# Estimating Information Flow in Deep Neural Networks 

Ziv Goldfeld

MIT

56th Allerton Conference on Communication, Control, and Computing Monticello, Illinois, US

## October 4th, 2018

Collaborators: E. van den Berg, K. Greenewald, I. Melnyk, N. Nguyen,
B. Kingsbury and Y. Polyanskiy

## How do Deep Neural Networks Learn?

- Unprecedented practical success in hosts of tasks


## How do Deep Neural Networks Learn?

- Unprecedented practical success in hosts of tasks
- Long way to go theory-wise:


## How do Deep Neural Networks Learn?

- Unprecedented practical success in hosts of tasks
- Long way to go theory-wise:
- What drives the evolution of hidden representations?


## How do Deep Neural Networks Learn?

- Unprecedented practical success in hosts of tasks
- Long way to go theory-wise:
- What drives the evolution of hidden representations?
- What are properties of learned representations?


## How do Deep Neural Networks Learn?

- Unprecedented practical success in hosts of tasks
- Long way to go theory-wise:
- What drives the evolution of hidden representations?
- What are properties of learned representations?
- How fully trained networks process information?


## How do Deep Neural Networks Learn?

- Unprecedented practical success in hosts of tasks
- Long way to go theory-wise:
- What drives the evolution of hidden representations?
- What are properties of learned representations?
- How fully trained networks process information?


## How do Deep Neural Networks Learn?

- Unprecedented practical success in hosts of tasks
- Long way to go theory-wise:
- What drives the evolution of hidden representations?
- What are properties of learned representations?
- How fully trained networks process information?
- Past attempts to understand effectiveness of deep learning


## How do Deep Neural Networks Learn?

- Unprecedented practical success in hosts of tasks
- Long way to go theory-wise:
- What drives the evolution of hidden representations?
- What are properties of learned representations?
- How fully trained networks process information?
- Past attempts to understand effectiveness of deep learning
- Optimization in parameter space [Saxe'14, Choromanska'15, Advani'17]


## How do Deep Neural Networks Learn?

- Unprecedented practical success in hosts of tasks
- Long way to go theory-wise:
- What drives the evolution of hidden representations?
- What are properties of learned representations?
- How fully trained networks process information?
- Past attempts to understand effectiveness of deep learning
- Optimization in parameter space [Saxe'14, Choromanska'15, Advani'17]
- Classes of efficiently representable functions [Montufar'14, Poggio'17]


## How do Deep Neural Networks Learn?

- Unprecedented practical success in hosts of tasks
- Long way to go theory-wise:
- What drives the evolution of hidden representations?
- What are properties of learned representations?
- How fully trained networks process information?
- Past attempts to understand effectiveness of deep learning
- Optimization in parameter space [Saxe'14, Choromanska'15, Advani'17]
- Classes of efficiently representable functions [Montufar'14, Poggio'17]
- Information theory [Tishby'17, Saxe'18, Gabrié'18]


## How do Deep Neural Networks Learn?

- Unprecedented practical success in hosts of tasks
- Long way to go theory-wise:
- What drives the evolution of hidden representations?
- What are properties of learned representations?
- How fully trained networks process information?
- Past attempts to understand effectiveness of deep learning
- Optimization in parameter space [Saxe'14, Choromanska'15, Advani'17]
- Classes of efficiently representable functions [Montufar'14, Poggio'17]
- Information theory [Tishby'17, Saxe'18, Gabrié'18]


## How do Deep Neural Networks Learn?

- Unprecedented practical success in hosts of tasks
- Long way to go theory-wise:
- What drives the evolution of hidden representations?
- What are properties of learned representations?
- How fully trained networks process information?
- Past attempts to understand effectiveness of deep learning
- Optimization in parameter space [Saxe'14, Choromanska'15, Advani'17]
- Classes of efficiently representable functions [Montufar'14, Poggio'17]
- Information theory [Tishby'17, Saxe'18, Gabrié'18]
* Goal: Explain 'compression' in Information Bottleneck framework


