***

$$\sigma_i = \begin{cases} 2 & \text{if } w_i > P_i(2) \\ 1 & \text{if } P_i(1) < w_i \leq P_i(2) \\ 0 & \text{if } P_i(-1) < w_i \leq P_i(1) \\ -1 & \text{if } P_i(-2) < w_i \leq P_i(-1) \\ -2 & \text{if } w_i \leq P_i(-2) \end{cases} \quad (13)$$



## Derivation of the selection function for the rotation directions

It can be concluded from the criterion (7), which is the sufficient condition for radix-4 CORDIC algorithm convergence, that selection regions of different rotation directions in the set  $\{-2, -1, 0, 1, 2\}$  overlap.

**Design problem 1:** Nontrivial evaluation of the criterion (7) due to overlapping regions.

**Solution:** Reformulation of the criterion (7) into a form which allows efficient mapping to a hardware implementation.

Each of the selection regions for rotation directions has two boundary points:

- $L(\sigma_i) = (\sigma_i - (2/3)) * x_i$  – the lower boundary of a region
- $U(\sigma_i) = (\sigma_i + (2/3)) * x_i$  – the upper boundary of a region

A discrimination point  $P_i$  between two rotation directions' selection regions should be set to a value which is equidistant from the opposite boundaries of the overlap region and in addition to that, it should be in the middle of the overlap region. Therefore  $P_i$ 's are set as follows:

- $P(1) = (1/2) * x_i$  – the threshold point for  $\sigma_i=0$  and  $\sigma_i=1$  selection regions
- $P(-1) = -(1/2) * x_i$  – the threshold point for  $\sigma_i=0$  and  $\sigma_i=-1$  selection regions
- $P(2) = (3/2) * x_i$  – the threshold point for  $\sigma_i=1$  and  $\sigma_i=2$  selection regions
- $P(-2) = -(3/2) * x_i$  – the threshold point for  $\sigma_i=-1$  and  $\sigma_i=-2$  selection regions

**Design Problem 2:** The threshold points are dependent on the current iteration's  $x$  value therefore they need to be evaluated at each iteration.

**Solution:** Due to the fact that  $x_i$  is increasing with the iteration number  $i$  both the upper and the lower boundaries are spreading out as they are function of  $x_i$ . Therefore it is possible to find lowest iteration number for which the threshold value lies inside the overlap region of all successive iterations and therefore is common for all of them. This happens when the lower boundary of the highest iteration crosses some  $i$ -th iteration upper boundary:

$$L_{\text{last iteration}}(\sigma_i) \leq P(\sigma_i) \leq U_{\text{ith iteration}}(\sigma_i) \quad (14)$$

The lowest  $i$  for which the boundaries cross determines the iteration number which in turn determines a threshold for all the remaining iterations.

Plugging in the expressions for  $L$ ,  $U$  and  $x$  (4) into the above expression (14) and solving for  $i$  one obtains  $i=1$  for  $P(+/-1)$  and  $i=2$  for  $P(+/-2)$ .

### **3.2.2.5 Hardware implementation decisions**

#### ***Coding approach***

My modular hardware implementation is based on the notion of iteration in CORDIC algorithm. I created a separate module for one iteration which is parameterized with the iteration number in order to evoke correct shifters in calculation of  $x$ ,  $y$  and  $z$  variables. The main module only calculates necessary threshold values ( $P_i\{-2,1,1,2\}$ ) and instantiates an iteration module for each iteration. The iteration modules pass their outputs to a next iteration in a sequence. Coding around the notion of iteration allows an easy extension of the design into higher bit precision. The extension is achieved by mere invocation of additional iteration modules. Modification of the number of pipelining stages is also greatly simplified in this approach because it only requires a placement of pipeline registers for  $x$ ,  $w$  and  $z$  variables and the threshold values at a desired break point between iterations.

#### ***Pipelining decisions***

Taking into account the architecture of the synchronization mechanism in which samples are processed as they arrive at the receiver high throughput of the vectoring CORDIC unit is not required. It is due to the fact that small amount of samples, which is determined by the latency of the first processing unit in the receiver – the timing offset metric calculation, are available for parallel processing (The timing offset calculation has latency of four cycles - 4 cycles for modulus calculation of the timing metric using vectoring CORDIC algorithm combined with multiplication of samples of the timing metric. This leaves only four samples for parallel processing). As a consequence, I decided to use the smallest number of pipeline stages. The minimum number of stages was determined by the latency of the sequential data-path of the entire CORDIC iteration chain. Using Quartus software timing analysis tool for Cyclone II FPGA I obtained the propagation delay for the entire chain including time for loading input and output registers. For 27-MHz clock frequency the minimum number of pipeline stages was determined to be two.

### **Hardware optimization**

Both  $x$  and  $W$  variables are scaled to be within  $[0,1]$  range in order not to lose precision in the fractional part during intermediate steps.

$W$  and the threshold values  $P$  used in the selection function (13) can be truncated to speed up the comparison process and yet lead to correct selection. The threshold value  $P$  is in the middle of two boundaries  $L$  and  $U$ . Selection will be correct as long as precision of  $W$  will be sufficient to allow  $W$  to be within a distance between  $P$  and either of the boundaries  $U$  and  $L$ . As a consequence, the distance between  $P$  and either of the boundaries  $U$  and  $L$  has to be larger than precision of the truncated  $W$ .

The distance between  $P$  and either of the boundaries is equal to  $(1/6)*x_i$ .

Therefore

$$(1/6)*x_i \geq 2^{-W_{\text{fractional}}} \quad (15)$$

where  $W_{\text{fractional}}$  is the number of fractional bits of  $W$ .

Plugging in an expression for  $x_i$  (4) one can determine the number of fractional bits  $W_{\text{fractional}}$  to be at least 5.

Instead of implementing a complicated comparator for both positive and negative numbers for the rotation directions' selection function I decided to decode sign information of  $W$  and the comparison points  $P(+/-1)$ ,  $P(+/-2)$ . Using the sign information in "case" statement the rotation directions' selection conditions of (13) for each set of  $W$  and  $P$ 's signs could be simplified or even neglected if for a given set of  $W$  and  $P$ s signs they are unconditionally always true or false.

#### **3.2.2.5 Scaling factor**

The major drawback of the radix-4 modification of the CORDIC algorithm is nontrivial in evaluation scaling factor which is not constant as in the case of radix-2 baseline version of the algorithm. The scaling factor is a function of rotation directions due to redundancy in the rotation directions' representation therefore it has to be evaluated for each set of input values:

$$K = \prod_{i=0}^{n-1} k_i = \prod_{i=0}^{n-1} (1 + \sigma_i^2 * 4^{-2i})^{-\frac{1}{2}} \quad (16)$$

where  $n = \frac{B \text{ bits precision}}{2}$  - number of iterations.

$B$  – bit precision (in my design I set  $B$  to 16)

### Simplifications:

- the scaling factor has be evaluated only for the first  $n/4+1$  iterations (in the rest of iterations it can be approximated by 1)
- ROM size used for storing each iteration's sub-scaling factors  $k_i$  ( $3^{\frac{n}{4}+1}$  – the base of 3 comes from the fact that each  $\sigma_i$  can take 3 values) can be greatly reduced to  $3^{\frac{n}{8}+1}$  by using the first two terms of Taylor expansion for the iteration number  $i \geq \text{floor}(\frac{n}{8} + 1)$

$$k_i = 1 - \frac{1}{2} \sigma_i^2 * 4^{-2i} \quad (17)$$

which can implemented using only add and shift operations.

The above simplifications reduce the number of multipliers to 2 for the first 4 iterations (reusing one multiplier in the 2-stage pipeline implementation used in the transceiver) and ROM size to  $3^{\frac{n}{8}+1}$  for evaluation of the nontrivial scaling factor.

Compensation of the modulus by the scaling factor is done using radix-4 vectoring CORDIC algorithm of linear type.

### 3.2.3 CORDIC ALGORITHM IN VECTORING MODE (LINEAR TYPE)

In the design of the CORDIC algorithm in vectoring mode of linear type hardware implementation I used ideas presented in [10] and [13].

#### 3.2.3.1 Applications in the OFDM transceiver

Applications Of Vectoring CORDIC of Linear Type In The OFDM Transceiver		
Function	Alternative solution	Justification of selection decision
<b>Division of the modulus of the FFT of the received sample by:</b> <ul style="list-style-type: none"> <li>❖ the modulus of the reference pilot in the channel estimator</li> <li>❖ the modulus of the channel frequency response at a given subcarrier's frequency in the</li> </ul>	Use any of the available division algorithms	There is no particular advantage in terms of speed in performing division by the means of vectoring CORDIC of linear type as opposed to standard division algorithms. The reason I chose to implement division using CORDIC algorithm is that the vectoring CORDIC algorithm of linear type is just a simplified

<b>decoder</b>		version of the vectoring CORDIC algorithm of circular type therefore I was able to reuse the hardware already designed for the circular type.
<b>Compensation of modulus in vectoring CORDIC of circular type</b>		

### 3.2.3.2 Algorithm description

As can be seen from the expressions for x, w and z variables in the radix-4 modification to the vectoring CORDIC algorithm (equations 4-6 in section 3.2.2.4) a linear type variation can be treated as a simplified version of a circular type counterpart. In linear coordinates  $m=0$  therefore x is constant for the entire CORDIC algorithm operation. Moreover, instead of adding an arctangent term to z variable we only add its argument which does not require a ROM lookup table for arctan values as in the case of circular coordinates. However, the core of radix-4 CORDIC algorithm - rotation directions' selection function is exactly the same and hence the description of operation of a circular counterpart and hardware optimization techniques used for the selection function from the previous section applies to the linear type.

The major complication in comparison to circular coordinates concerns normalization (scaling) of the input values. During a preliminary testing of the MATLAB floating point implementation I noticed that algorithm only converges if both initial x and y coordinates are of the same order of magnitude.

<b>Normalization (Scaling) Procedure</b>	
<b>Normalization step</b>	<b>Function</b>
<b>Normalize (scale) to 1 (shift until MSB is at binary 1 weight position) in the maximum allowable fractional part 16-bit fixed-point representation.</b>	Minimize error propagation in between iterations due to insufficient precision of the intermediate results.
<b>Scale a smaller coordinate of x and y to be of the same order as the other coordinate (shift until MSB position matches bit position of the other coordinate).</b>	Convergence requirement

The maximum allowable fractional part in the 16-bit normalized fixed-point representation of x and y is 13 bits. The absolute value of initial x and y coordinates is taken prior to normalization in order to eliminate -1 and -2 rotation directions in the first iteration (the sign of the division result - the final value of z variable is adjusted at the end based on the signs of initial x and y coordinates).

Out of three variables x, y and z the minimum range of the integer part required for the convergence of the CORDIC algorithm is determined solely by W variable because:

- x variable stays constant (equal to the initial normalized to 1 x value) during the entire CORDIC algorithm operation
- z variable which converges to a division result of x and y coordinates which for the normalized to 1 x and y values should not exceed 2
- W is decreasing and converges to 0 therefore its value only on the first iteration determines the required integer part range. Due to the fact that -2 and -1 rotation directions have been eliminated by taking the absolute value prior to normalization the worst case (the highest integer part of W on the first iteration) happens for 0 rotation direction on the first iteration. The worst case value is bounded by 4 therefore we need at least 3 bits plus 1 bit for a sign in the normalized x and y representation to ensure convergence and minimize precision loss in the intermediate steps.

### 3.2.4 CORDIC ALGORITHM IN ROTATION MODE (PARA-CORDIC)

In the design of the CORDIC algorithm in rotation mode hardware implementation I used ideas presented in [3],[5] and [12].

#### 3.2.4.1 Applications in the OFDM transceiver

Applications of CORDIC Algorithm In Rotation Mode In the OFDM transceiver		
Application	Alternative Solution	Justification of Selection Decision
Direct Digital Synthesis (DDS)	Using multipliers and sine and cosine ROMs to compute a vector rotation.	Large frequency resolution in the case of DDS and, in more general case, resolution of the sine and cosine functions' arguments requires large ROM sizes for sine and cosine values storage. Aside from the increased hardware resources usage larger ROMs induce higher power consumption and slower access times. ROM size issue is aggravated by the fact that in quadrature modulation both sine and cosine ROMs are needed. The need for both sine and cosine translations was the
De-rotation of a received sample by the frequency offset		

		main motivation behind employment of CORDIC algorithm as an up/down-converter. Moreover, multipliers used in a standard ROM-based DDS implementation cannot be reused due to its constant engagement in modulation and demodulation process during transceiver's operation.
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### 3.2.4.2 Alternative solutions and design choice

CORDIC algorithm in rotation mode calculates coordinates of a vector  $(x_0, y_0)$  rotated by an angle  $z_0$ .

CORDIC Algorithm In Rotation Mode Design Choice	
Alternative Solutions	Justification of Selection Decision
<p>I did an extensive research on variations of a standard CORDIC algorithm in rotation mode. The available choices can be grouped into three categories:</p> <ul style="list-style-type: none"> <li>• standard radix-2 CORDIC algorithm with the number of micro-rotations (iterations) on the order of desired bit precision</li> <li>• higher radix modifications (such as, radix-4)</li> <li>• branching mechanism with parallel execution of two rotation directions at some iterations</li> </ul>	<p>The major problem with a standard form of the CORDIC algorithm regardless of radix choice is latency caused by a sequential character of rotation directions evaluation from the residual angle <math>z</math> (a rotation direction can be evaluated only when the previous iteration has finished). There have been introduced variations of CORDIC algorithm with a parallel execution of both rotation directions' data-paths and a branching mechanism used to select the correct result from one of the parallel execution branches along the execution chain. However, this option almost doubles hardware cost and does not completely eliminate the latency issue.</p> <p>The idea behind higher radix modifications (radix-4) is to ideally cut the number of iterations in half. However, higher radix variations introduce redundancy in the rotation directions' representation which in turn causes non-constant scaling factor. Evaluation and compensation of the non-constant scaling factor aside from the fact that it entail an additional hardware consisting of ROM-based lookup tables for iterations' sub-scaling factors and multipliers usually have an execution time on the order of radix-2 CORDIC algorithm. As a consequence, the overall execution time is still on the order of desired bit precision.</p> <p>I decided to implement a particular variation of CORDIC algorithm which offers the best compromise between all the aforementioned alternative options. It is called Para-CORDIC [5]. The name of the variation stems from the</p>

fundamental principle on which this modification is based - parallel extraction of the rotation directions. Parallel extraction of the rotation directions eliminates the latency issue. It is achieved by recoding the rotation angle. However, hardware cost incurred due to recoding process is insignificant compared to the alternative solution to the latency issue - branching mechanism (the recoding hardware consists exclusively of 2-bit adders). In addition to that, Para-CORDIC is a radix-2 based modification therefore there is no extra hardware for scaling factor calculation because the scaling factor is constant and is pre-computed beforehand.

### 3.2.4.3 Algorithm description

A standard radix-2 CORDIC algorithm suffers from a critical path associated with evaluation of the rotation directions from the residual angle  $z$ . It introduces additional latency which is especially noticeable for higher bit precision.

The fundamental principle of Para-CORDIC variation [5] is decomposition of the rotation angle into low and high parts for which a separate parallel extraction of rotation directions is possible by the means of angle recoding.

#### *Angle Recoding*

##### **Assumptions and notion convention:**

- the rotation angle is in range  $[0, \pi/4]$  - in section 3.2.4.5 extension to the full range  $[0, 2\pi]$  is introduced
- $B$  - denotes angle fractional bit precision (in my design I set  $B$  to 15)

Every angle in 2's complement representation - binary weights' coefficients  $\in \{0,1\}$  can be converted to bipolar representation - binary weights' coefficients  $\in \{-1,1\}$  using the following transformation:

$$\theta = (-b_0) + \sum_{k=1}^B b_k 2^{-k} = (-b_0) + \sum_{k=1}^B (2^{-k-1} + (2b_k - 1)2^{-k-1}) = \sum_{i=1}^{B+1} \sigma_i 2^{-i} - 2^{-B-1} \quad (1)$$



Where  $\sigma_i = 1-2b_0$

$$\sigma_i = (2b_{i-1}-1) \quad k = 2,3,\dots, B$$

Two observations from the above expression (1) emerge immediately:

- hardware necessary to obtain the bipolar rotation directions  $\sigma_i$  consists of only 2-bit adders which does not entail too much hardware resources
- by expressing an angle in bipolar representation we effectively extract the rotational directions in a parallel fashion provided that  $2^{-i}$  binary weights can be expressed in terms of  $\arctan 2^{-i}$  (the angle decomposition basis in CORDIC algorithm) with an error larger than desired B bits fractional precision:

$$2^{-i} \cdot \arctan 2^{-i} < 2^{-B} \quad (2)$$

Due to the fact that not all of the  $2^{-i}$  binary weights can be approximated with  $\arctan 2^{-i}$  with an error larger than desired B bits fractional precision the rotation angle is decomposed into two parts:

- $\theta_H$  – the high part which can be directly approximated with  $\arctan 2^{-i}$
- $\theta_L$  – the low part which can be expressed with  $\arctan 2^{-i}$  weights with approximation errors  $e_i$  which are less than desired B bits fractional precision. The errors  $e_i$  have to be included in the further processing of the high part angle  $\theta_H$ .

$$\theta = \theta_L + \theta_H = \underbrace{(-b_0) + \sum_{i=1}^{m-1} b_i 2^{-i}}_{\theta_L} + \underbrace{\sum_{i=m}^B b_i 2^{-i}}_{\theta_H} \quad (3)$$

The division between the high and the low parts occurs at index  $m = \text{ceiling}(B - \log_2 3)/3$ .

Operation of Para-CORDIC algorithm can be split into two phases:

❖ phase I:

- transformation of  $\theta_L$  into a bipolar representation with error terms  $e_i$ .
- rotation of the input vector by  $\theta_L$
- compensation of  $\theta_H$  with "extra" terms which come from binary-to-bipolar conversion of  $\theta_L$ : errors  $e_i$  due to approximation of  $2^{-i}$  weights with  $\arctan 2^{-i}$  and  $2^{-m}$  term.

❖ phase II:

- conversion to a bipolar representation of the corrected  $\theta_H$  ( $\widehat{\theta_H}$ ) with the approximation errors of  $\theta_L$
- rotation of x, y coordinates produced in phase I by the corrected  $\theta_H$

### Phase I

First,  $\theta_L$  is transformed from 2's complement into bipolar representation in which  $2^{-i}$  binary weights are expressed as a linear combination of  $n(i)$  arctan terms ( $\arctan 2^{-i}$ ) and approximation errors  $e_i$ :

$$\theta_L = \sum_{i=1}^m \sigma_i 2^{-i} - 2^{-m} = \sum_{i=1}^m \sigma_i \sum_{j=1}^{n(i)} \left( \arctan \left( 2^{-s_i^j} \right) + e_i \right) - 2^{-m} \quad (4)$$

#### Observation:

- the ability to extract the rotation directions  $\sigma_i$  in parallel for  $\theta_L$  comes at expense of additional  $n(i)$  micro-rotations at  $i$ -th stage due to approximation of  $2^{-i}$  by arctan terms

A choice of a linear combination of arctan terms to approximate  $2^{-i}$  is not arbitrary. The high part of the rotation angle  $\theta_H$  is corrected by the extra terms which do not appear in a standard CORDIC algorithm prior to rotation in phase II. The extra terms include:

- an extra term introduced in 2's complement-to-bipolar conversion -  $2^{-m}$
- approximation errors  $e_i$  of  $2^{-i}$  weights with  $\arctan(2^{-i})$

$$\widehat{\theta_h} = \theta_h + \sum_{i=1}^{m-1} \sigma_i * e_i - 2^{-m} \quad (5)$$

In order to maintain CORDIC convergence the following condition has to be met:

$$|\widehat{\theta_h}| < 2^{-m+1} \quad (6)$$

The authors in [5] devised an algorithm, called MAR, to find a linear combination which satisfies the above condition (6). The algorithm finds the number of linear combination terms  $n(i)$  and shift amounts for each term in the linear combination  $s_i^j$

where

$j=1,2,\dots,n(i)$

$i$  – exponent of  $2^{-i}$  term for which an approximation is calculated.

For 15-bit fractional precision the number of linear combination terms  $n(i)$  and shift amounts for each term are:

Binary weight	Number of linear combination terms $n(i)$	Shift amounts for each term in the linear combination
$2^{-1}$	2	1,5
$2^{-i} \ i=2,3,4$	1	$i$

Table 7: The results of MAR algorithm for the 15-bit fractional bit precision of a rotation angle.

#### Example

$$2^{-1} = \arctan(2^{-1}) + \arctan(2^{-5})$$

#### 3.2.4.4 Hardware optimization

If the expressions for the final value of  $x$  and  $y$  are expanded by iteratively plugging in (in decreasing iteration number) the  $i$ -th iteration expressions for  $x$  and  $y$  (equations 6-7 in Section 3.2.1) for all iterations one will notice that starting from the iteration number  $k > B/2$  (in the case of  $B=15$  fractional bit precision  $k=8$ ) all cross-products terms of the resulting expansion fall off the precision range and therefore can be neglected. Therefore the expression for the final values of  $x$  and  $y$  can be folded into a sum of terms involving exclusively  $k$ -th iteration values of  $x$  and  $y$ :

$$x_{k+1} = x_k - y_k \sum_{i=k}^{k+\frac{B}{2}-1} \sigma_i 2^{-i}$$

$$y_{k+1} = y - x_k \sum_{i=k}^{k+\frac{B}{2}-1} \sigma_i 2^{-i}$$

The sum in the above expression can be efficiently implemented using Carry-Save Adder Tree which decreases significantly latency of the CORDIC data-path in comparison to traditional CORDIC rotations in  $i > k$  iterations.