## Setup and Preliminaries

## Feedforward DNN for Classification:



## Setup and Preliminaries

## Feedforward DNN for Classification:



## Setup and Preliminaries

## Feedforward DNN for Classification:



## Setup and Preliminaries

## Feedforward DNN for Classification:



## Setup and Preliminaries

## Feedforward DNN for Classification:

| $Y$ | $X$ | $T_{0}=X$ | $T_{1}$ | $T_{2}$ | $T_{3}$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| (Label) | (Feature/lmage) | (Input Layer) | (Hidden Layer 1) | (Hidden Layer 1) | (Hidden Layer 1) |



- Deterministic DNN: $T_{\ell}=f_{\ell}\left(T_{\ell-1}\right) \quad$ (MLP: $\left.T_{\ell}=\sigma\left(\mathrm{W}_{\ell} T_{\ell-1}+b_{\ell}\right)\right)$


## Setup and Preliminaries

## Feedforward DNN for Classification:

| $Y$ | $X$ | $T_{0}=X$ | $T_{1}$ | $T_{2}$ | $T_{3}$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| (Label) | (Feature/lmage) | (Input Layer) | (Hidden Layer 1) | (Hidden Layer 1) | (Hidden Layer 1) |



- Deterministic DNN: $T_{\ell}=f_{\ell}\left(T_{\ell-1}\right) \quad$ (MLP: $\left.T_{\ell}=\sigma\left(\mathrm{W}_{\ell} T_{\ell-1}+b_{\ell}\right)\right)$
- $\ell$ th Hidden Layer Enc \& Dec: $\quad P_{T_{\ell} \mid X}$ (enc) and $P_{\hat{Y} \mid T_{\ell}}(\mathrm{dec})$


## Setup and Preliminaries

## Feedforward DNN for Classification:

| $Y$ | $X$ | $T_{0}=X$ | $T_{1}$ | $T_{2}$ | $T_{3}$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| (Label) | (Feature/lmage) | (Input Layer) | (Hidden Layer 1) | (Hidden Layer 1) | (Hidden Layer 1) |



- Deterministic DNN: $T_{\ell}=f_{\ell}\left(T_{\ell-1}\right) \quad$ (MLP: $\left.T_{\ell}=\sigma\left(\mathrm{W}_{\ell} T_{\ell-1}+b_{\ell}\right)\right)$
- $\ell$ th Hidden Layer Enc \& Dec: $\quad P_{T_{\ell} \mid X}$ (enc) and $P_{\hat{Y} \mid T_{\ell}}$ (dec)
- IB Theory: Track MI pairs $\left(I\left(X ; T_{\ell}\right), I\left(Y ; T_{\ell}\right)\right)$ (information plane)


## Setup and Preliminaries

## Feedforward DNN for Classification:



IB Theory Claim: Training comprises 2 phases

## Setup and Preliminaries

## Feedforward DNN for Classification:



IB Theory Claim: Training comprises 2 phases

- Fitting: $I\left(Y ; T_{\ell}\right) \& I\left(X ; T_{\ell}\right)$ rise (short)


## Setup and Preliminaries

## Feedforward DNN for Classification:



IB Theory Claim: Training comprises 2 phases

- Fitting: $I\left(Y ; T_{\ell}\right) \& I\left(X ; T_{\ell}\right)$ rise (short)
- Compression: $I\left(X ; T_{\ell}\right)$ slowly drops (long)


## Setup and Preliminaries

## Feedforward DNN for Classification:

| $Y$ | $X$ | $T_{0}=X$ | $T_{1}$ | $T_{2}$ | $T_{3}$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| (Label) | (Feature/lmage) | (Input Layer) | (Hidden Layer 1) | (Hidden Layer 1) | (Hidden Layer 1) |



7999

IB Theory Claim: Training comprises 2 phases

- Fitting: $I\left(Y ; T_{\ell}\right) \& I\left(X ; T_{\ell}\right)$ rise (short)
- Compression: $I\left(X ; T_{\ell}\right)$ slowly drops (long)



## Setup and Preliminaries

## Feedforward DNN for Classification:

| $Y$ | $X$ | $T_{0}=X$ | $T_{1}$ | $T_{2}$ | $T_{3}$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| (Label) | (Feature/lmage) | (Input Layer) | (Hidden Layer 1) | (Hidden Layer 1) | (Hidden Layer 1) |



7999

IB Theory Claim: Training comprises 2 phases

- Fitting: $I\left(Y ; T_{\ell}\right) \& I\left(X ; T_{\ell}\right)$ rise (short)
- Compression: $I\left(X ; T_{\ell}\right)$ slowly drops (long)



## Meaningless Mutual Information

## Observation

Det. DNNs with strictly monotone nonlinearities (e.g., tanh or sigmoid)

## Meaningless Mutual Information

## Observation

Det. DNNs with strictly monotone nonlinearities (e.g., tanh or sigmoid)
$\Longrightarrow I\left(X ; T_{\ell}\right)$ is independent of the DNN parameters

## Meaningless Mutual Information

## Observation

Det. DNNs with strictly monotone nonlinearities (e.g., tanh or sigmoid)
$\Longrightarrow I\left(X ; T_{\ell}\right)$ is independent of the DNN parameters

## Why?

## Meaningless Mutual Information

## Observation

Det. DNNs with strictly monotone nonlinearities (e.g., tanh or sigmoid)
$\Longrightarrow I\left(X ; T_{\ell}\right)$ is independent of the DNN parameters

Why? Formally...

## Meaningless Mutual Information

## Observation

Det. DNNs with strictly monotone nonlinearities (e.g., tanh or sigmoid)
$\Longrightarrow I\left(X ; T_{\ell}\right)$ is independent of the DNN parameters

## Why? Formally...

- Continuous $X$ :


## Meaningless Mutual Information

## Observation

Det. DNNs with strictly monotone nonlinearities (e.g., tanh or sigmoid)
$\Longrightarrow I\left(X ; T_{\ell}\right)$ is independent of the DNN parameters

Why? Formally...

- Continuous $X$ :

$$
I\left(X ; T_{\ell}\right)=h\left(T_{\ell}\right)-h\left(T_{\ell} \mid X\right)
$$

## Meaningless Mutual Information

## Observation

Det. DNNs with strictly monotone nonlinearities (e.g., tanh or sigmoid)
$\Longrightarrow I\left(X ; T_{\ell}\right)$ is independent of the DNN parameters

Why? Formally...

- Continuous $X$ :

$$
I\left(X ; T_{\ell}\right)=h\left(T_{\ell}\right)-\boldsymbol{h}\left(\boldsymbol{T}_{\ell} \mid \boldsymbol{X}\right)
$$

## Meaningless Mutual Information

## Observation

Det. DNNs with strictly monotone nonlinearities (e.g., tanh or sigmoid)
$\Longrightarrow I\left(X ; T_{\ell}\right)$ is independent of the DNN parameters

Why? Formally...

- Continuous $X$ :

$$
I\left(X ; T_{\ell}\right)=h\left(T_{\ell}\right)-\boldsymbol{h}\left(\tilde{\boldsymbol{f}}_{\ell}(\boldsymbol{X}) \mid \boldsymbol{X}\right)
$$

## Meaningless Mutual Information

## Observation

Det. DNNs with strictly monotone nonlinearities (e.g., tanh or sigmoid)
$\Longrightarrow I\left(X ; T_{\ell}\right)$ is independent of the DNN parameters

Why? Formally...

- Continuous $X$ :

$$
I\left(X ; T_{\ell}\right)=h\left(T_{\ell}\right)-\underbrace{\boldsymbol{h}\left(\tilde{\boldsymbol{f}}_{\ell}(\boldsymbol{X}) \mid \boldsymbol{X}\right)}_{=-\infty}
$$

## Meaningless Mutual Information

## Observation

Det. DNNs with strictly monotone nonlinearities (e.g., tanh or sigmoid) $\Longrightarrow I\left(X ; T_{\ell}\right)$ is independent of the DNN parameters

Why? Formally...