#### 3.2.4.5 Range extension

CORDIC algorithm converges for a rotation angle in the range  $[0, \frac{\pi}{4})$ . In the OFDM transceiver various applications of CORDIC algorithm, such as up/down-conversion and de-rotation of the received sample by the frequency offset, require full  $2\pi$  range.

By exploiting symmetry of sine and cosine functions any angle in the range of  $[0, 2\pi]$  can be obtained from sine and cosine values of a related angle in the range  $[0, \pi/4]$  by decoding octant information of the angle and conditional use of interchange and negate operations (3 MSB bits of the normalized to  $2\pi$  angle decode the octant number).

Due to the fact that CORDIC algorithm uses only angle information in  $[0, \frac{\pi}{4})$  range the input rotation angle is expressed as a 19-bit number in order not to sacrifice fractional bit precision (1 bit to represent negative angles, 3 bits to represent maximum range -  $2\pi$  value and the desired 15 bits for the fractional part).

#### Steps required for $2\pi$ range extension:

1. Normalize the rotation angle by dividing it by  $2\pi$  such that the first MSB of the fractional part has  $\pi$  weight, the second MSB of the fractional part has  $\frac{\pi}{2}$  weight and so on.
2. Decode the octant information of the angle - 3 MSB bits of the fractional part in the normalized angle.
3. Strip-off 2 LSB bits of the 3 bits used for the octant decoding. If the octant number is odd subtract from  $\frac{\pi}{2}$  the stripped normalized angle (subtraction from  $\frac{\pi}{2}$  in the normalized to  $2\pi$  representation is equivalent to 2's complement operation). Now the normalized angle is in the desired range  $[0, \frac{\pi}{4})$  for CORDIC algorithm processing.
4. De-normalize the angle obtained in step 3 by multiplying it by  $2\pi$  in order to restore "binary" weights in the angle representation for CORDIC algorithm processing.
5. Conditional interchange of x and y coordinates of the input vector based on the octant information (see a table below).
6. Conditional interchange and negation of final x and y coordinates based on the octant information (see a table below).

Octant number (3 MSB of the fractional part of the normalized rotation angle)	Negate output x coordiante	Negate output y coordinate	Interchange output x and y coordinates	Interchange input x and y coordinates
0	NO	NO	NO	NO
1	YES	NO	YES	NO
2	NO	YES	NO	YES
3	YES	YES	YES	YES
4	YES	YES	NO	NO
5	NO	YES	YES	NO
6	YES	NO	NO	YES

7	NO	NO	YES	YES
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**Table 8: Conditional interchange and negation operations for both the input and the output coordinates used in the extension of the rotation angle's range to  $2\pi$ .**

**Example:**

$$\cos(240) = -1/2 = -\sin(30) = -\sin(240-180+(90-60))$$

Strip-off 2 LSB of the 3 bits used for octant decoding - 180 and 90 degrees weights in the normalized angle

odd octant = > 2's complement = subtraction from 90 degrees

## 4 SYNCHRONIZATION MECHANISM – OFDM RECEIVER DESIGN

### 4.1 SYNCHRONIZATION ERRORS

#### 4.1.1 CARRIER FREQUENCY OFFSET

If there is a mismatch between frequencies of the transmitter and receiver's free running oscillators the resulting carrier frequency offset  $\Delta f$  will result in rotation of the received symbol samples by a constant frequency:

$$r_{i,n} = e^{j2\pi\Delta ft} |_{t=i(N+N_g)T_s + NgT_s + nT_s} \quad (1)$$

where

$N$  – the number of OFDM subcarriers

$N_g$  – the length (in samples) of the guard interval

$T_s$  - sampling period

$i$  - symbol index

n - time domain index

The frequency offset is usually normalized with respect to subcarrier spacing ( $f_s = \frac{1}{NT_s}$ ):

$$\Delta f = (\text{IFO} + \text{FCO}) * f_s \quad (2)$$

where

IFO – an integer part of the frequency offset  $\Delta f$  with respect to subcarrier spacing

FCO – a fractional part of the frequency offset  $\Delta f$  with respect to subcarrier spacing

#### Negative effects degrading receiver's performance:

- attenuation in received magnitude
- phase shift
- ICI - inter-carrier interference

#### 4.1.2 SYMBOL TIMING OFFSET

Symbol timing offset is an offset in the start of an OFDM symbol which corresponds to the start of the FFT window.

The severity of negative effects depends on which of the following two possible scenarios occurs:

1. If the delay spread of the channel is shorter than the guard interval and the FFT window is applied too early with an offset TO which is smaller than the difference between the delay spread and the guard interval only an additional phase shift is introduced:

$$R_k = X_k * H_k \underbrace{e^{-j \frac{2\pi * TO * k}{NT_s}}}_{\text{phase shift}} \quad (1)$$

where

$X_k$  – the frequency domain transmitted sample at k-th subcarrier

$H_k$  - frequency response of the channel at  $k$ -th subcarrier

2. If the FFT window is applied with a large offset (either lag or lead) then in addition to the phase shift as in the scenario 1 there is also a decrease in the received magnitude and inter-symbol interference distortion due to the fact some of the samples of a current symbol are affected by the previous/next symbol's samples in the guard interval region which is corrupted by the multipath channel

## 4.2 SYNCHRONIZATION SCHEMES

### 4.2.1 TIMING OFFSET ESTIMATION

All synchronization schemes are based on the autocorrelation property of a PN (Pseudo-random Noise) sequence. The attractiveness of PN sequences for synchronization tasks lies in their thumb-tack autocorrelation function - only for a zero delay modulo  $N$  ( $N$  - length of a PN sequence) we get a peak in the autocorrelation function.

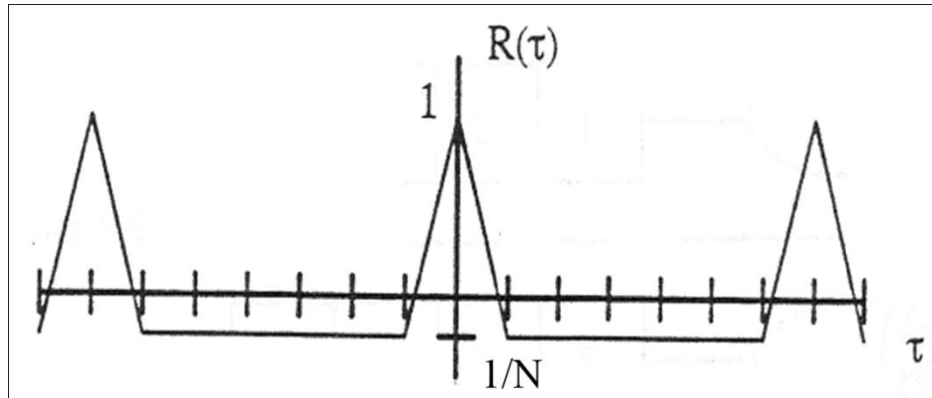


Figure 2: The normalized autocorrelation function ( $R$ ) of a PN sequence ( $N$  - length of a PN sequence).

The most commonly used method for the timing and frequency offsets synchronization in OFDM systems is Schmidl and Cox's method [11]. Schmidl and Cox use a preamble which consists of two identical  $N/2$ -sample long PN sequences ( $N$  - the number of subcarriers in OFDM transmission). They introduced a timing metric which peak indicates the start of an OFDM symbol:

$$M(d) = \frac{|P(d)|^2}{R(d)^2} \quad (1)$$

$$P(d) = \sum_{m=0}^{\frac{N}{2}-1} r^*(d+m) * r(d+m+\frac{N}{2}) \quad (2)$$

$$R(d) = \sum_{m=0}^{\frac{N}{2}-1} |r(d+m+\frac{N}{2})|^2 \quad (3)$$

The intuitive explanation why the above time metric (1) gives a peak at the start of an OFDM symbol follows from the construction of the synchronization preamble. Since both halves of the preamble are composed of the same PN sequence sum of products of a conjugate of a sample from first half and a corresponding sample in the second half will be the largest due to the fact they have the same phase and add up constructively.

Schmidl and Cox's method is very popular among OFDM designers, however, it suffers from a plateau region around the peak. Using the timing offset metric, introduced by Schmidl and Cox, one does not obtain a single peak at the start of an OFDM symbol but a plateau region which spans the number of samples equal to the length of the guard interval minus the length of the channel response.

The plateau region causes uncertainty in the start of an OFDM symbol therefore I started researching variations on Schmidl and Cox's method in order to find a solution which gives a distinct single peak in the timing offset metric.

I found a desired variations in a paper by H. Minn [8] and a paper by P. Byungjoon [1]. Minn uses a special structure of the synchronization preamble. Instead of using a preamble with two identical PN sequences in each halves Minn uses the following structure which emphasizes differences between the peak timing metric and offset values and therefore achieves a distinguishing single peak in the timing metric at the start of OFDM symbol:

$$[A \ A^* \ A \ A^*]$$

where A is N/4-sample long PN sequence and \* denotes complex conjugate operator.

The modified timing offset metric takes the following form:

$$M(d) = \frac{|P(d)|^2}{R(d)^2} \quad (4)$$

$$P(d) = \sum_{m=0}^{\frac{N}{2}-1} r^*(d-m) * r(d+m) \quad (5)$$

$$R(d) = \sum_{m=0}^{\frac{N}{2}-1} |r(d+m)|^2 \quad (6)$$



### 4.2.2 FRACTIONAL FREQUENCY OFFSET ESTIMATION

The only difference in phases between the first and the second half comes from the frequency offset as the channel effect should cancel. The phase difference is equal to:

$$\theta = 2\pi \Delta f \cdot \frac{T}{2} = \pi \Delta f \cdot T \quad (1)$$

where

$\Delta f$  – the fractional frequency offset (fractional with respect to subcarrier subspacing -  $\frac{1}{N \cdot T_s}$ )

$T$  – OFDM symbol duration

Therefore in order to find the fractional frequency offset we calculate an angle of the timing metric at the start of the preamble symbol :

$$\theta = \text{angle}(P_{\text{FCO}}(d)) \quad (2)$$

where  $P_{\text{FCO}}(d)$  is expressed by (2) in section 4.2.1.

The obtained angle is converted into the frequency offset value using the phase difference equation (1):

$$\Delta f = \frac{\theta}{\pi T} \quad (3)$$

### 4.2.3 CHANNEL ESTIMATION

There are two groups of estimators used in OFDM systems:

- Least-squares (LS) estimator
- Mean-squared error (MMSE) estimator

Both estimators achieve the same performance in high signal-to-noise ratio environments. If one knows a priori statistics of the channel, such as covariance matrix and SNR, MMSE estimator can outperform LS estimator.

Due to the fact I did not design my transceiver for a specific channel type I decided to implement LS estimator which takes the following form:

$$H_k = \frac{\text{received pilot frequency sample at } k - \text{th subcarrier frequency}}{\text{reference pilot frequency sample at } k - \text{th subcarrier frequency}}$$

where

$H_k$  – channel frequency response at  $k$ -th subcarrier

A pilot is an OFDM symbol which is known at the receiver and is used as a reference to estimate corruption of the signal by the channel at a given subcarrier's frequency. Pilots are interleaved with data symbols.

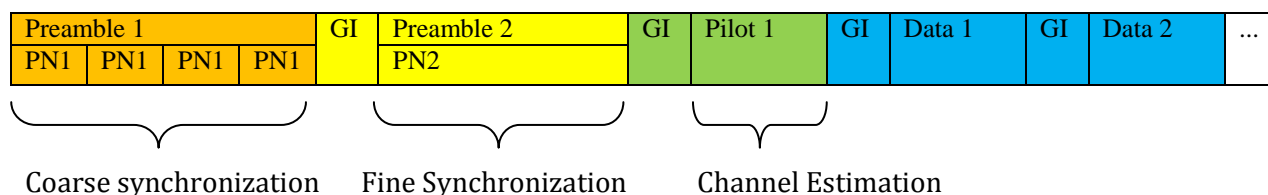
### 4.3 ALTERNATIVE SOLUTIONS AND DESIGN CHOICE

In practice, synchronization is split into two phases:

- coarse synchronization
- fine synchronization

in order to improve accuracy of synchronization errors estimates and thereby improve performance of a system. Synchronization done in a single step leaves significant residual errors. By doing an initial coarse synchronization which brings initial large offsets in timing and frequency into vicinity of the right answer and leaving fine-tuning for fine synchronization the residual errors are small enough to not degrade performance of a system as opposed to a single-step synchronization (the received signal is derotated by the estimate of the frequency offset determined during the coarse synchronization stage and the processing of the fine synchronization stage takes place on a corrected signal).

In my design I chose the following structure for the preambles responsible for coarse and fine synchronization:



**GI** – guard interval

**PN1** - 16-sample long preamble with Minn's PN sequence structure  $[A A^*]$  where A is a  
PN sequence of length 8

**PN2**- 64-sample long preamble with Minn's PN sequence structure  $[A A^*]$  where A is a  
PN sequence of length 32

Synchronization Implementation Design Choice		
Synchronization Step	Alternative Solutions	Justification of Selection Decision
Coarse synchronization	<ul style="list-style-type: none"> <li>using standard Schmidl and Cox's method with a preamble consisting of two identical copies of a PN sequence of length 32</li> <li>using the original Minn's method with two identical halves having complex conjugate symmetry which are built using 16-sample long PN sequence</li> </ul>	<p>I chose Minn's method over Schmidl and Cox's alternative because, as mentioned in the introduction, the standard Schmidl and Cox's timing metric suffers from a plateau region which introduces uncertainty in determination of the start of an OFDM symbol whereas Minn's method produces a single distinct peak at the start of a symbol.</p> <p>I decided to modify Minn's synchronization method taking into consideration hardware resources availability on the target FPGA – Cyclone II. Using Minn's single 64-sample long preamble would require 32 complex multiplications per one clock cycle to calculate the timing metric (each complex multiplication requires 4 real multiplications - total of 128 multiplications). Obviously, the number of embedded multipliers in Cyclone II FPGA is not sufficient. Even though one could implement it by extending the number of multipliers with software multipliers it would still be a very bad design choice due to the fact that it would leave no multipliers resources for other tasks in the receiver. Therefore my coarse synchronization preamble consists of 4 "mini" 16-sample long Minn's preambles. In order to improve reliability of the coarse synchronization which degrades with shorter PN sequences (the shorter the PN sequence the less distinct peak becomes among erroneous peaks) I devised a special mechanism for detecting the start of an OFDM symbol from four peaks of the timing metric in Preamble 1 (a description of the mechanism is in section 4.4.2.2).</p> <p>Even though Preamble 1 consists of four "mini" preambles its both halves are still identical therefore the timing metric for the frequency offset is calculated with respect to entire Preamble 1. The frequency offset metric <math>P_{FCO}(d)</math> has to be calculated</p>

		only for one delay value $d$ and can be implemented using a serial multiplier/accumulator mechanism (described in section 4.4.2.2 ).
<b>Fine synchronization</b>	<ul style="list-style-type: none"> <li>• using Minn's timing metric based on the autocorrelation function of the received signal</li> <li>• using cross-correlation</li> </ul>	<p>I decided to use 64-sample long Minn's preamble in order to decrease uncertainty in selection of the "right" peak among erroneous peaks. However, instead of correlating the noisy received signal with a delayed copy of itself for the fine timing synchronization I decided to correlate the noisy received signal with the reference Preamble 2. The assumption is that majority of synchronization has been done in the coarse synchronization stage and therefore the received Preamble 2 samples "resemble" more the transmitted samples (ignoring corruption caused by the channel). Due to the fact that samples of Preamble 2 have been already compensated with the frequency offset estimated in the coarse synchronization stage and the vicinity of the symbol start is known the phase of the received samples should be close to the phase of the transmitted signal. As a consequence, I chose to use only sign information of the received signal and the reference Preamble 2 and implement a clipped cross-correlation. The clipped cross-correlator gave me very similar performance results compared to a regular cross-correlator, however, hardware resources saving was tremendous (a comparison of the signs of numbers requires no multipliers).</p>

## 4.4 HARDWARE IMPLEMENTATION

### 4.4.1 OVERALL ARCHITECTURE

The entire synchronization mechanism is based on a state machine and a single main control counter. Each time a given state is exit the main control counter is reset.

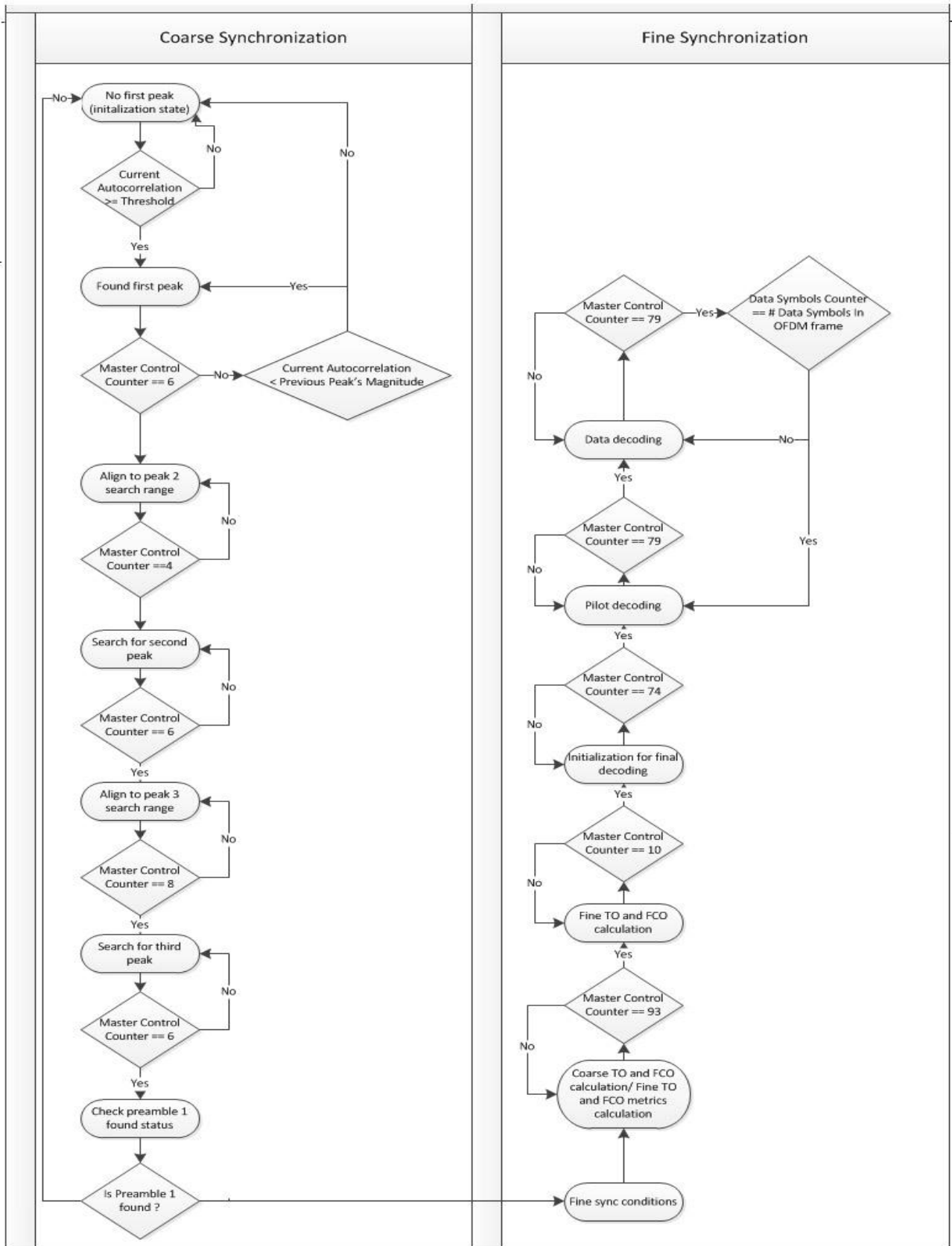


Figure 3: The state diagram governing operation of the entire receiver.