- Continuous $X$ :

$$
I\left(X ; T_{\ell}\right)=h\left(T_{\ell}\right)-h\left(\tilde{f}_{\ell}(X) \mid X\right)=\infty
$$

## Meaningless Mutual Information

## Observation

Det. DNNs with strictly monotone nonlinearities (e.g., tanh or sigmoid) $\Longrightarrow I\left(X ; T_{\ell}\right)$ is independent of the DNN parameters

Why? Formally...

- Continuous $X$ :

$$
I\left(X ; T_{\ell}\right)=h\left(T_{\ell}\right)-h\left(\tilde{f}_{\ell}(X) \mid X\right)=\infty
$$

- Discrete $X$ :


## Meaningless Mutual Information

## Observation

Det. DNNs with strictly monotone nonlinearities (e.g., tanh or sigmoid) $\Longrightarrow I\left(X ; T_{\ell}\right)$ is independent of the DNN parameters

Why? Formally...

- Continuous $\boldsymbol{X}: \quad I\left(X ; T_{\ell}\right)=h\left(T_{\ell}\right)-h\left(\tilde{f}_{\ell}(X) \mid X\right)=\infty$
- Discrete $\boldsymbol{X}$ : The map $X \mapsto T_{\ell}$ is injective*
$\star$ For almost all weight matrices and bias vectors


## Meaningless Mutual Information

## Observation

Det. DNNs with strictly monotone nonlinearities (e.g., tanh or sigmoid) $\Longrightarrow I\left(X ; T_{\ell}\right)$ is independent of the DNN parameters

Why? Formally...

- Continuous $\boldsymbol{X}: \quad I\left(X ; T_{\ell}\right)=h\left(T_{\ell}\right)-h\left(\tilde{f}_{\ell}(X) \mid X\right)=\infty$
- Discrete $\boldsymbol{X}$ : The map $X \mapsto T_{\ell}$ is injective ${ }^{\star} \Longrightarrow I\left(X ; T_{\ell}\right)=H(X)$
$\star$ For almost all weight matrices and bias vectors


## Meaningless Mutual Information

## Observation

Det. DNNs with strictly monotone nonlinearities (e.g., tanh or sigmoid) $\Longrightarrow I\left(X ; T_{\ell}\right)$ is independent of the DNN parameters

Why? Formally...

- Continuous $\boldsymbol{X}$ : $\quad I\left(X ; T_{\ell}\right)=h\left(T_{\ell}\right)-h\left(\tilde{f}_{\ell}(X) \mid X\right)=\infty$
- Discrete $\boldsymbol{X}$ : The map $X \mapsto T_{\ell}$ is injective ${ }^{\star} \Longrightarrow I\left(X ; T_{\ell}\right)=\boldsymbol{H}(\boldsymbol{X})$


## Meaningless Mutual Information

## Observation

Det. DNNs with strictly monotone nonlinearities (e.g., tanh or sigmoid) $\Longrightarrow I\left(X ; T_{\ell}\right)$ is independent of the DNN parameters

Why? Formally...

- Continuous $\boldsymbol{X}$ : $\quad I\left(X ; T_{\ell}\right)=h\left(T_{\ell}\right)-h\left(\tilde{f}_{\ell}(X) \mid X\right)=\infty$
- Discrete $\boldsymbol{X}$ : The map $X \mapsto T_{\ell}$ is injective ${ }^{\star} \Longrightarrow I\left(X ; T_{\ell}\right)=\boldsymbol{H}(\boldsymbol{X})$


## Intuition:

## Meaningless Mutual Information

## Observation

Det. DNNs with strictly monotone nonlinearities (e.g., tanh or sigmoid) $\Longrightarrow I\left(X ; T_{\ell}\right)$ is independent of the DNN parameters

Why? Formally...