## 4.4.2 COARSE SYNCHRONIZATION

### 4.4.2.1 Alternative Solutions and Design Choice

Coarse Timing Synchronization Design Choice	
Alternative Solutions	Justification of Selection Decision
<ul style="list-style-type: none"> <li>• detection based on only one of the four autocorrelation peaks in the Preamble 1</li> <li>• detection based on four autocorrelation peaks in the Preamble 1</li> <li>• use a longer PN sequence for a more distinguishing autocorrelation peak among erroneous peaks caused by the multipath channel</li> </ul>	<p>The worst alternative is to use only one of the four peaks for detection of the Preamble 1 due to the fact that it would trigger a lot of false detections in both moderate and severe multipath channels and therefore decoding of a "garbage" data would occur. The other extreme of alternative solutions' spectrum - detection based on all four autocorrelation peaks is a little bit better alternative due to the fact that it eliminates false detection problem. However, it is still not an acceptable solution because in moderate and severe multipath channel conditions where erroneous peaks' magnitudes are on the same order as the actual Preamble 1 peaks the system would miss a substantial amount of Preamble 1 instances before locking to a synchronized state.</p> <p>Using a longer PN sequence is a very costly solution in terms of hardware resources and in the case of Altera Cyclone II FPGA it is not viable solution at all due to lack of sufficient number of embedded and software multipliers. Moreover, it is not a good design in which one subsystem uses all available multipliers' resources.</p> <p>My design of the coarse synchronization scheme is a compromise between all alternative solutions. The mechanism uses only 16-sample long PN sequence to save on multipliers' resources. Deterioration of the autocorrelation property in moderate and severe channels due to a shorter PN sequence is</p>

**compensated by a mechanism based on an idea of a search range and 2-element maximum autocorrelation peaks memory to allow uncertainty in peaks detection and eliminate false detection alarms.**

#### **4.4.2.2 Implementation Description**

The first state "No first peak" is an initialization stage in which all control signals governing the entire synchronization system are reset to their default values. The system stays in this state as long as the timing offset metric  $P_{TO}(d)$  does not exceed the autocorrelation threshold. Otherwise, an event corresponding to exceeding threshold value triggers a detection of the first autocorrelation peak in the first preamble and the synchronization state machine transitions to the next state - "Found first peak".

Preliminary MATLAB simulation of the timing offset metric performance in various multipath channel conditions led me to the following observations which formed a foundation for the development strategy of the coarse timing offset synchronization mechanism:

1. The magnitude of the "correct" PN sequence autocorrelation peak is larger than erroneous peaks which emerge due to "smearing" effect caused by superposition of multiple copies of the transmitted signal due to a multipath channel. This should be expected from the thumb-tack autocorrelation property of a PN sequence.
2. In severe multipath channel conditions in which magnitude of the out-of-sight components in the channel impulse response is comparable to the line-of-sight component (the first coefficient in the channel impulse response) the erroneous peaks are of the same order of magnitude as the "actual" PN sequence autocorrelation peak.

#### ***Design choice motivated by the first conclusion from the preliminary MATLAB simulation results***

The first observation led me to an approach in which the first peak is detected based on the maximum autocorrelation value. As a consequence, in the next state " Found first peak " for the next 7 cycles the current cycle's autocorrelation value is compared to the first peak's autocorrelation magnitude (the boundary between the first and the second peaks' regions lines up with the 7<sup>th</sup>/8<sup>th</sup> clock cycles' edge counting from the first peak location, as it is shown in the autocorrelation peaks distribution diagram in the Preamble 1 – Table 9).

		Peak1			Peak 2			Peak 3			Peak 4	
Preamble sample index	1,2...8	9	10,11...16	17,18...24	25	26,27...32	33,34...40	41	42,43...48	49,48...56	57	58,59...64
Samples between peaks	1,2...8		1,2...7	1,2... 8		1,2... 7	1,2... 8		1,2... 7	1,2... 8		1,2...7

**Table 9: A diagram of the autocorrelation peaks distribution in the Preamble 1.**

There are two possible state transitions upon entry to "Found first peak" state:

- If in any of 7 clock cycles following the detected peak the autocorrelation magnitude is higher than the detected first peak's magnitude the main control counter is reset and the searching process is repeated.
- If in all of 7 following clock cycles the autocorrelation values are smaller than the autocorrelation threshold or the first peak's magnitude it is an indication that the first peak has been found and a transition to the next stage - "Align to peak 2 search range" occurs.

Due to the fact that I decided to employ a short 16-sample long PN sequence for the coarse timing offset synchronization in order to make hardware implementation feasible on Cyclone II FPGA I decided to take into account a rare possibility that an erroneous peak can have the same or larger magnitude as the actual PN sequence autocorrelation peak. To accommodate this scenario and improve reliability I employed an idea of a search region for the second and the third peak. The search region extends 3 samples back and forward in time from the second and the third peak's locations with respect to the first peak found in " Found first peak" state.

The purpose of "Align to peak 2/3 search range" state is to approach each peaks' search region which starts 3 samples before the second/third peak's location relative to the position of the first peak.

Detection mechanism for the first preamble is based on a relative distance between the second and the third peak due to the fact that the location of the first peak is much less reliable - the first peak's region corresponds to a guard interval in an OFDM symbol and hence it is affected to the largest extent by the multipath channel among all of the peaks. The sole purpose of the first peak detection is to establish a search region in proximity of the actual second and third peak. I designed the mechanism in this manner to eliminate possibility of false detection. In the worst case scenario when the multipath channel corrupts the first peak area to such an extent that the search region for the second peak does not embrace the actual second peak the current preamble will be ignored and the receiver will proceed to seek another occurrence of the Preamble 1. However, no false detection will occur.



***Design choice motivated by the second observation from the preliminary MATLAB simulation results***

Since erroneous autocorrelation peaks can have magnitude on the order of the actual peak's magnitude in a severe multipath channel I decided to implement 2-element memory for autocorrelation peaks in both the second and the third peak's search regions. The memory elements are sorted in a decreasing autocorrelation magnitude order.

Both "Search second peak" and "Search third Peak" states include a flowchart with four states to detect two maximum peaks in each search region.

Embedded in "Search second/third peak" state(s) flowchart			
Flowchart State	Common Entry Condition	Specific Entry Condition	Action Taken Upon Entry
<b>Found second/third peak max1</b>	Current Autocorrelation $\geq$ pk2/3max1 - the current cycle's autocorrelation magnitude exceeds the first maximum peak's magnitude in the memory (pk2/3max1)	pk2/3max1 < pk2max2 - the first maximum peak in the memory to be displaced by a new value is less than the second maximum peak in the memory	<p><b>Clear the frequency offset metric <math>P_{FCO}</math> (d) corresponding to the first maximum peak in the memory.</b></p> <p><b>Set the first maximum peak's value to a current cycle's autocorrelation value and its index to the main control counter.</b></p>
<b>Found second/third peak max1ANDmax2</b>		pk2/3max1 $\geq$ pk2max2 - the first maximum peak in the memory to be displaced by a new value is larger than the second maximum peak in the memory	<p><b>Clear the frequency offset metric <math>P_{FCO}</math> (d) corresponding to both the first and the second maximum peaks in the memory.</b></p> <p><b>Set the first maximum peak value in the memory to the current cycle's autocorrelation value and its index to the main control counter.</b></p> <p><b>Copy the displaced current first maximum peak value and its index into the</b></p>

			<b>second maximum peak's memory entries.</b>
<b>Found second/third peak max2</b>	Current Autocorrelation < pk2/3max1 - the current cycle's autocorrelation magnitude is less than the first maximum peak's magnitude in the memory (pk2/3max1)	Current Autocorrelation >= pk2/3max2 - the current cycle's autocorrelation magnitude exceeds the second maximum peak in the memory (pk2/3max2)	<b>Clear the frequency offset metric <math>P_{FCO}(d)</math> corresponding to the second maximum peak in the memory (clear_pk2max2)</b>  <b>Set the second maximum peak value to the current cycle's autocorrelation value and its index to the main control counter</b>
<b>No second peak</b>		Current Autocorrelation < pk2/3max2 - no peak is detected which exceeds the current memory peaks' values	<b>No action</b>

The frequency offset metric is calculated with respect to 33<sup>rd</sup> sample of the 64-sample long Preamble 1(index d=33 according to equation (2) in section 4.2.2).

The architecture employed for the frequency offset metric  $P_{FCO}(d)$  calculation is based on a serial multiplier and accumulator combination. Once the enable signal for the frequency offset metric accumulator (enable\_Sym1FreqPDaccumSEQ )goes high every clock cycle a current sample is multiplied by a delayed by 32 cycles sample and the results is accumulated as long as the enable signal stays high (see Appendix Section 8.1 Figure 13)..

Thirty third position in Preamble 1 corresponds to a sample immediately following the second autocorrelation peak of the timing offset metric  $P_{TO}$  therefore frequency offset metric calculation should start one sample after detection of the second autocorrelation peak. In a scheme based on an idea of a search range and a 2-element autocorrelation peaks memory accumulation for both elements in the second peak's memory starts one sample after the beginning of the second peak search range - it corresponds to the second peak's location at the maximum allowable negative offset -3 in the search range. If two maximum autocorrelation peaks are detected at the other offsets' positions in the 7-sample long search range the signals clear\_pk2max1 and clear\_pk2max2 are set high at these offset locations which causes a reset of the frequency offset accumulators (two accumulators comprise the 2-element memory). Accumulation resumes one cycle later producing the right result for a given peak's offset in the search range.

The next state following "Search third peak" - "Check preamble 1 found status" checks whether Preamble 1 has been found. It uses the following Preamble 1 detection condition:

- Preamble 1 has been found if indices of any pair of entries in the second and the third peaks' memories match (one entry from the second peak's memory and one from the third peak's memory)

"Check preamble 1 found status" sets a selection signal "which\_from\_p2maxFreqPDaccumSEQ" for a MUX which loads an appropriate frequency offset corresponding to the matching condition upon completion of the frequency offset metric  $P_{FCO}$  calculation in the coarse synchronization stage (it occurs at the 4th peak's location).

If a match was established with the first maximum peak in the second peak's memory which\_from\_p2maxFreqPDaccumSEQ will be set to take the frequency offset metric value from pk2max1. Otherwise, in the case of a match with the second maximum peak in the second peak's memory which\_from\_p2maxFreqPDaccumSEQ will be set to take the frequency offset metric  $P_{FCO}$  from pk2max2.

There are two possible transitions from "Check preamble found" state depending on Preamble 1 found status:

- Preamble 1 not found - go back to "No first peak"
- Preamble 1 has been found - go to "Fine sync conditions "

The purpose of "Fine sync conditions" is to adjust all control signals for the fine synchronization stage (based on Preamble 2) with respect to location of the second/third autocorrelation peak within its search range which has been established in the coarse synchronization stage (based on Preamble 1). The reference location of the second/third peak is 3 - the location predicated by the first peak's location. The mechanism allows +/-3 samples uncertainty due to corruption of the first peak's samples to the largest extent by the multipath channel. Therefore upon entry to "Fine sync conditions " state locations of all fine synchronization control signals, based on the main control counter, are adjusted by an offset :

- if which\_from\_p2maxFreqPDaccumSEQ is set to select  $P_{FCO}$  from pk2max1 => offset=pk2max1\_index-3
- if which\_from\_p2maxFreqPDaccumSEQ is set to select  $P_{FCO}$  from pk2max2 => offset=pk2max2\_index-3

### 4.4.3 FINE SYNCHRONIZATION

#### 4.4.3.1 Implementation Description

States responsible for fine synchronization are “Coarse TO and FCO calculation/Fine TO and FCO metrics calculation” and “Fine TO and FCO calculation”.

Fine synchronization includes four steps:

1. Conversion of the coarse frequency offset metric ( $P_{FCO}$ ) to the actual coarse frequency offset value (FCO) , according to equation (3) in section 4.2.2, using vectoring CORDIC algorithm of circular type.
2. De-rotation of the received Preamble 2 samples by the coarse frequency offset.
3. Calculation of cross-correlation between the de-rotated Preamble 2 samples and the reference Preamble 2 samples which are stored in a lookup table for fine timing offset determination
4. Calculation of the fine frequency offset metric from the de-rotated samples for fine frequency offset determination.

#### ***1. Conversion from the coarse frequency offset metric ( $P_{FCO}$ ) to the frequency offset value (FCO)***

Once the calculation of the frequency offset metric in the coarse synchronization stage is completed (it occurs at the location of the 4th timing offset metric peak in the Preamble 1) the accumulator of the serial multiplier/accumulator mechanism for the frequency offset metric calculation (see Appendix Section 8.1 Figure 13) is disabled via its enable signal `enable_Sym1FreqPDaccumSEQ`.

The inputs to the vectoring CORDIC unit are changed from the coarse timing offset metric, which is no longer needed, to the frequency offset metric in order to convert the frequency offset metric to the frequency offset value. It is achieved by changing a selection signal of the vectoring CORDIC input MUX from its default value 0 – TO  $P_{TO}$  metric to 1- coarse FCO metric  $P_{FCO}$ .

Latency of the vectoring CORDIC unit is two clock cycles therefore two cycles later the enable signal (`loadfreqPDCB`) for a register storing the frequency offset, from which Para-CORDIC-based de-rotator (see Appendix Section 8.1 Figure 16) de-rotates the received samples, is set high.

## 2. De-rotation

Starting from a sample determined by the coarse synchronization as a start of an OFDM symbol each sample is de-rotated by increasing modulo 64 subcarrier frequency index.

The de-rotator is implemented using Para-CORDIC algorithm (see Appendix Section 8.1 Figure 16).

## 3. Calculation of cross-correlation between the de-rotated Preamble 2 samples and the reference Preamble 2 for fine timing offset determination

In the fine timing offset synchronization I use 11-sample long delay window around the start of an OFDM symbol, as determined by the coarse synchronization, for the cross-correlation calculation (+/-5 samples from the start of an OFDM symbol).

The cross-correlation unit comprises of eleven chains of identical components required to calculate cross-correlation for one delay offset (each chain corresponds to one offset value from the start of an OFDM symbol determined by the coarse synchronization). These components include (see Appendix Section 8.1 Figure 15):

- A counter for indexing a look-up table with the reference Preamble 2. A reset signal for the counter comes from the inverted trigger signal for the cross-correlation start (enable\_crosscorrSEQ) therefore at the first sample of the cross-correlation calculation for a particular delay (out of 11 possible cross-correlation delays) it indexes the first sample of the Preamble 2 as desired.
- Clipped cross-correlator. The clipped-correlator uses only the signs of cross-correlated samples. The function of the clipped-cross-correlator can be described using the following MATLAB pseudocode:

```
if (sign(real(signal(i)))==sign(real(preamble(i))) && sign(imag(signal(i)))==sign(imag(preamble(i))))
    cross-correlation=1;
else
    cross-correlation=0;
end
```

- An accumulator - accumulates cross-correlation summation values produced by the clipped cross-correlator as long as an enable signal for the cross-correlation stays high (enable\_crosscorrSEQ). The length of the Preamble 2 is 64 therefore the enable signal is kept high for 64 clock cycles to yield correct cross-correlation result.

As can be seen from the cross-correlator circuit diagram in Appendix Section 8.1 Figure 15 there is only one enable signal for the entire cross-correlator unit and is applied directly only to the cross-correlation chain corresponding to -5 offset from the start of an OFDM symbol. Each successive offset's enable signal is derived from this enable signal using a delay element. In this way each successive offset starts and ends the cross-correlation calculation one sample later producing correct result for each offset value.

#### ***4. Calculation of the fine frequency offset metric from the de-rotated samples for fine frequency offset determination.***

The same architecture as the cross-correlator unit with 11 chains of identical components for each offset value from the start of an OFDM symbol has the fine frequency offset unit (see Appendix Section 8.1 Figure 14). It is also based on a serial multiplier/accumulator mechanism used in the coarse frequency offset calculation.

"Fine TO and FCO calculation" state at each clock cycle performs a comparison between successive offsets' cross-correlation values to find a maximum. The offset corresponding to the maximum cross-correlation value (max\_CC\_idx signal) indicates the final location of the start of an OFDM symbol (equivalent to the start of FFT window). Max\_CC\_idx is used as a selection signal for:

- an output MUX inside the fine frequency offset unit which routes an appropriate accumulator's output out of 11 possible offsets to the vectoring CORDIC unit for the frequency offset metric-to-value conversion
- an input MUX for the de-rotator which selects the samples corresponding to the start of an OFDM symbol for rotation by the fine frequency offset

#### ***4.4.4 CHANNEL ESTIMATION***

The function of the next state following "Fine TO and FCO calculation" – "Initialization for final decoding" is to trigger the serial input mechanism inside the FFT unit at the start of the pilot symbol.

"Pilot decoding" state is responsible for channel estimation process.

The FFT samples of the pilot symbol are converted to polar coordinates using the vectoring CORDIC unit. Therefore one sample prior to appearance of the first FFT sample of the pilot symbol a selection signal for an input MUX of the vectoring CORDIC unit is set to the FFT unit output.

Latency of the vectoring CORDIC module for modulus calculation is four cycles therefore at the third clock cycle a reset control for a counter used for indexing the reference pilot samples is set.

The output of the vectoring CORDIC is connected to a division unit implemented using a separate vectoring CORDIC of linear type. The division unit divides the modulus of the FFT of the received pilot sample by the modulus of the reference pilot sample to produce the channel frequency response at a given subcarrier index (frequency).

The phase of the channel frequency response is easily calculated by subtraction of the reference pilot angle from the delayed by two samples angle output of the vectoring CORDIC unit (latency of the vectoring CORDIC unit for the angle calculation is two cycles therefore in order to synchronize modulus and angle division results two samples delay is inserted).

Latency of the division unit is two cycles therefore on the second clock cycle from the beginning of the division process the enable signal (enable\_update\_channelSEQ) triggers an update of the channel frequency response samples. The enable signal stays high for the next 64 cycles to enable an update of all 64 subcarriers' channel frequency response in a shift register storing the channel frequency response samples.

When the master control counter reaches the count of 10 a trigger signal (startFFTCB). is set to start the serial input shifting mechanism of the FFT unit for the next OFDM symbol (data symbol this time).

#### **4.4.5 DATA DECODING**

"Data decoding" state is in charge of data symbol decoding. It has similar structure to "Pilot decoding" state with a minor difference after division of the FFT of the received sample by the channel response. In contrast to pilot decoding, the result is converted back to rectangular coordinates to reduce complexity of 16-QAM de-mapper.

The mechanism of data decoding is exactly the same as for the channel estimation counterpart up to the end of the division operation. The only difference concerns a divisor. Now instead of the reference pilot symbol a channel response at a given subcarrier's frequency, which has been obtained and stored during the channel estimation process, is accessed and used as a divisor.

In addition to that, the main discrepancy in operations of the pilot and the data decoding mechanisms concerns processing after division. As mentioned in the introduction in order to ease up the process of de-mapping QAM symbols the result of division is converted back to rectangular coordinates (if one choose to use QPSK mapping of the source symbols then this step is unnecessary and the rest of decoding mechanism is equivalent to the pilot decoding counterpart).

Due to the fact that already existing in the system Para-CORDIC module is constantly engaged in de-rotation of samples by the frequency offset it could not be re-used for a polar-to-rectangular coordinate conversion. As a consequence, there is a separate Para-CORDIC module

introduced in the system for the sole purpose of a polar-to-rectangular coordinate conversion in the data decoding process.

Latency of Para-CORDIC based polar-to-rectangular converter is two cycles and there is an additional cycle for the decision device – 16-QAM de-mapper.

During the additional clock cycle for the de-mapper the enable signal for the decoder (enable\_decoder\_outputSEQ ) is set. The function of the enable signal for the decoder is to output decoded symbols only during the decoding process and output some user-defined dummy value otherwise (during synchronization and other internal processing tasks). This way the decoded data stream can be processed and parsed at a higher (possibly software) level to extract the transmitted symbols.

## 5 TESTING

A subset of algorithms selected during the initial research stage of the project was first implemented in floating-point MATLAB functions to verify their functionality and performance. My goal was to write a MATLAB code in a structured way such that following this stage – hardware implementation could easily follow the flow of the MATLAB programs.

Next, I proceeded to hardware programming of the algorithms in hardware descriptive language Verilog. For debugging of Verilog code I used co-simulation feature of MATLAB Simulink and ModelSim (Verilog simulator) software. The co-simulation feature allows to instantiate a Verilog module inside a Simulink model, feed it with Simulink test signals and output the hardware signals to the MATLAB workspace for further processing. One can specify where the radix point is in a fixed-point representation of the inputs fed to a Verilog module and also how to interpret Verilog unit's outputs.

The procedure used for debugging of Verilog code:

- place MATLAB debugging breakpoints at the transition points of a given algorithm to probe variables of interest (for instance, probe x, y and z coordinates in CORDIC algorithm before and after each iteration)
- "take out" internal signals from a Verilog module and output them to the MATLAB workspace
- proceed step-by-step through the MATLAB debugging breakpoints comparing values of the floating-point MATLAB variables and corresponding Verilog module's signals

Besides facilitating a comparison process through a direct conversion of the fixed-point Verilog outputs to a floating-point representation the co-simulation feature also allowed me to test hardware implementation with a large amount of random input values to identify special case errors and fix them to ensure functionality of the algorithm for all possible input combinations



encountered in the transceiver. The test bench used for this purpose consists of two parallel branches:

- a branch consisting of a floating-point MATLAB implementation of a given algorithm
- a branch with a Verilog module

Both branches were fed with the same test vector. The outputs were compared and both mean error and mean squared error were computed to assess whether the module produces correct result within its integer and fractional precision.

The next step following verification of the hardware implementation of algorithms concerned Simulink model of the entire transceiver which aside from being a deliverable of the project consists a test platform for the whole system. This stage of verification process consisted of the following stages:

- creation of a floating-point model and verification of transceiver's functionality using floating-point MATLAB based implementation of the core components, such as FFT, CORDIC-based de-rotator and rectangular-to-polar/polar-to-rectangular converters. For this purpose I converted all traditional instant-output MATLAB functions to S-functions (or in simpler cases I used a concatenation of a MATLAB function with a delay element) in order to reflect latency of the corresponding hardware components in producing the outputs.
- conversion of the floating-point model to a fixed-point hardware-based model via replacement of the ideal floating-point components with Verilog modules using Simulink/Modelsim co-simulation feature and matching the outputs to the working floating-point implementation

## 6 RESULTS

The results obtained during various verification stages, described in Section 5, serve the purpose of assessment of achievement of the main goal set for the project, namely, reusability of the project's materials for students interested in communication field. Reusability concerns both algorithms used to build the transceiver as well as the whole system itself.

### 6.1 RESULTS FOR ASSESSMENT OF REUSABILITY OF THE HARDWARE IMPLEMENTATION OF THE ALGORITHMS USED IN THE OFDM TRANSCEIVER'S DESIGN

Reusability of the transceiver's algorithms was assessed through a comparison of the ideal floating-point implementation of a given algorithm (MATLAB function) and a corresponding hardware (Verilog code) implementation.

The reusability criterion for the algorithms used in the transceiver's design can be formulated as follows:

- If a hardware implementation produces results which match the results of an ideal floating-point MATLAB-based implementation then the reusability goal is achieved.

Using Simulink/Modelsim co-simulation feature and verification procedure described in Section 5 I was able to assess both qualitatively and quantitatively the matching between the ideal MATLAB-based implementation and the hardware (Verilog code) implementation of a given algorithm for a large number of random test inputs (for visualization purposes I used only a set of 100 test input values).

Qualitative assessment was done by the means of a graphical representation of the comparison results of both implementations' outputs. I overlaid the plots of target quantities of a given algorithm for the entire range of the test input(s) values produced by each implementation. The output target and the test input quantities as well as a reference to a corresponding comparison plot for each algorithm are listed in Table 10.

Algorithm	Test Input Quantities	Target Output Quantities	Comparison Plot
<b>CORDIC Algorithm in vectoring mode</b>	➤ x and y coordinates of a test complex number	➤ angle of a test complex number ➤ modulus of a test complex number	<b>Figures 4-7</b>
<b>CORDIC Algorithm in rotation mode (Para-CORDIC)</b>	➤ a rotation angle ➤ x and y coordinates of a fixed test vector (only the rotation angle is varying)	➤ x and y coordinates of a fixed test vector after rotation by a test angle	<b>Figures 8-9</b>
<b>Fast-Fourier Transform (FFT)</b>	➤ N complex numbers (N - FFT order) – time domain signal	➤ N complex numbers – frequency domain signal	<b>Figures 10-11</b>

**Table 10: Algorithms' test input and target output quantities.**

The matching between both the ideal MATLAB-based and the hardware (Verilog Code) implementations is evident from the plots.

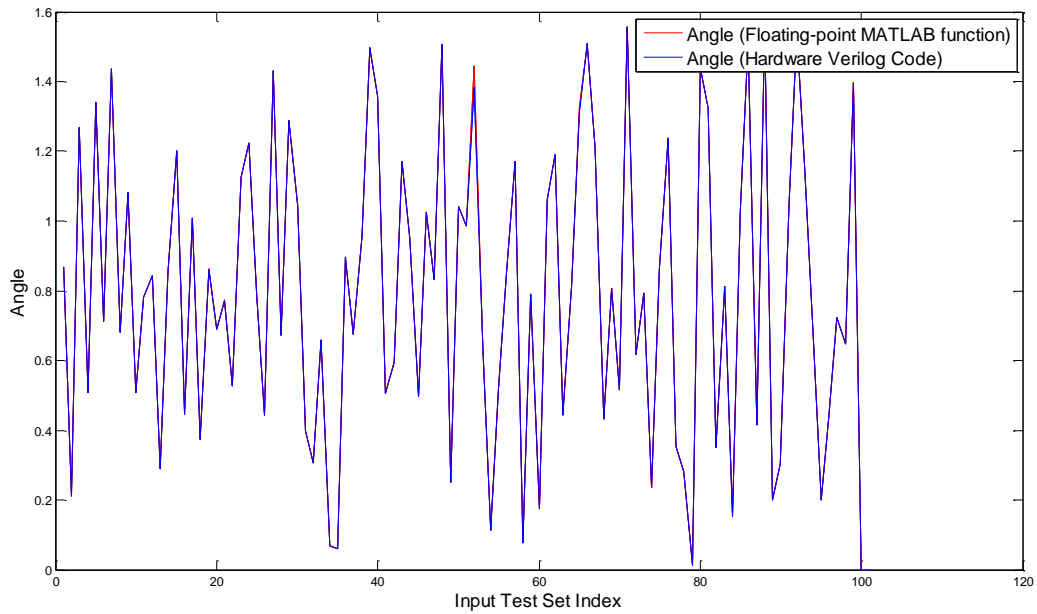
In order to more rigorously quantify the matching between the two implementations I used mean error and mean squared error metrics for quantitative assessment. The quantitative assessment's data is listed in:

- Table 11. for the CORDIC Algorithm in vectoring mode
- Table 12 for the CORDIC Algorithm in rotation mode (Para-CORDIC)
- Table 13 for the Fast-Fourier Transform algorithm

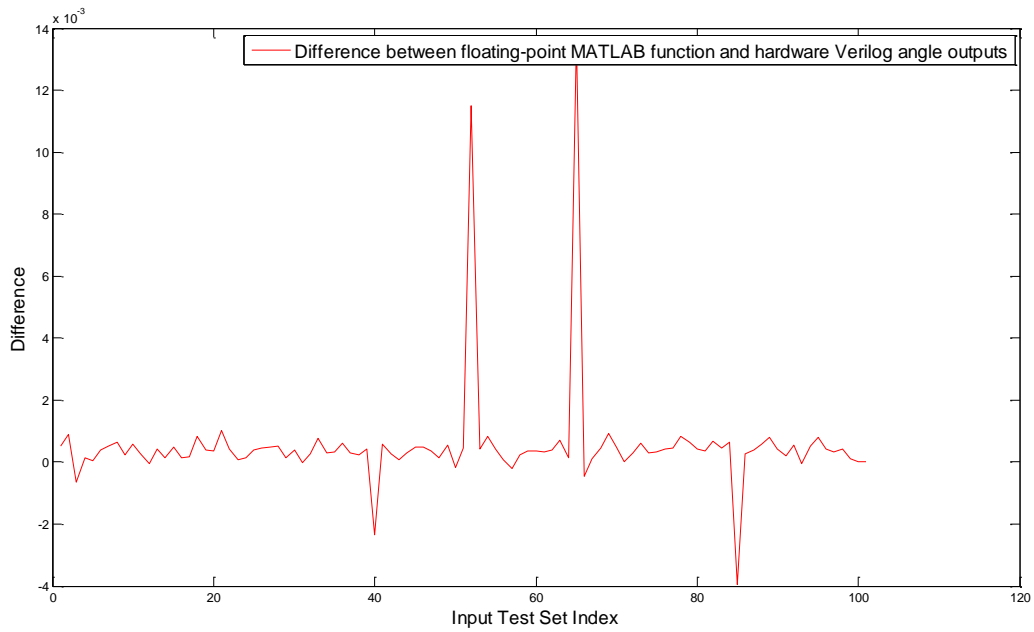
If a given algorithm's output was computed by the means of one operation the matching condition would be explicitly stated in terms of the error's magnitude with respect to input bit precision. In that case the condition for a match would be that the error is less than the smallest representable number in the input's fixed-point representation. Due to the fact that all test input signals are represented in 8.8 fixed-point representation (8 bits for the integer part and 8 bits for the fractional part) the error should be less than  $2^{-8} = 0.0039$ .

However, none of the algorithms is computed in one step and truncation effect occurring in the intermediate stages requires complicated numerical analysis to determine the error's upper bound. Unfortunately, such an analysis is beyond the scope of this project.

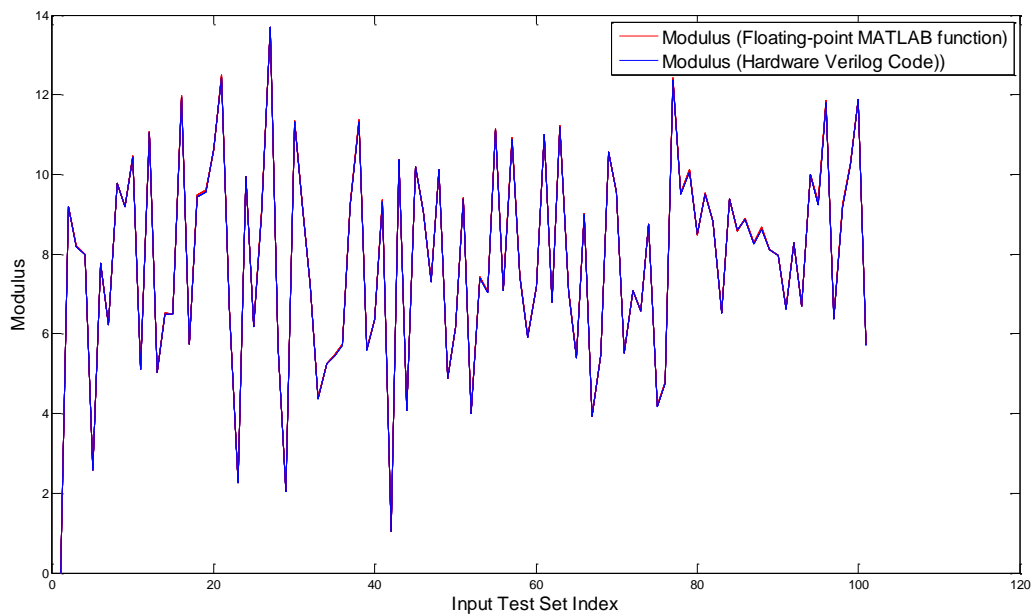
Nevertheless, the mean error values and the mean squared error values for each algorithm are close to the error's lower bound for one-step operation and it can be concluded that the hardware implementation of the algorithms matches the ideal floating-point MATLAB- based implementation in terms of functionality. Therefore the reusability condition set for the hardware implementation of the algorithms is met.



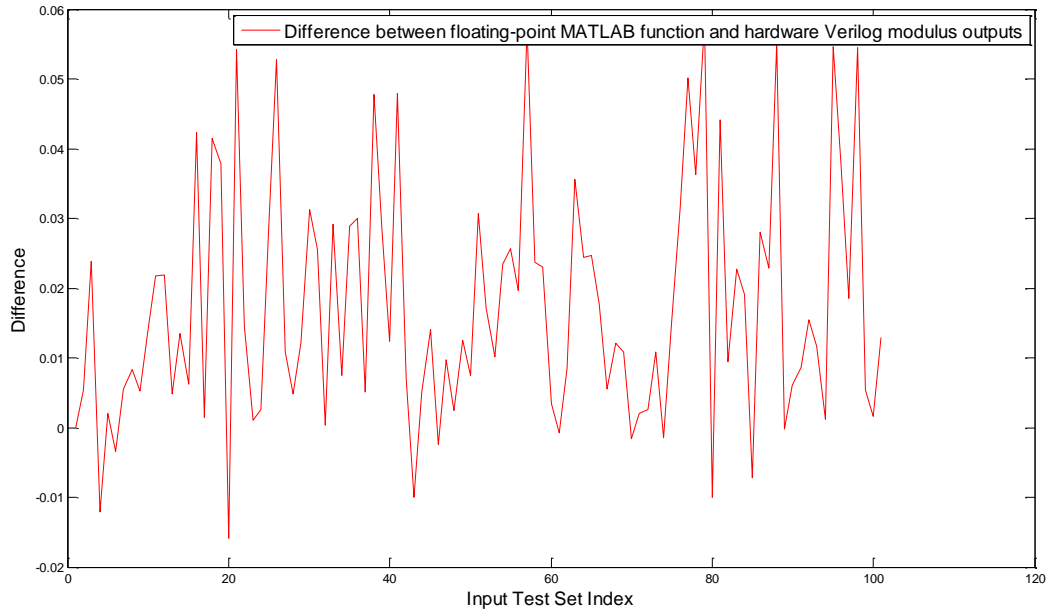
**Figure 4: The overlaid angle results (100 test input values) for both the floating-point MATLAB-based and the hardware (Verilog code) implementations of the CORDIC algorithm in vectoring mode.**



**Figure 5: The difference in the angle results (100 test input values) between the floating-point MATLAB-based and the hardware (Verilog code) implementations of the CORDIC algorithm in vectoring mode.**



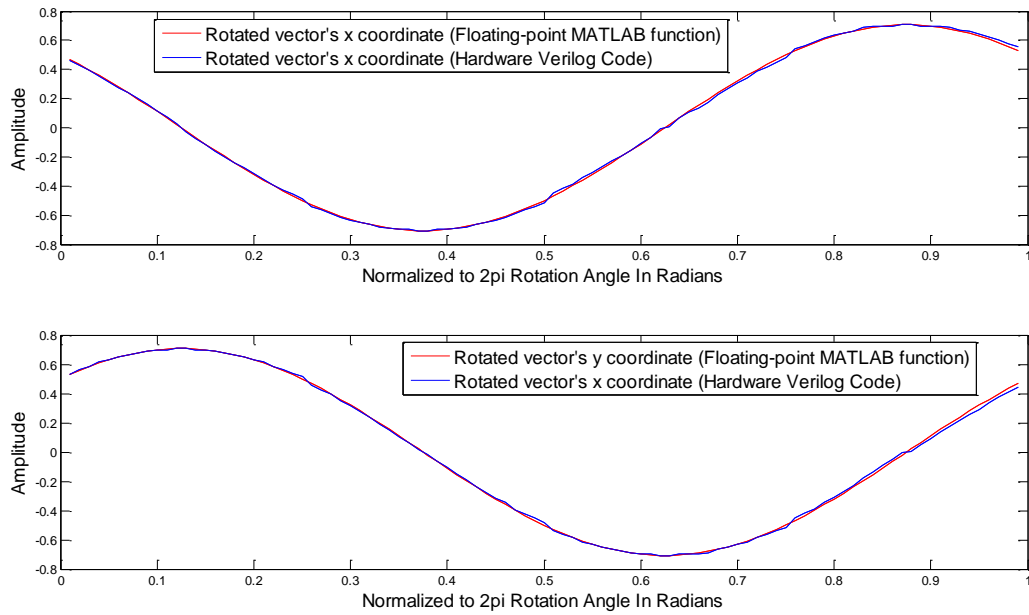
**Figure 6: The overlaid modulus results for both the floating-point MATLAB-based and the hardware (Verilog code) implementations of the CORDIC algorithm in vectoring mode.**



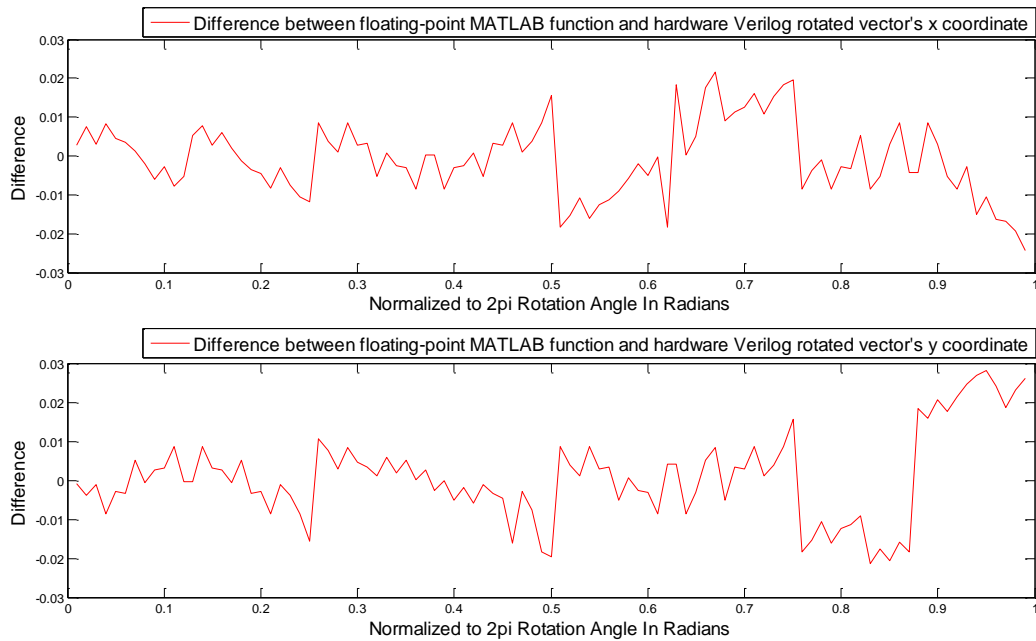
**Figure 7: The difference in the modulus results (100 test input values) between the floating-point MATLAB-based and the hardware (Verilog code) implementations of the CORDIC algorithm in vectoring mode.**

Target Output Quantity	CORDIC Algorithm In Vectoring Mode Difference Between The Floating-Point MATLAB-based Implementation And The Hardware (Verilog Code) Implementation					
	Hardware 16-bit Fixed-point Representation				Mean Error	Mean Squared Error
	Input		Output (angle/modulus)			
	Integer Part	Fractional Part	Integer Part	Fractional Part		
Angle	8 bits	8 bits	3	13	0.0010	1.0577x10 <sup>-6</sup>
Modulus	8 bits	8 bits	8	8	0.0172	2.9493x10 <sup>-4</sup>

**Table 11: The difference between the floating-Point MATLAB-based implementation and the Hardware (Verilog Code) implementation of the CORDIC algorithm in vectoring mode.**



**Figure 8: The overlaid rotated x and y coordinates' results (100 test input values) for both the floating-point MATLAB-based and the hardware (Verilog code) implementations of the CORDIC algorithm in rotation mode (Para-CORDIC).**



**Figure 9: The difference in the rotated x and y coordinates' results (100 test input values) between the floating-point MATLAB-based and the hardware (Verilog code) implementations of the CORDIC algorithm in rotation mode (Para-CORDIC).**

Target Output Quantities	CORDIC Algorithm In Rotation Mode (Para-CORDIC) Difference Between The Floating-Point MATLAB-based Implementation And The Hardware (Verilog code) Implementation					
	Hardware 16-bit Fixed-point Representation				Mean Error	Mean Squared Error
	Input		Output (angle/modulus)			
	Integer Part	Fractional Part	Integer Part	Fractional Part		
Vector's X Coordinate	8 bits	8 bits	8	8	0.0075	5.5751x10 <sup>-5</sup>
Vector's Y Coordinate	8 bits	8 bits	8	8	0.0084	7.0795 x10 <sup>-5</sup>

Table 12: Difference between the floating-Point MATLAB-based implementation and the Hardware (Verilog Code) implementation of the CORDIC algorithm in rotation mode (Para-CORDIC).

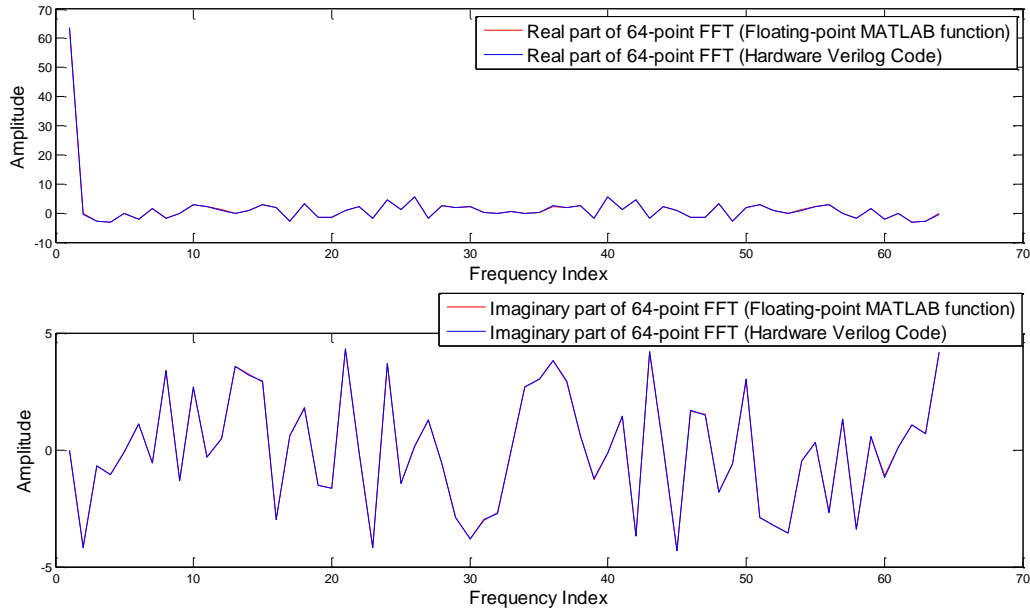
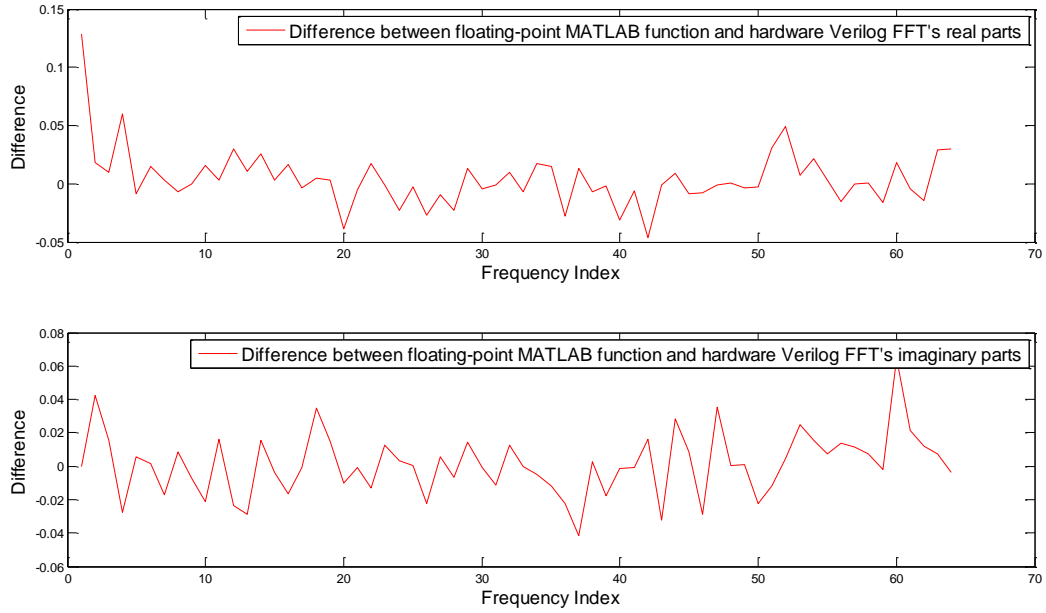


Figure 10: The overlaid FFT real part results and the FFT imaginary part results (1 set of the FFT test input data) for both the floating-point MATLAB-based and the hardware (Verilog code) implementations of the Fast-Fourier Transform algorithm.