- Continuous $\boldsymbol{X}$ : $\quad I\left(X ; T_{\ell}\right)=h\left(T_{\ell}\right)-h\left(\tilde{f}_{\ell}(X) \mid X\right)=\infty$
- Discrete $\boldsymbol{X}$ : The map $X \mapsto T_{\ell}$ is injective ${ }^{\star} \Longrightarrow I\left(X ; T_{\ell}\right)=\boldsymbol{H}(\boldsymbol{X})$

Intuition: Encoding all info. about $X$ is arbitrarily fine variations of $T_{\ell}$

## Meaningless Mutual Information

## Observation

Det. DNNs with strictly monotone nonlinearities (e.g., tanh or sigmoid) $\Longrightarrow I\left(X ; T_{\ell}\right)$ is independent of the DNN parameters

## Why? Formally...

- Continuous $\boldsymbol{X}: \quad I\left(X ; T_{\ell}\right)=h\left(T_{\ell}\right)-h\left(\tilde{f}_{\ell}(X) \mid X\right)=\infty$
- Discrete $\boldsymbol{X}$ : The map $X \mapsto T_{\ell}$ is injective ${ }^{\star} \Longrightarrow I\left(X ; T_{\ell}\right)=\boldsymbol{H}(\boldsymbol{X})$

Intuition: Encoding all info. about $X$ is arbitrarily fine variations of $T_{\ell}$

## Past Works:

[Schwartz-Ziv\&Tishby'17, Saxe et al. '18]



## What is going on here?

- Plots via binning-based estimator of $I\left(X ; T_{\ell}\right)$, for $X \sim$ Unif(dataset)


## What is going on here?

- Plots via binning-based estimator of $I\left(X ; T_{\ell}\right)$, for $X \sim$ Unif(dataset) $\Longrightarrow$ Plotted values are $I\left(X ; \operatorname{Bin}\left(T_{\ell}\right)\right)$


## What is going on here?

- Plots via binning-based estimator of $I\left(X ; T_{\ell}\right)$, for $X \sim$ Unif(dataset) $\Longrightarrow$ Plotted values are $I\left(X ; \operatorname{Bin}\left(T_{\ell}\right)\right) \stackrel{? ?}{\approx} I\left(X ; T_{\ell}\right)$


## What is going on here?

- Plots via binning-based estimator of $I\left(X ; T_{\ell}\right)$, for $X \sim$ Unif(dataset) $\Longrightarrow$ Plotted values are $I\left(X ; \operatorname{Bin}\left(T_{\ell}\right)\right) \stackrel{? ?}{\approx} I\left(X ; T_{\ell}\right) \quad$ No!


## What is going on here?

- Plots via binning-based estimator of $I\left(X ; T_{\ell}\right)$, for $X \sim$ Unif(dataset) $\Longrightarrow$ Plotted values are $I\left(X ; \operatorname{Bin}\left(T_{\ell}\right)\right) \stackrel{? ?}{\approx} I\left(X ; T_{\ell}\right) \quad$ No!



## What is going on here?

- Plots via binning-based estimator of $I\left(X ; T_{\ell}\right)$, for $X \sim$ Unif(dataset) $\Longrightarrow$ Plotted values are $I\left(X ; \operatorname{Bin}\left(T_{\ell}\right)\right) \stackrel{? ?}{\approx} I\left(X ; T_{\ell}\right) \quad$ No!

- Smaller bins $\Longrightarrow$ Closer to truth: $\quad I\left(X ; T_{\ell}\right)=\ln \left(2^{12}\right) \approx 8.31$


## What is going on here?

- Plots via binning-based estimator of $I\left(X ; T_{\ell}\right)$, for $X \sim$ Unif(dataset) $\Longrightarrow$ Plotted values are $I\left(X ; \operatorname{Bin}\left(T_{\ell}\right)\right) \stackrel{? ?}{\sim} I\left(X ; T_{\ell}\right) \quad$ No!

- Smaller bins $\Longrightarrow$ Closer to truth: $\quad I\left(X ; T_{\ell}\right)=\ln \left(2^{12}\right) \approx 8.31$
- Binning introduces "noise" into estimator (not present in the DNN)


## What is going on here?

- Plots via binning-based estimator of $I\left(X ; T_{\ell}\right)$, for $X \sim$ Unif(dataset) $\Longrightarrow$ Plotted values are $I\left(X ; \operatorname{Bin}\left(T_{\ell}\right)\right) \stackrel{? ?}{\approx} I\left(X ; T_{\ell}\right) \quad$ No!
bin size $=0.0001$

- Smaller bins $\Longrightarrow$ Closer to truth: $\quad I\left(X ; T_{\ell}\right)=\ln \left(2^{12}\right) \approx 8.31$
- Binning introduces "noise" into estimator (not present in the DNN)
- Plots showing estimation errors


## What is going on here?

- Plots via binning-based estimator of $I\left(X ; T_{\ell}\right)$, for $X \sim$ Unif(dataset) $\Longrightarrow$ Plotted values are $I\left(X ; \operatorname{Bin}\left(T_{\ell}\right)\right) \stackrel{? ?}{\approx} I\left(X ; T_{\ell}\right) \quad$ No!
bin size $=0.0001$

- Smaller bins $\Longrightarrow$ Closer to truth: $\quad I\left(X ; T_{\ell}\right)=\ln \left(2^{12}\right) \approx 8.31$
- Binning introduces "noise" into estimator (not present in the DNN)
- Plots showing estimation errors
* Real Problem: $I\left(X ; T_{\ell}\right)$ is meaningless for studying the DNN


## Noisy Deep Neural Networks

## Proposed Fix: Inject (small) Gaussian noise to neurons' output

## Noisy Deep Neural Networks

Proposed Fix: Inject (small) Gaussian noise to neurons' output

- Formally: $T_{\ell}=f_{\ell}\left(T_{\ell-1}\right)+Z_{\ell}$, where $Z_{\ell} \sim \mathcal{N}\left(0, \beta^{2} \mathrm{I}\right)$


## Noisy Deep Neural Networks

Proposed Fix: Inject (small) Gaussian noise to neurons' output

- Formally: $T_{\ell}=f_{\ell}\left(T_{\ell-1}\right)+Z_{\ell}$, where $Z_{\ell} \sim \mathcal{N}\left(0, \beta^{2} \mathrm{I}\right)$



## Noisy Deep Neural Networks

Proposed Fix: Inject (small) Gaussian noise to neurons' output

- Formally: $T_{\ell}=f_{\ell}\left(T_{\ell-1}\right)+Z_{\ell}$, where $Z_{\ell} \sim \mathcal{N}\left(0, \beta^{2} \mathrm{I}\right)$

$\Longrightarrow X \mapsto T_{\ell}$ is a parametrized channel that depends on DNN param.!


## Noisy Deep Neural Networks

Proposed Fix: Inject (small) Gaussian noise to neurons' output

- Formally: $T_{\ell}=f_{\ell}\left(T_{\ell-1}\right)+Z_{\ell}$, where $Z_{\ell} \sim \mathcal{N}\left(0, \beta^{2} \mathrm{I}\right)$

$\Longrightarrow X \mapsto T_{\ell}$ is a parametrized channel that depends on DNN param.!
- Operational Perspective:


## Noisy Deep Neural Networks

Proposed Fix: Inject (small) Gaussian noise to neurons' output

- Formally: $T_{\ell}=f_{\ell}\left(T_{\ell-1}\right)+Z_{\ell}$, where $Z_{\ell} \sim \mathcal{N}\left(0, \beta^{2} \mathrm{I}\right)$

$\Longrightarrow X \mapsto T_{\ell}$ is a parametrized channel that depends on DNN param.!
- Operational Perspective:
- Performance \& learned representations similar to det. DNNs $\left(\beta \approx 10^{-1}\right)$