**Figure 11: The difference in the FFT real part results and the FFT imaginary part results (1 set of the FFT test input data) for both the floating-point MATLAB-based and the hardware (Verilog code) implementations of the Fast-Fourier Transform algorithm.**

Target Output Quantity	Fast-Fourier Transform (FFT) Difference Between The Floating-Point MATLAB-based Implementation And The Hardware (Verilog code) Implementation					
	Hardware 16-bit Fixed-point Representation				Mean Error	Mean Squared Error
	Input		Output (angle/modulus)			
	Integer Part	Fractional Part	Integer Part	Fractional Part		
FFT Real Part	8 bits	8 bits	8	8	0.0154	2.3811x10 <sup>-4</sup>
FFT Imaginary Part	8 bits	8 bits	8	8	0.0141	1.9822 x10 <sup>-4</sup>

**Table 13: Difference between the floating-Point MATLAB-based implementation and the Hardware (Verilog Code) implementation of the Fast-Fourier Transform algorithm.**

## 6.2 RESULTS FOR ASSESSMENT OF REUSABILITY OF THE OFDM TRANSCEIVER

The assessment of reusability of the entire system was realized through evaluation of the transceiver's ability to estimate and compensate for synchronization errors which is reflected in error-free decoding of the transmitted data symbols in various channel conditions.



I tested the transceiver in channels of a varying degree of the multipath effect. The multipath effect is determined by the number of coefficients and their relative magnitudes with respect to the line-of-sight component (the first coefficient in the channel impulse response) in the channel impulse response. The more the terms in the channel impulse response the more the copies of the transmitted signal with various delays corresponding to the coefficients' positions in the channel impulse response are being combined at the receiver. Severity of the negative effect on decoding of the resulting superposition of signals depends on how close in magnitude the copies are with respect to the earliest component (the line-of-sight component). If the coefficients in the channel impulse response constitute a significant percentage of the line-of-sight component's magnitude then distinctive features of the underlying analog signal which differentiate the transmitted data symbols are lost (due to the "smearing" effect of the overlapping copies) and as a result, more detection errors occur.

The channels (in increasing degree of the multipath effect) used in evaluation of the system's robustness to synchronization errors are:

- ideal channel – impulse response  $h=[1\ 0]$
- mild multipath channel – impulse response  $h=[1\ 0.1\ 0.05\ 0.002\ 0.001]$
- severe multipath channel - impulse response  $h=[0.8\ 0.2\ 0.1\ 0.05\ 0.02]$

I used a similar approach as in the case of algorithms' hardware implementation evaluation. The proof of meeting the reusability condition set for the transceiver was obtained both through qualitative (graphical representation) and quantitative (numerical metrics) assessment. The qualitative evaluation consists of a graphical representation of a comparison of the transmitted and the decoded data symbols (Appendix Section 8.2.1 Figure 17, Appendix Section 8.2.2 Figure 21 and Appendix Section 8.2.3 Figure 25), their difference (Appendix Section 8.2.1 Figure 18, Appendix Section 8.2.2 Figure 22 and Appendix Section 8.2.3 Figure 26) and the mapping of the decoded symbols onto 16-QAM constellation (Appendix Section 8.2.1 Figure 19, Appendix Section 8.2.2 Figure 23 and Appendix Section 8.2.3 Figure 27). The quantitative evaluation consists of the following numerical metrics (Appendix Section 8.2.1 Table 15, Appendix Section 8.2.2 Table 16 and Appendix Section 8.2.3 Table 17):

- symbol error rate – indicates how many symbols were decoded in error out of all transmitted symbols. It quantifies the composite effect of errors on both in-phase (real part) and quadrature (imaginary part) components of the data 16-QAM symbol.
- mean error and mean squared error of the real and the imaginary parts of the data symbols – quantifies an error along each axis in the 16-QAM source symbol constellation diagram.

As can be seen from both the graphical representation of the comparison results and the numerical results in both the ideal and the mild multipath channels the transceiver achieves perfect decoding – no errors. In the case of severe multipath channel the results are also promising. The transceiver achieves almost perfect decoding (symbol error rate is only 1.95%). It has to be pointed out that the errors which occurred in the case of transmission through the severe multipath channel are not resulting from the transceiver structure. There errors are

inherent to the channel distortion of the transmitted signal (the “smearing” effect in severe multipath channels). The “smearing” effect can be observed in the plots illustrating the timing offset metric for the first synchronization preamble (Preamble 1). Due to the “smearing” effect the area of the first autocorrelation peak (the region affected the most by the delay spread of the multipath channel) in the case of the severe multipath channel is populated with a larger number of erroneous peaks than in the case of the ideal or the mild multipath channels. As can be seen in Appendix Section 8.2.3 Figure 28 the magnitude of erroneous peaks approaches the magnitude of the actual first autocorrelation peak. In the corresponding plots (Appendix Section 8.2.1 Figure 20 and Appendix Section 8.2.2 Figure 24) for the ideal and the mild multipath channels there is a distinct peak corresponding to the true autocorrelation peak and it is surrounded by fewer and most importantly, much smaller erroneous peaks. The smearing effect can also be observed in the plots illustrating the mapping of the decoded data symbols on the 16-QAM constellation (Appendix Section 8.2.1 Figure 19, Appendix Section 8.2.2 Figure 23 and Appendix Section 8.2.3 Figure 27). The higher the severity of the multipath effect the thicker the dots comprised of the overlaid data symbols (prior to the 16-QAM de-mapper) around 16-QAM constellation points are. However, in all three cases (ideal, mild and severe multipath channels) all data symbols are kept within each constellation point’s decision region therefore a perfect decoding (and in the case of the severe channel close to perfect) has been achieved.

In Tables - Appendix Section 8.2.1 Table 15, Appendix Section 8.2.2 Table 16 and Appendix Section 8.2.3 Table 17 there is included information about the effectiveness of estimation of the frequency offset. As can be seen from the total estimate values (combined coarse and fine synchronization estimates which are also listed separately in the tables) the transceiver accurately estimates the fractional frequency offset in all three test cases. Tiny discrepancies between the actual frequency offset value and the estimates in the case of the mild and the severe multipath channels (4.133 % difference for the mild multipath channel and 6.933% difference for the severe multipath channel) result from the fact that distortion caused by the multipath effect (the smearing effect) affects also synchronization information enclosed in the transmitted symbols (thumb-tack autocorrelation property of a PN sequence, conjugate symmetry of the preambles). Since the synchronization mechanism by no means can predict what happened during the transmission and revert the changes made by the channel the loss in synchronization information is reflected in accuracy of estimates. Nevertheless, the robustness of the synchronization mechanism in spite of distortion caused by the smearing effect is evident from closeness of the estimates to the actual value of the frequency offset in all degrees of severity of the multipath effect.

I also simulated the effects of synchronization errors by disabling the synchronization mechanism. I considered each synchronization error separately and also their combined effect. I used the same graphical and numerical representations of the assessment data as in the case of the transceiver’s performance testing in various multipath channels. Table 14 lists all the considered cases of synchronization errors along with the references to each case’s graphical and numerical representations of the assessment data.

Case	Assessment Data	
	Graphical Representation	Numerical Representation
<b>Ideal channel with an uncompensated frequency offset</b>	Appendix Section 8.2.4 Figures 29-31	Appendix Section 8.2.4 Table 18
<b>Ideal channel with a symbol timing offset (FFT window leads)</b>	Appendix Section 8.2.5 Figures 32-34	Appendix Section 8.2.5 Table 19
<b>Ideal channel with a symbol timing offset (FFT window lags)</b>	Appendix Section 8.2.6 Figures 35-37	Appendix Section 8.2.6 Table 20
<b>Ideal channel with both a symbol timing offset (FFT windows leads) and an uncompensated frequency offset</b>	Appendix Section 8.2.7 Figures 38-40	Appendix Section 8.2.7 Table 21

**Table 14: The list of the simulated cases of synchronization errors and the references to their assessment data.**

Through the results illustrating the effect of each synchronization error I gained a valuable insight into importance of the fundamental principle of the OFDM modulation – orthogonality principle between OFDM subcarriers. The results obtained convinced me of necessity of a perfect synchronization in the case of OFDM-based receivers. As can be seen from the plots and the performance metrics, such as symbol error rate, OFDM demodulation is extremely sensitive to synchronization impairments. Even small synchronization errors cause loss of orthogonality between subcarriers and in effect completely deteriorate performance of the OFDM receiver rendering it useless for any serious applications – the symbol error rate ranges from 0.54 to 0.80.

As can be seen in Appendix Section 8.2.4 Figure 31, Appendix Section 8.2.5 Figure 34, Appendix Section 8.2.6 Figure 37 and Appendix Section 8.2.7 Figure 40 the consequence of the loss of orthogonality between subcarriers is the scattering effect of the decoded data symbols throughout the decision regions of the 16-QAM constellation points and as a result decoding of the “garbage” data.

Moreover, from a comparison of the separate and combined effects of the synchronization errors it can be concluded that a single synchronization error introduces such a large error floor that it really does not matter whether the system fails in only one synchronization error detection/correction or all of them due to the fact that in all of the cases the resulting performance is at an unacceptable level for any sort of applications.

As can be concluded from the results the transceiver is robust to various synchronization errors. It correctly estimates synchronization errors and compensates for them which ultimately leads to error-free decoding of the source data symbols.

## 7 CONCLUSION

Taking into account diversity of disparate communication theory and hardware implementation concepts employed in the OFDM transceiver design I consider this project as an ideal culminating design experience for my final year as a M. Eng student.

I exercised both the roles of a system engineer and a hardware engineer in realization of the project which provided me with invaluable experience highly sought in a professional engineering environment. I succeeded in both of the roles as the overall system and the hardware implementation of various algorithms met the desired expectations. A comparison to the reference system – 802.11a modem on which the transceiver's parameters were based yields promising results. I managed to design synchronization mechanism using only two preambles (2 64-sample long preambles for fine and coarse synchronization) as opposed to five preambles used in 802.11a standard (3 16-sample long short preambles for coarse synchronization and 2 64-sample long preambles for fine synchronization). In addition to that, by implementing the fastest available variations of algorithms used in construction of the OFDM transceiver the higher data rates can be achieved than in the case of 802.11a modems. I design the system for 27 MHz sampling clock rate (one of the oscillators' frequency in Altera Cyclone II FPGA) whereas in 802.11a modems 20 MHz sampling frequency is used.

The main goal of the project – reusability of the project's materials by students with similar interest and background in communication theory and digital hardware was achieved by verification of the system's functionality.

The possibility of reusing my design by students to supplement their communication systems' study outside the classroom made the OFDM transceiver design an appropriate choice for my M. Eng project.

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- [13] Villalba, J., J.C. Arrabal, E.L. Zapata, E. Antelo, J.D. Bruguera. "Radix-4 vectoring CORDIC algorithm and architectures." Application Specific Systems, Architectures and Processors 1996. ASAP 96. 1996: 55 - 64
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## 8 APPENDIX

### 8.1 CIRCUIT DIAGRAMS

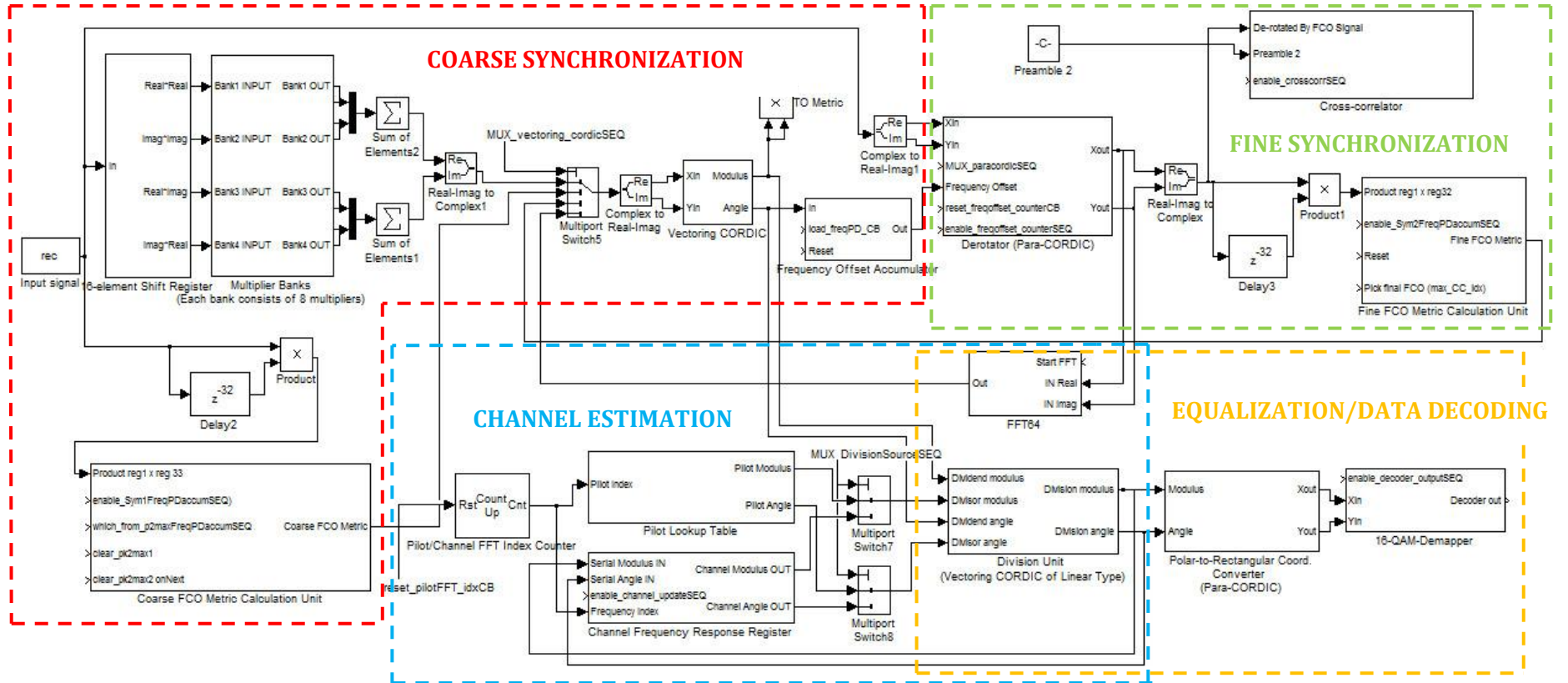
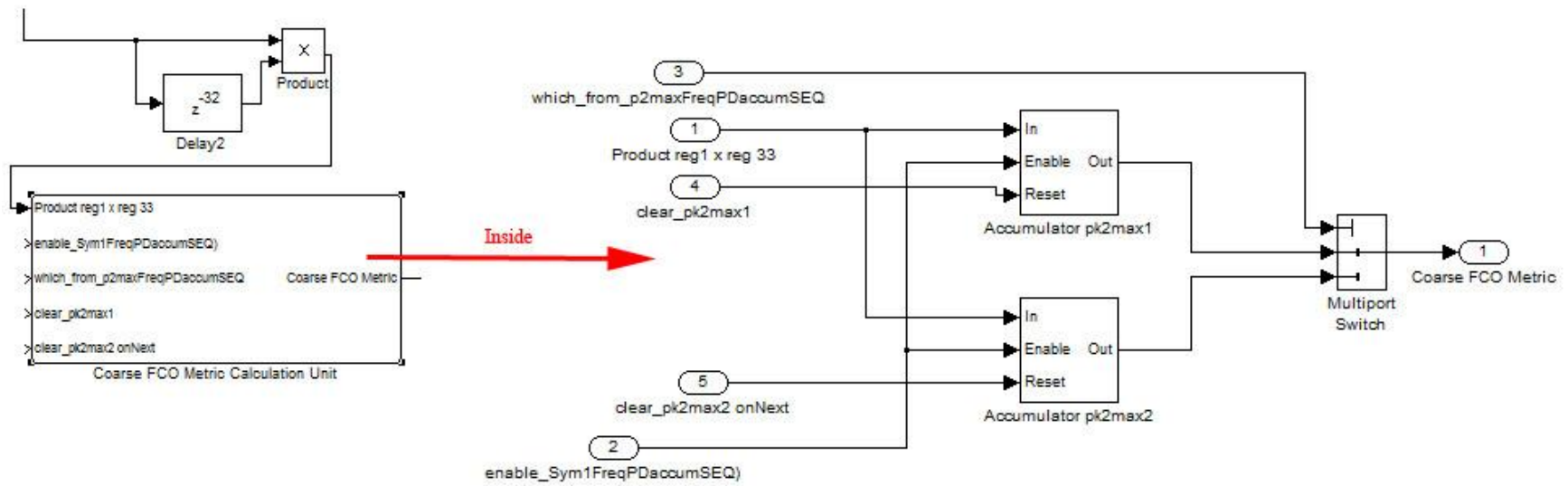


Figure 12: Circuit diagram of the OFDM receiver.



**Figure 13: Circuit diagram of the Coarse Frequency Offset Metric Calculation Unit - serial multiplier/accumulator mechanism.**

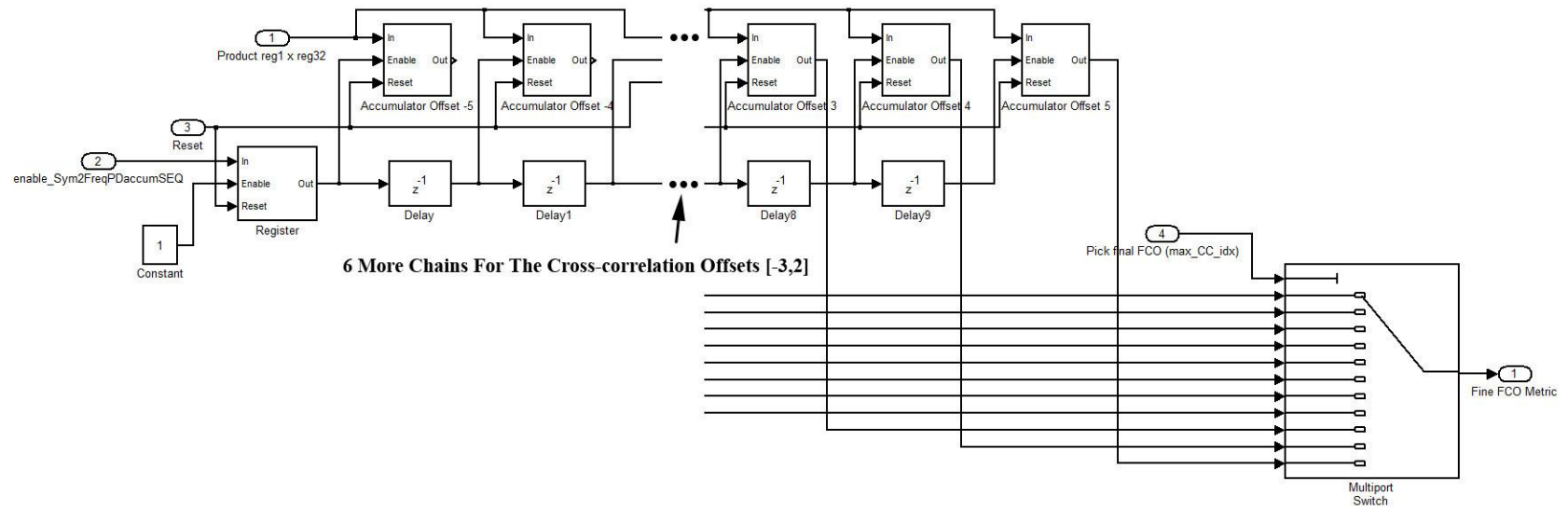


Figure 14: Circuit diagram of the Fine Frequency Offset Metric Calculation Unit.



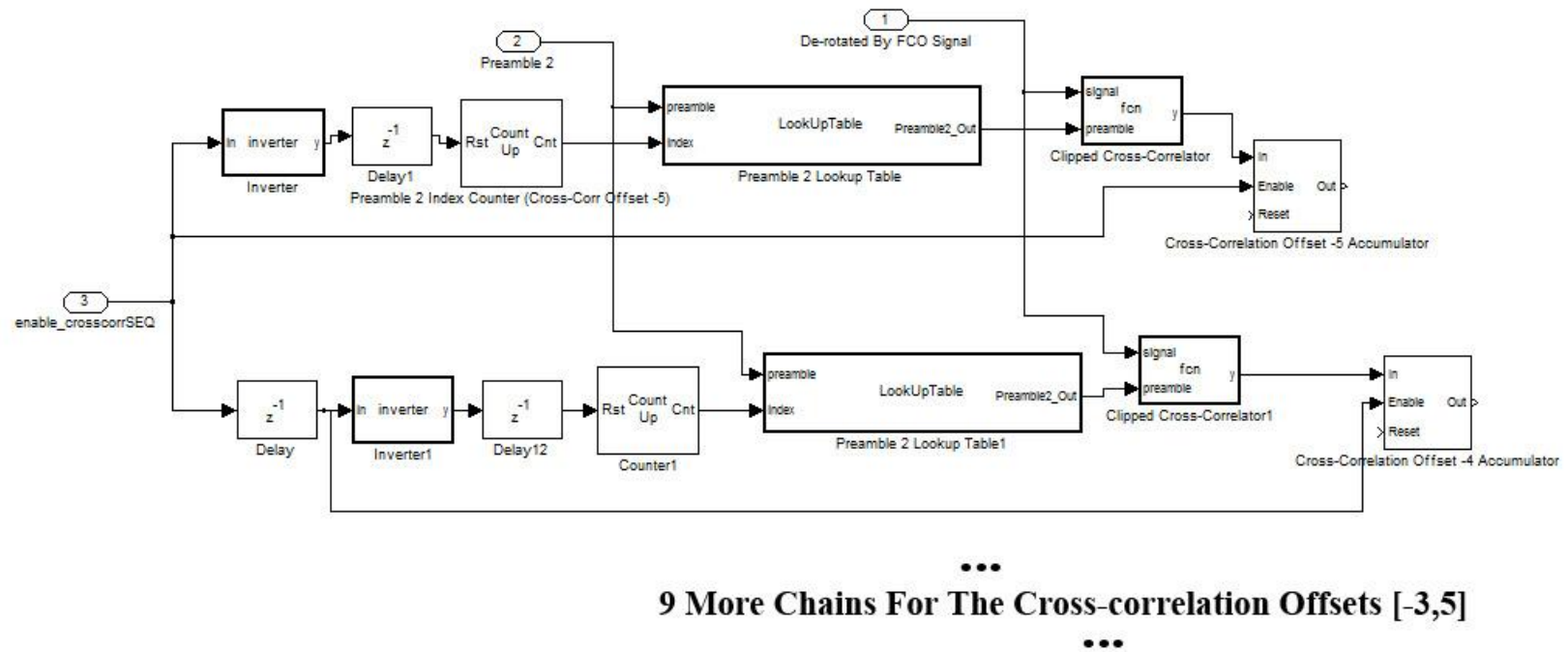


Figure 15: Circuit diagram of the Clipped Cross-correlator Unit.

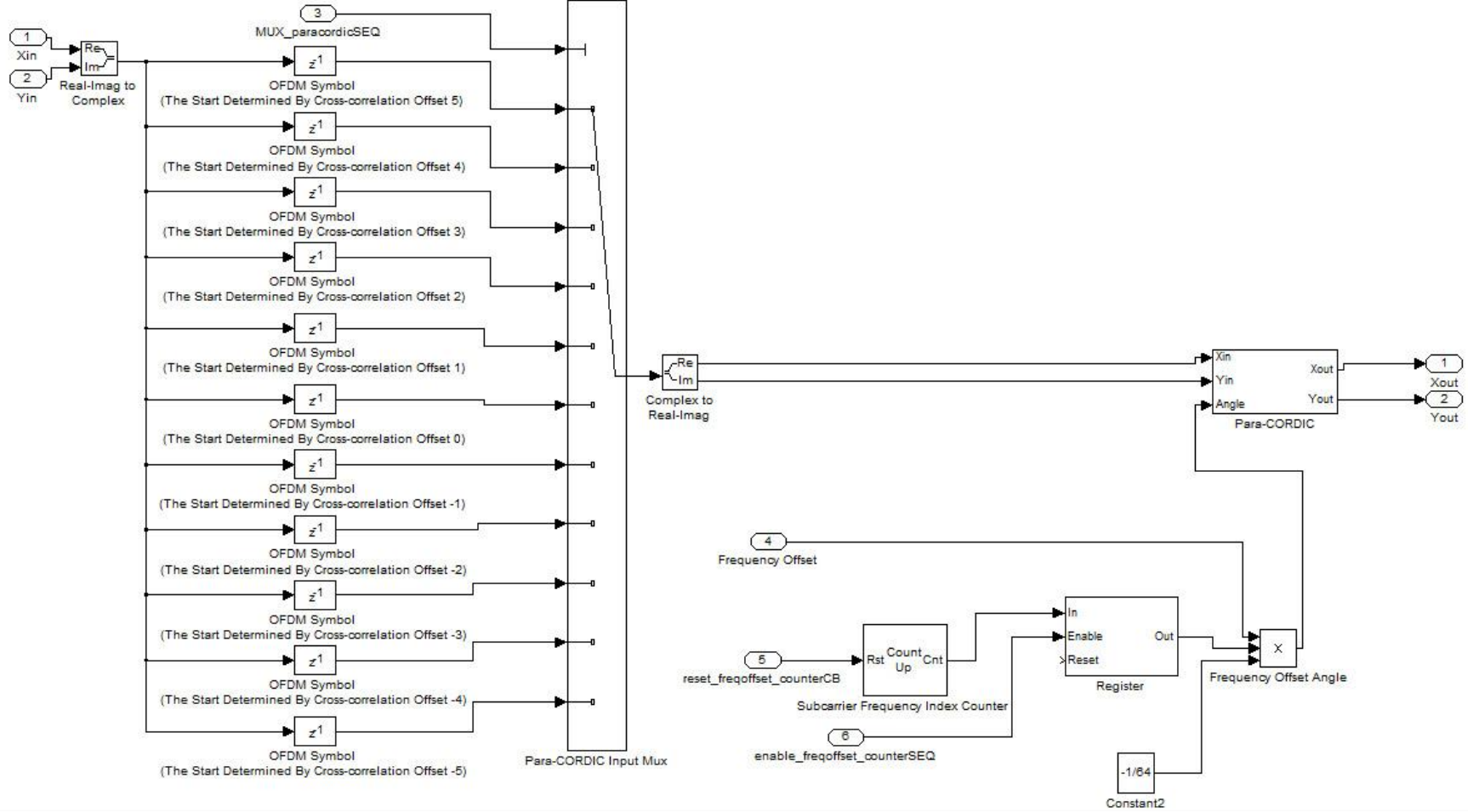
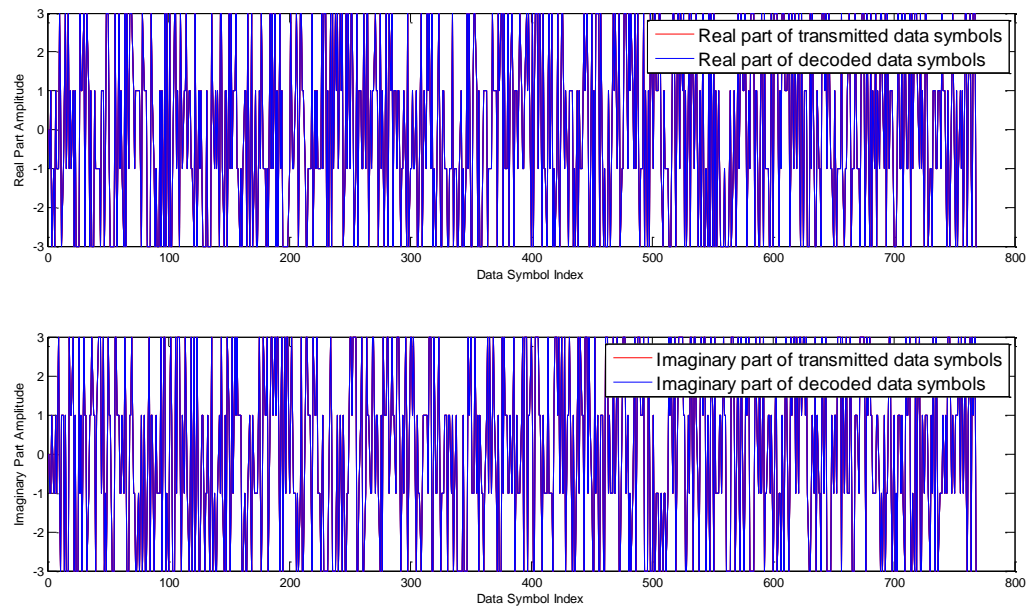


Figure 16: Circuit diagram of the Para-CORDIC based de-rotator.

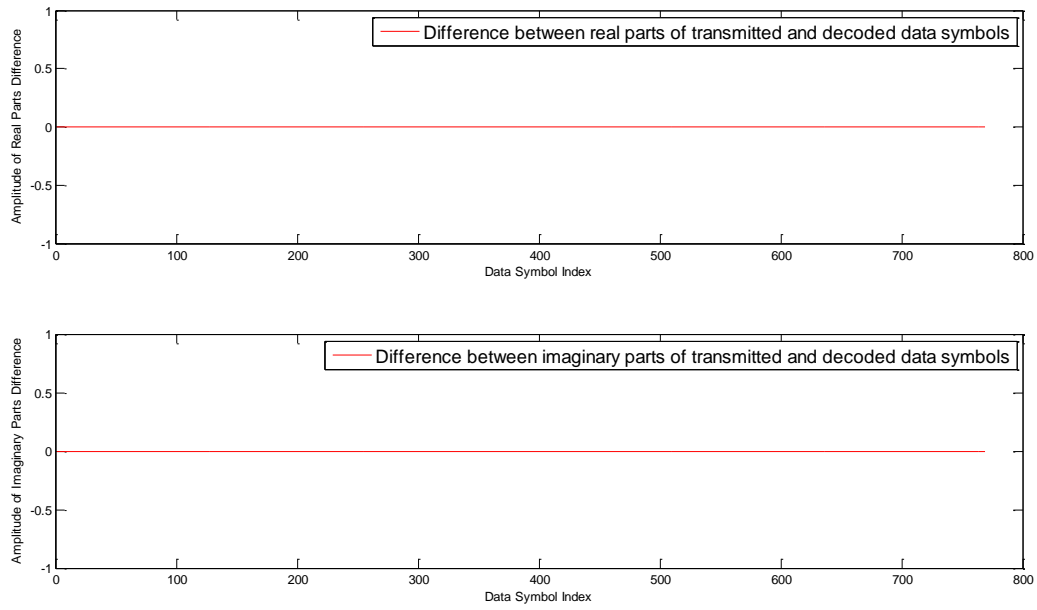
### 8.2.1 IDEAL CHANNEL

IDEAL CHANNEL Channel Impulse Response = [1 0] Fractional Frequency Offset (FCO) = 0.3							
Symbol Error Rate	Error between the real parts of transmitted and decoded data symbols		Error between the imaginary parts of transmitted and decoded data symbols		Coarse Frequency Offset (FCO <sub>coarse</sub> )	Fine Frequency Offset (FCO <sub>fine</sub> )	Total Frequency Offset (FCO <sub>total</sub> )
	Mean Error	Mean Squared Error	Mean Error	Mean Squared Error			
0	0	0	0	0	0.27313	0.02326	0.29640

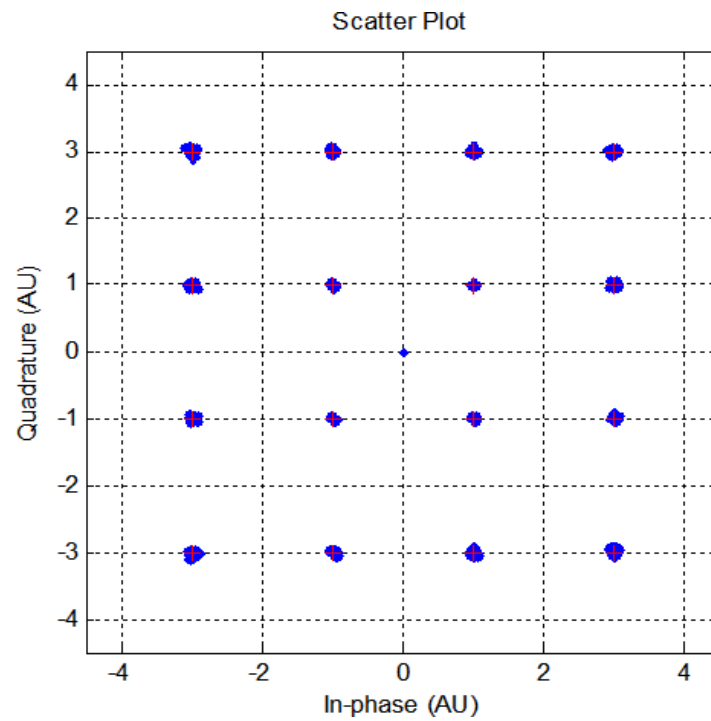
Table 15: The test results of the transceiver in the ideal channel.



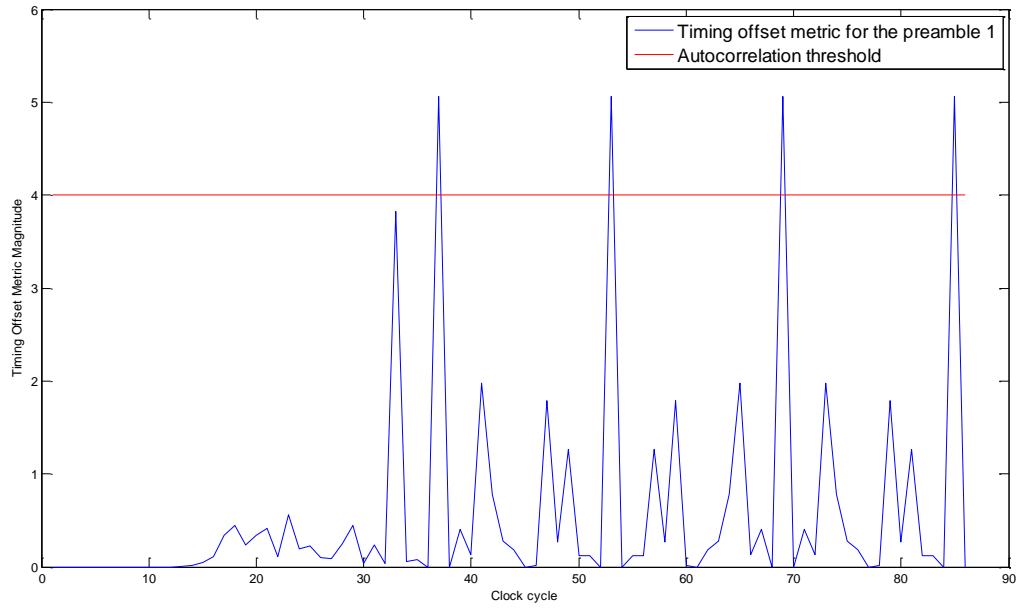
**Figure 17 Comparison of the real and the imaginary parts of the transmitted and the decoded data symbols.**



**Figure 18** Difference between the real and the imaginary parts of the transmitted and the decoded data symbols.



**Figure 19:** The received data symbols prior to 16-QAM de-mapper mapped onto 16-QAM constellation diagram.

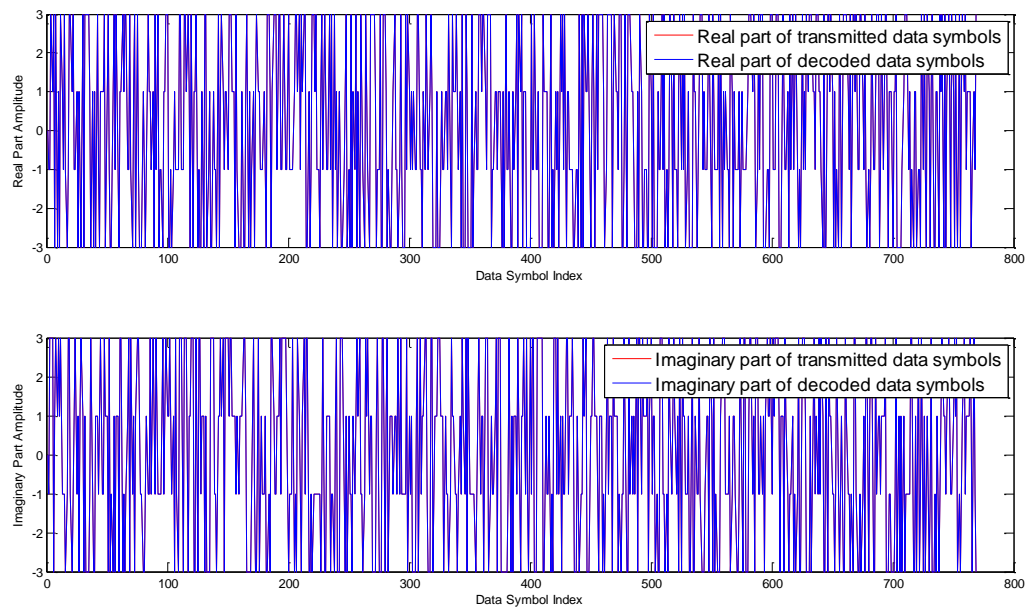


**Figure 20: Timing Offset Metric for the Preamble 1.**

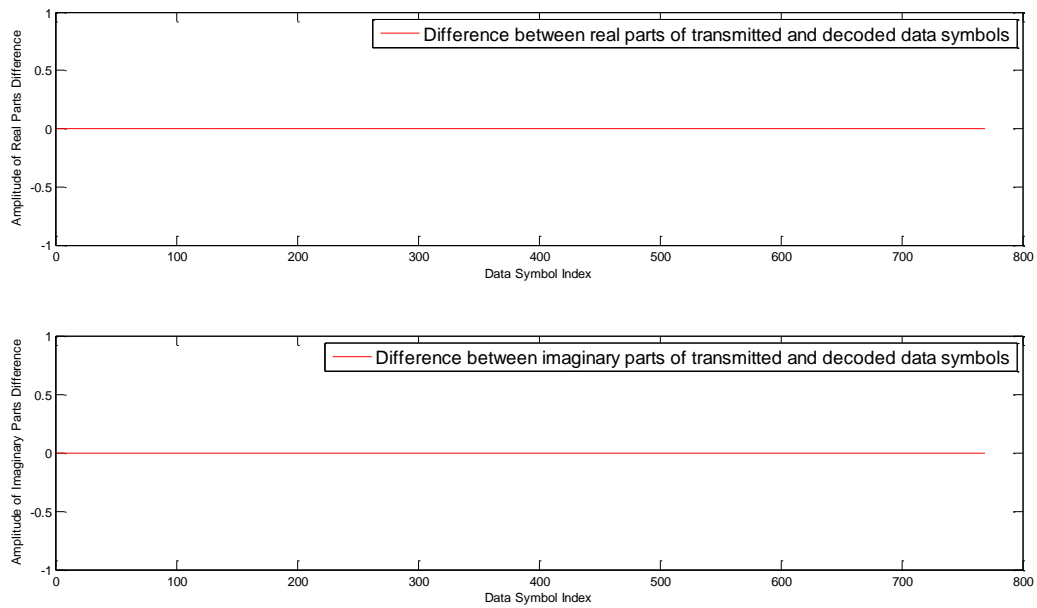
### 8.2.2 MILD MULTI-PATH CHANNEL

MILD MULTT-PATH CHANNEL						
Channel Impulse Response = [1 0.1 0.05 0.002 0.001]						
Fractional Frequency Offset (FCO) = 0.3						
Symbol Error Rate	Error between the real parts of transmitted and decoded data symbols		Error between the imaginary parts of transmitted and decoded data symbols		Coarse Frequency Offset (FCO <sub>coarse</sub> )	Fine Frequency Offset (FCO <sub>fine</sub> )
	Mean Error	Mean Squared Error	Mean Error	Mean Squared Error		
$\frac{0}{769} = 0$	0	0	0	0	0.2728	0.0148
Total Frequency Offset (FCO <sub>total</sub> )						
0.2876						

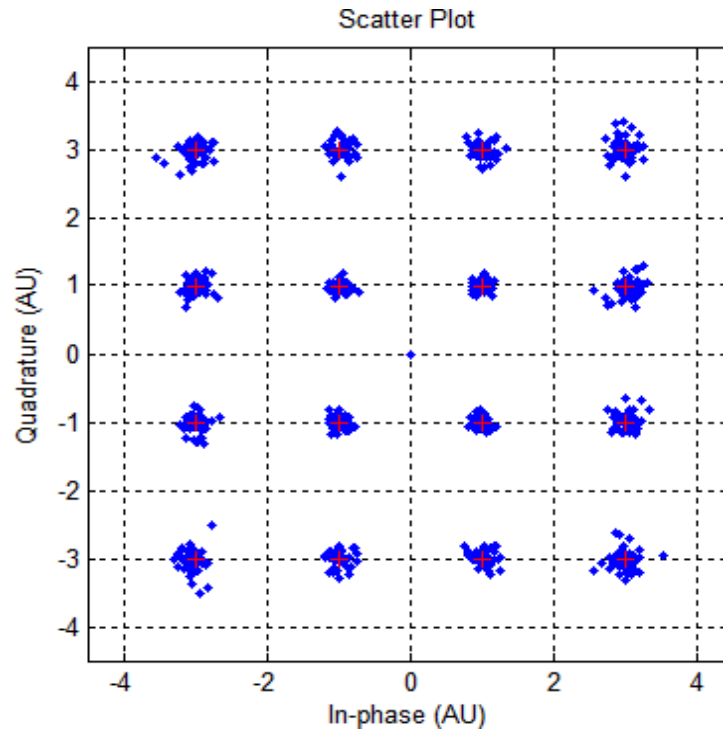
**Table 16: The test results of the transceiver in the mild multipath channel.**



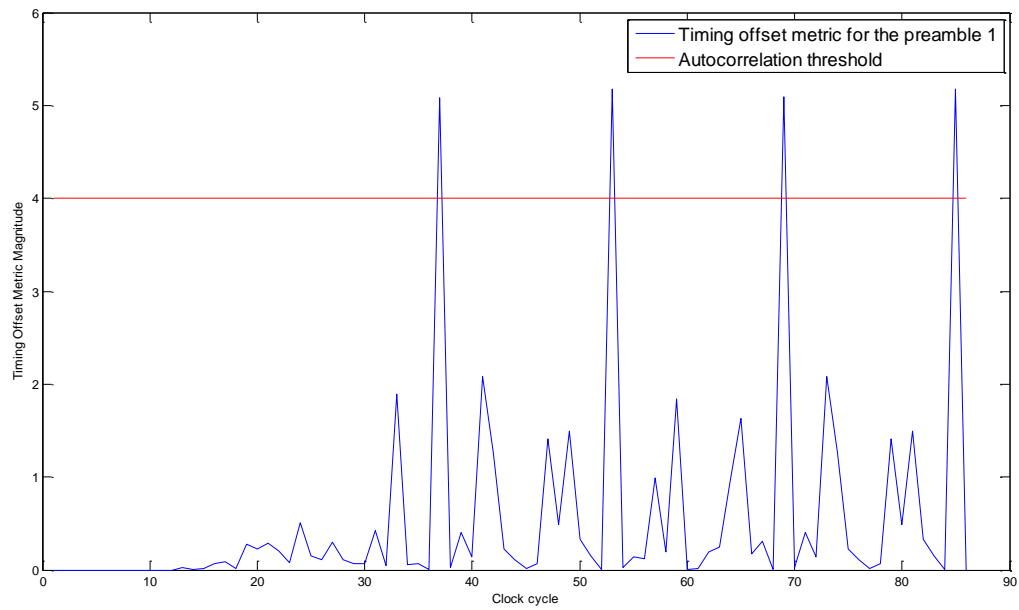
**Figure 21: Comparison of the real and the imaginary parts of the transmitted and the decoded data symbols.**



**Figure 22: Difference between the real and the imaginary parts of the transmitted and the decoded data symbols.**



**Figure 23: The received data symbols prior to 16-QAM de-mapper mapped onto 16-QAM constellation diagram.**



**Figure 24: Timing Offset Metric for the Preamble 1.**

### 8.2.3 SEVERE MULTI-PATH CHANNEL

SEVERE MULTT-PATH CHANNEL							
Channel Impulse Response = [0.8 0.2 0.1 0.05 0.02]							
Fractional Frequency Offset (FCO) = 0.3							
Symbol Error Rate	Error between the real parts of transmitted and decoded data symbols		Error between the imaginary parts of transmitted and decoded data symbols		Coarse Frequency Offset (FCO <sub>coarse</sub> )	Fine Frequency Offset (FCO <sub>fine</sub> )	Total Frequency Offset (FCO <sub>total</sub> )
	Mean Error	Mean Squared Error	Mean Error	Mean Squared Error			
$\frac{15}{769} = 0.0195$	0.0078	$6.0877 \times 10^{-5}$	0.0117	$1.3697 \times 10^{-4}$	0.2660	0.0131	0.2792

Table 17: The test results of the transceiver in the severe multipath channel.

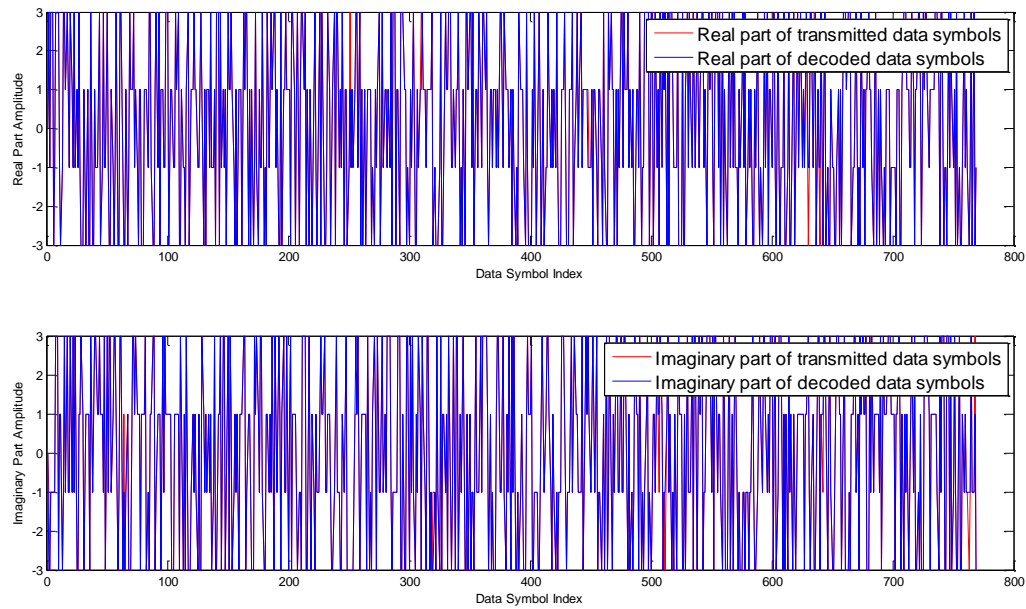


Figure 25: Comparison of the real and the imaginary parts of the transmitted and the decoded data symbols.



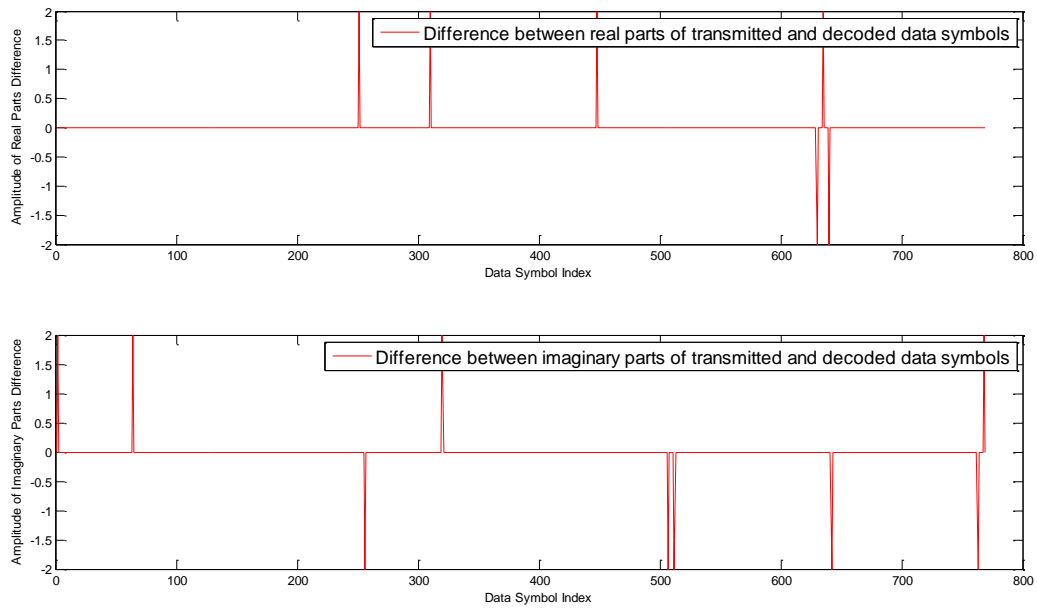


Figure 26: Difference between the real and the imaginary parts of the transmitted and the decoded data symbols.

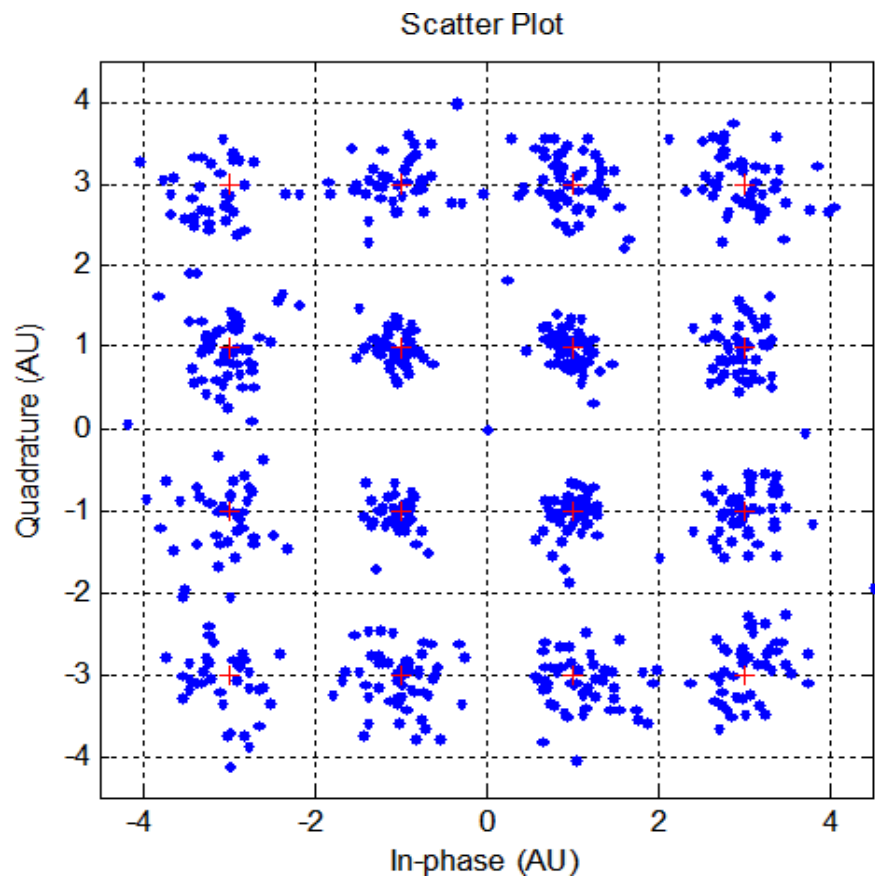
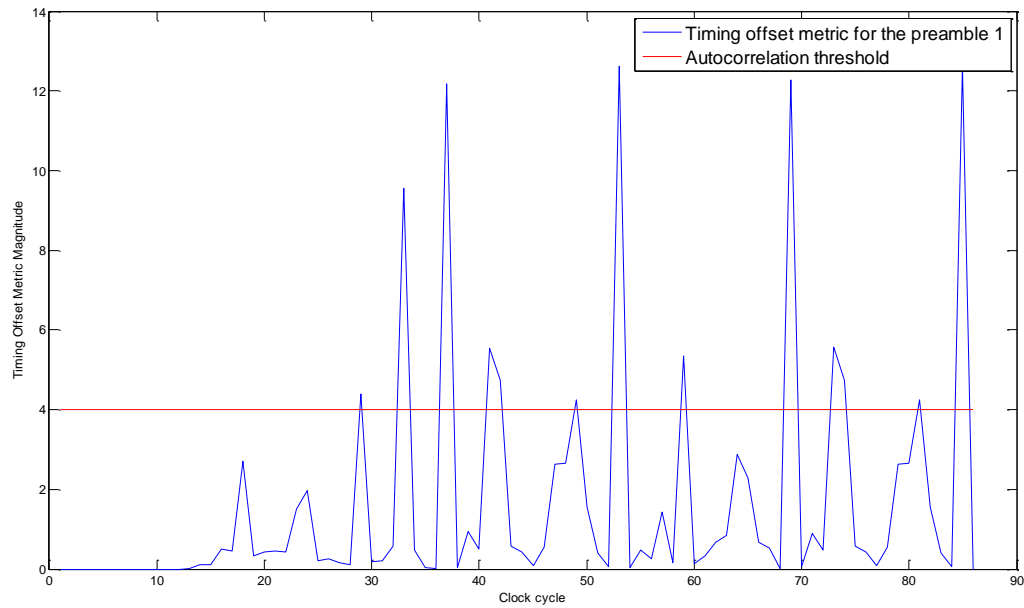


Figure 27: The received data symbols prior to 16-QAM de-mapper mapped onto 16-QAM constellation diagram.

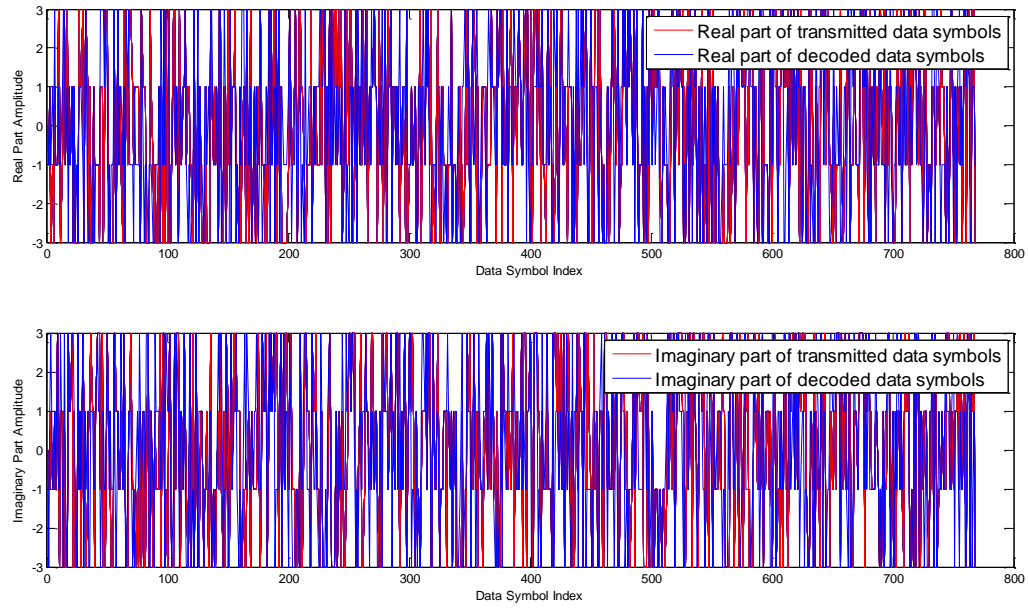


**Figure 28: Timing Offset Metric for the Preamble 1.**

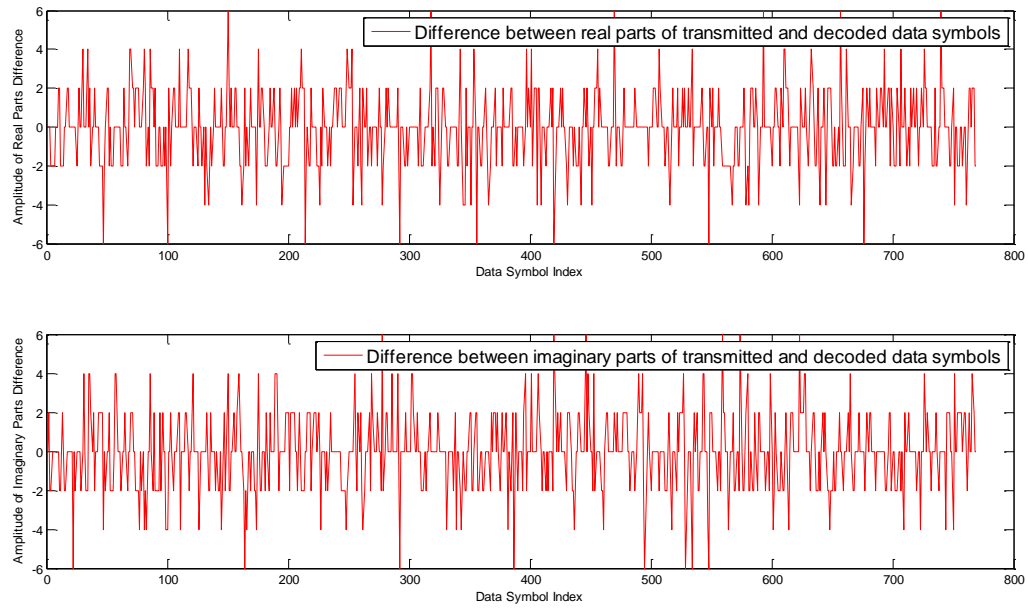
#### **8.2.4 IDEAL CHANNEL WITH AN UNCOMPENSATED FREQUENCY OFFSET**

IDEAL CHANNEL WITH AN UNCOMPENSTAD FREQUENCY OFFSET							
Channel Impulse Response = [1 0]							
Fractional Frequency Offset (FCO) = 0.3							
Symbol Error Rate	Error between the real parts of transmitted and decoded data symbols		Error between the imaginary parts of transmitted and decoded data symbols		Coarse Frequency Offset (FCO <sub>coarse</sub> )	Fine Frequency Offset (FCO <sub>fine</sub> )	Total Frequency Offset (FCO <sub>total</sub> )
	Mean Error	Mean Squared Error	Mean Error	Mean Squared Error			
$\frac{576}{769} = 0.7490$	0.5085	0.2585	0.5020	0.2520	N/A	N/A	N/A

**Table 18: The test results of the transceiver in the ideal channel with an uncompensated frequency offset - the frequency offset synchronization mechanism is disabled.**



**Figure 29: Comparison of the real and the imaginary parts of the transmitted and the decoded data symbols.**



**Figure 30: Difference between the real and the imaginary parts of the transmitted and the decoded data symbols.**

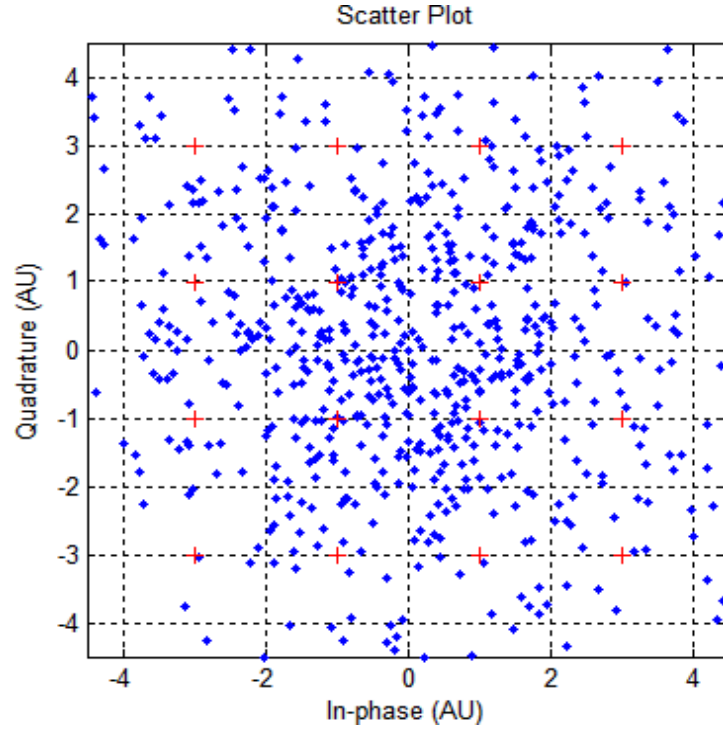
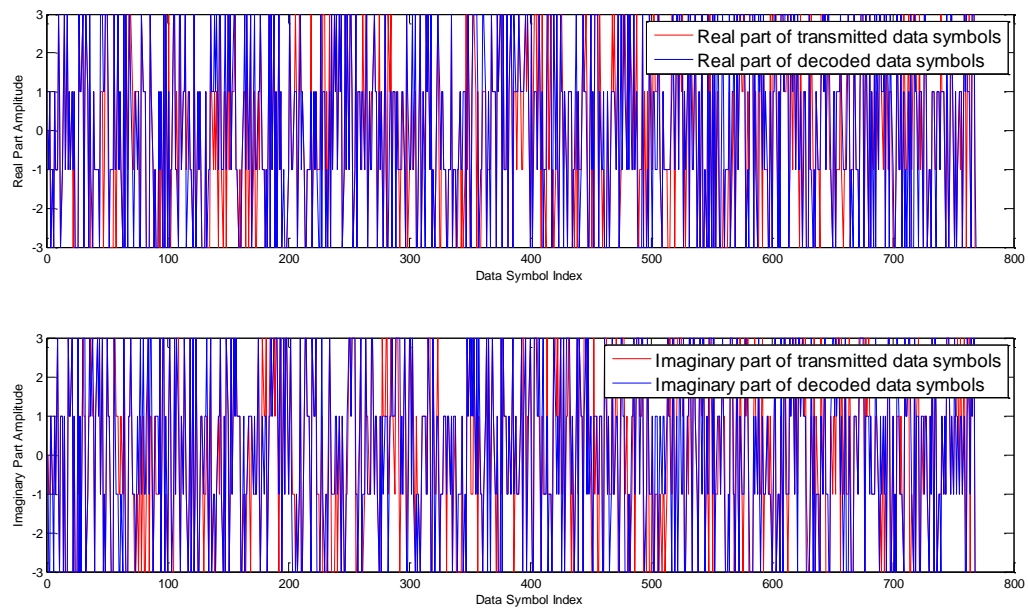


Figure 31: The received data symbols prior to 16-QAM de-mapper mapped onto 16-QAM constellation diagram.

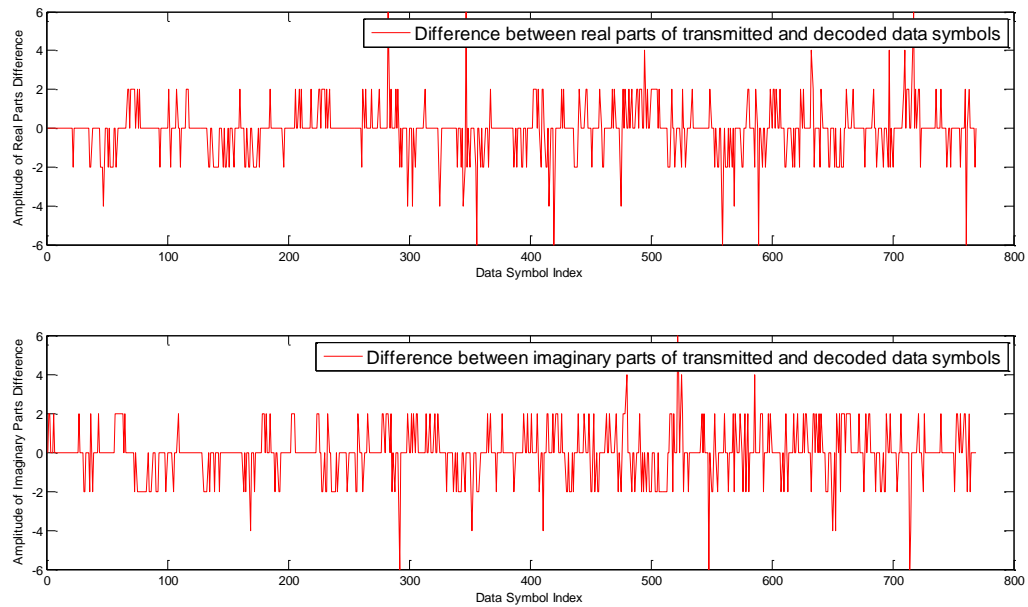
### 8.2.5 IDEAL CHANNEL WITH A SYMBOL TIMING OFFSET (FFT WINDOW LEADS)

IDEAL CHANNEL WITH A SYMBOL TIMING OFFSET (FFT WINDOW LEADS)							
Channel Impulse Response = [1 0]							
Fractional Frequency Offset (FCO) = 0.3							
Symbol timing offset = 4 (FFT window leads by 4 samples)							
Symbol Error Rate	Error between the real parts of transmitted and decoded data symbols		Error between the imaginary parts of transmitted and decoded data symbols		Coarse Frequency Offset (FCO <sub>coarse</sub> )	Fine Frequency Offset (FCO <sub>fine</sub> )	Total Frequency Offset (FCO <sub>total</sub> )
	Mean Error	Mean Squared Error	Mean Error	Mean Squared Error			
$\frac{412}{769} = 0.5358$	0.2913	0.0848	0.3355	0.1126	0.2731	0.0043	0.2775

Table 19: The test results of the transceiver in the ideal channel with a symbol timing offset (FFT window leads) – the symbol timing offset synchronization mechanism is disabled.



**Figure 32: Comparison of the real and the imaginary parts of the transmitted and the decoded data symbols.**



**Figure 33: Difference between the real and the imaginary parts of the transmitted and the decoded data symbols.**

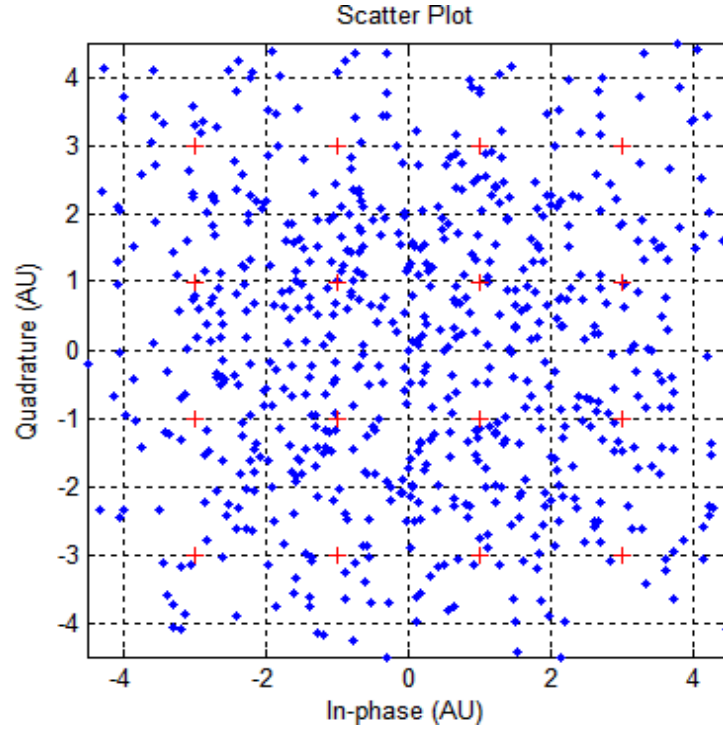
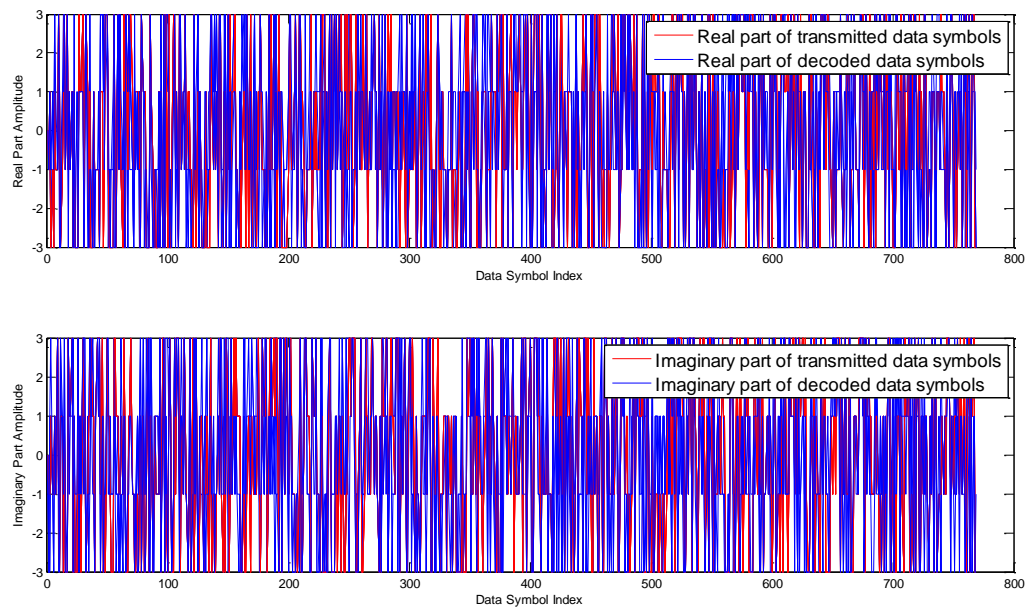


Figure 34: The received data symbols prior to 16-QAM de-mapper mapped onto 16-QAM constellation diagram.

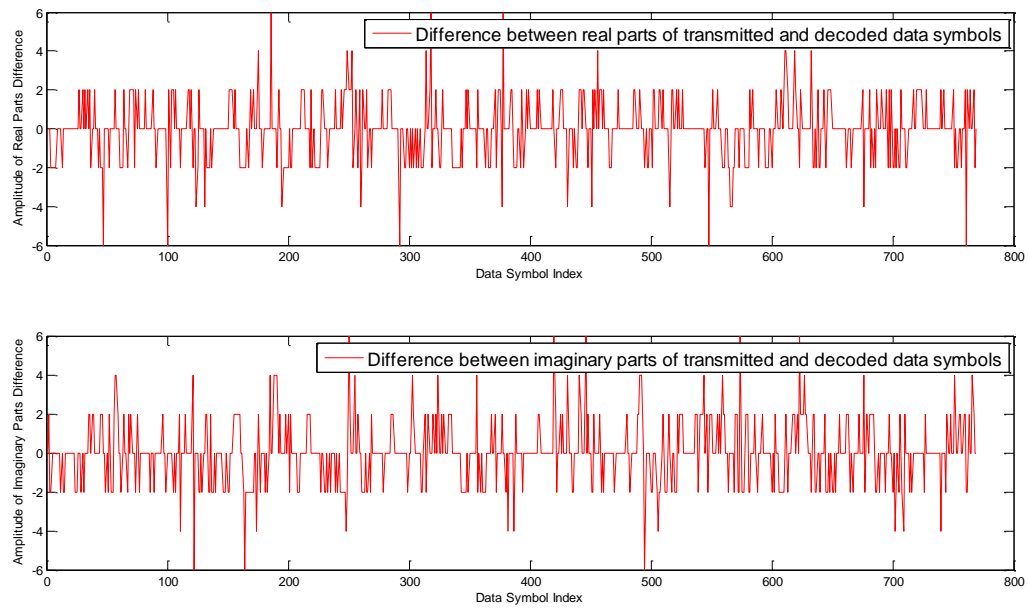
### 8.2.6 IDEAL CHANNEL WITH A SYMBOL TIMING OFFSET (FFT WINDOW LAGS)

IDEAL CHANNEL WITH A SYMBOL TIMING OFFSET (FFT WINDOW LAGS)							
Channel Impulse Response = [1 0]							
Fractional Frequency Offset (FCO) = 0.3							
Symbol timing offset = 4 (FFT window lags by 4 samples)							
Symbol Error Rate	Error between the real parts of transmitted and decoded data symbols		Error between the imaginary parts of transmitted and decoded data symbols		Coarse Frequency Offset (FCO <sub>coarse</sub> )	Fine Frequency Offset (FCO <sub>fine</sub> )	Total Frequency Offset (FCO <sub>total</sub> )
	Mean Error	Mean Squared Error	Mean Error	Mean Squared Error			
$\frac{488}{769} = 0.6346$	0.3979	0.1583	0.4174	0.1742	0.2731	-0.0568	0.2164

Table 20: The test results of the transceiver in the ideal channel with a symbol timing offset (FFT window lags) – the symbol timing offset synchronization mechanism is disabled.



**Figure 35: Comparison of the real and the imaginary parts of the transmitted and the decoded data symbols.**



**Figure 36: Difference between the real and the imaginary parts of the transmitted and the decoded data symbols.**

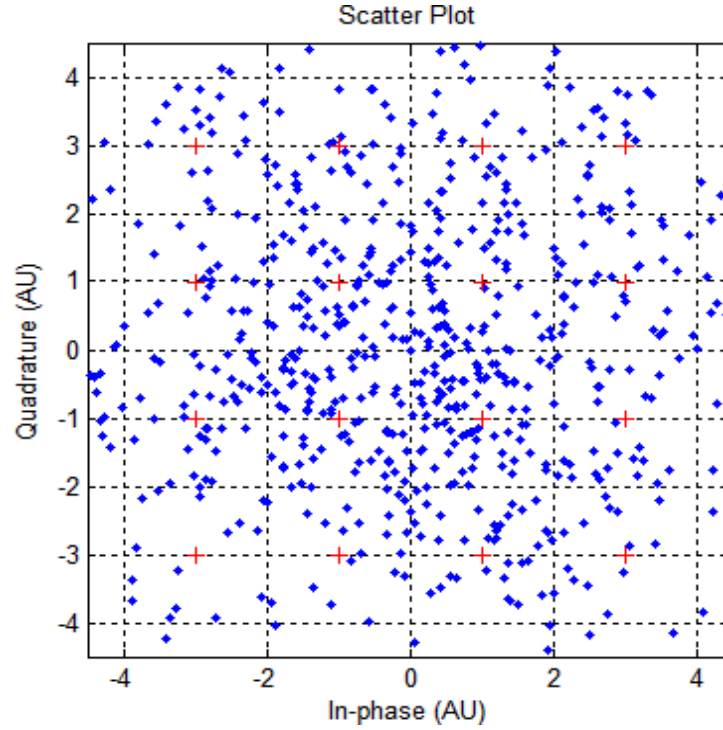


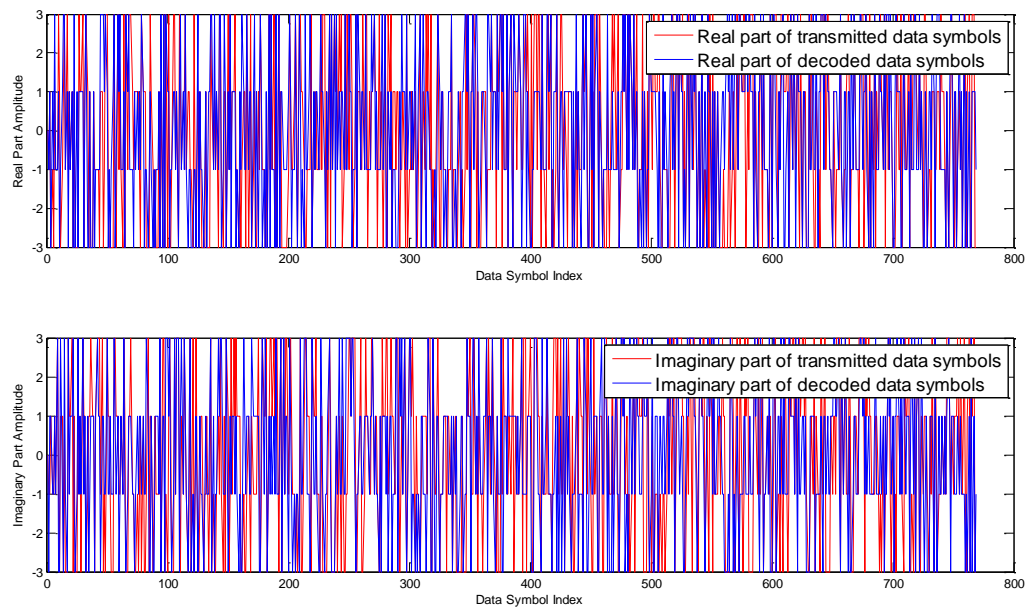
Figure 37: The received data symbols prior to 16-QAM de-mapper mapped onto 16-QAM constellation diagram.

### 8.2.7 IDEAL CHANNEL WITH BOTH A SYMBOL TIMING OFFSET (FFT WINDOW LEADS) AND AN UNCOMPENSATED FREQUENCY OFFSET

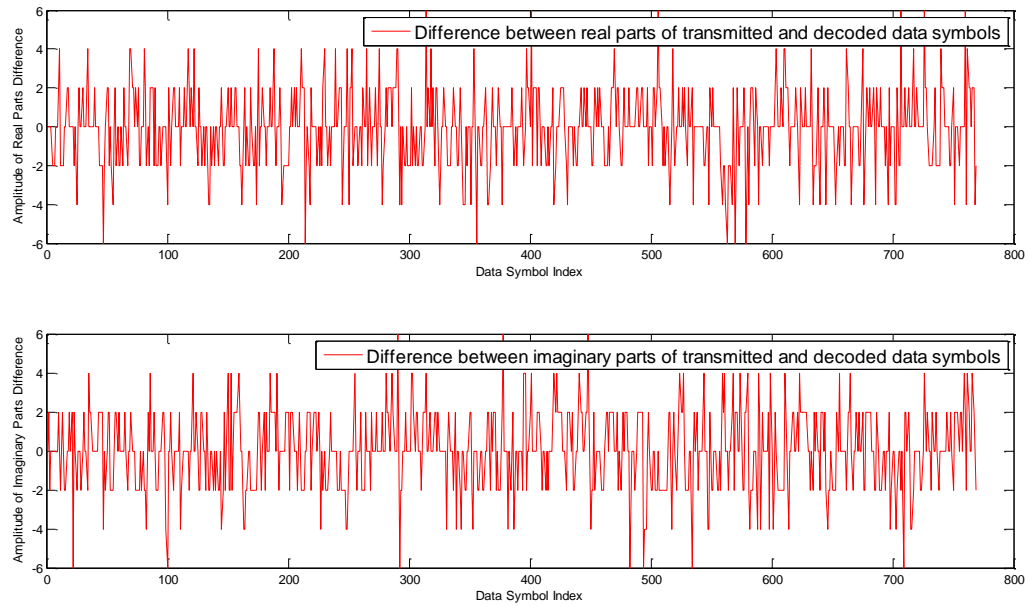
IDEAL CHANNEL WITH BOTH A SYMBOL TIMING OFFSET (FFT WINDOW LEADS) AND AN UNCOMPENSATED FREQUENCY OFFSET Channel Impulse Response = [1 0] Fractional Frequency Offset (FCO) = 0.3 Symbol timing offset = 4 (FFT window leads by 4 samples)							
Symbol Error Rate	Error between the real parts of transmitted and decoded data symbols		Error between the imaginary parts of transmitted and decoded data symbols		Coarse Frequency Offset (FCO <sub>coarse</sub> )	Fine Frequency Offset (FCO <sub>fine</sub> )	Total Frequency Offset (FCO <sub>total</sub> )
	Mean Error	Mean Squared Error	Mean Error	Mean Squared Error			
$\frac{618}{769} = 0.8036$	0.5241	0.2746	0.5800	0.3364	N/A	N/A	N/A

Table 21: The test results of the transceiver in the ideal channel with both a symbol timing offset (FFT window leads) and an uncompensated frequency offset- the symbol timing offset and the frequency offset synchronization mechanisms are disabled.

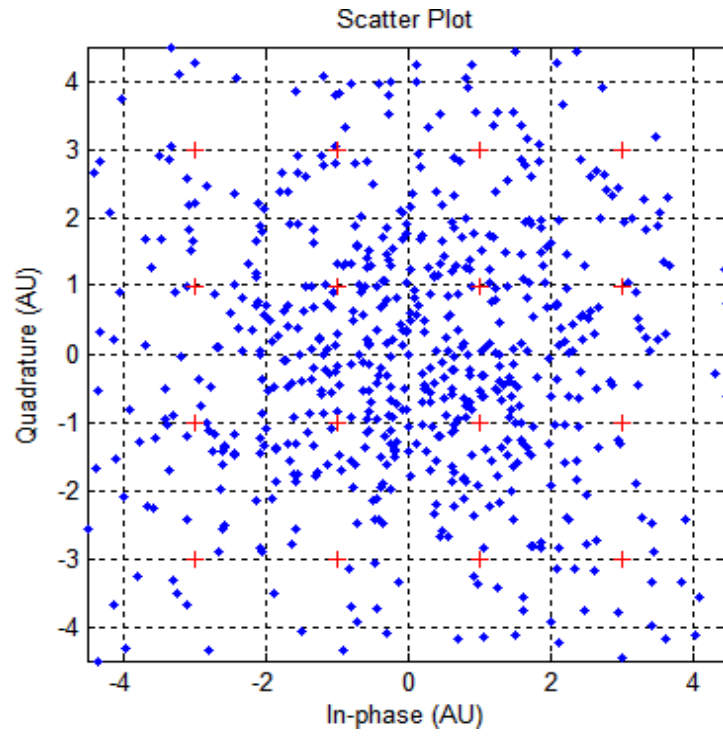




**Figure 38: Comparison of the real and the imaginary parts of the transmitted and the decoded data symbols.**



**Figure 39: Difference between the real and the imaginary parts of the transmitted and the decoded data symbols.**



**Figure 40:** The received data symbols prior to 16-QAM de-mapper mapped onto 16-QAM constellation diagram.