## Noisy Deep Neural Networks

Proposed Fix: Inject (small) Gaussian noise to neurons' output

- Formally: $T_{\ell}=f_{\ell}\left(T_{\ell-1}\right)+Z_{\ell}$, where $Z_{\ell} \sim \mathcal{N}\left(0, \beta^{2} \mathrm{I}\right)$

$\Longrightarrow X \mapsto T_{\ell}$ is a parametrized channel that depends on DNN param.!
- Operational Perspective:
- Performance \& learned representations similar to det. DNNs $\left(\beta \approx 10^{-1}\right)$
- Noise masks fine variations - MI represents relevant/distingishable info.


## Noisy Deep Neural Networks

Proposed Fix: Inject (small) Gaussian noise to neurons' output

- Formally: $T_{\ell}=f_{\ell}\left(T_{\ell-1}\right)+Z_{\ell}$, where $Z_{\ell} \sim \mathcal{N}\left(0, \beta^{2} \mathrm{I}\right)$

$\Longrightarrow X \mapsto T_{\ell}$ is a parametrized channel that depends on DNN param.!
- Operational Perspective:
- Performance \& learned representations similar to det. DNNs $\left(\beta \approx 10^{-1}\right)$
- Noise masks fine variations - MI represents relevant/distingishable info.
- Dropout \& quantized DNNs widely used in practice $\approx$ internal noise


## Mutual Information (Estimation) in Noisy DNNs

- Layer $\ell$ : Denote $S_{\ell} \triangleq f_{\ell}\left(T_{\ell-1}\right)$


## Mutual Information (Estimation) in Noisy DNNs

- Layer $\ell$ : Denote $S_{\ell} \triangleq f_{\ell}\left(T_{\ell-1}\right) \Longrightarrow T_{\ell}=S_{\ell}+Z_{\ell}, Z_{\ell} \sim \mathcal{N}\left(0, \beta^{2} \mathrm{I}\right)$


## Mutual Information (Estimation) in Noisy DNNs

- Layer $\ell$ : Denote $S_{\ell} \triangleq f_{\ell}\left(T_{\ell-1}\right) \Longrightarrow T_{\ell}=S_{\ell}+Z_{\ell}, Z_{\ell} \sim \mathcal{N}\left(0, \beta^{2} \mathrm{I}\right)$
- Assume: $X \sim \operatorname{Unif}(\mathcal{X})$, where $\mathcal{X} \triangleq\left\{x_{i}\right\}_{i=1}^{m}$ is empirical dataset


## Mutual Information (Estimation) in Noisy DNNs

- Layer $\ell$ : Denote $S_{\ell} \triangleq f_{\ell}\left(T_{\ell-1}\right) \Longrightarrow T_{\ell}=S_{\ell}+Z_{\ell}, Z_{\ell} \sim \mathcal{N}\left(0, \beta^{2} \mathrm{I}\right)$
- Assume: $X \sim \operatorname{Unif}(\mathcal{X})$, where $\mathcal{X} \triangleq\left\{x_{i}\right\}_{i=1}^{m}$ is empirical dataset
- Mutual Information: $I\left(X ; T_{\ell}\right)=h\left(T_{\ell}\right)-\frac{1}{m} \sum_{i=1}^{m} h\left(T_{\ell} \mid X=x_{i}\right)$


## Mutual Information (Estimation) in Noisy DNNs

- Layer $\ell$ : Denote $S_{\ell} \triangleq f_{\ell}\left(T_{\ell-1}\right) \Longrightarrow T_{\ell}=S_{\ell}+Z_{\ell}, Z_{\ell} \sim \mathcal{N}\left(0, \beta^{2} \mathrm{I}\right)$
- Assume: $X \sim \operatorname{Unif}(\mathcal{X})$, where $\mathcal{X} \triangleq\left\{x_{i}\right\}_{i=1}^{m}$ is empirical dataset
- Mutual Information: $\quad I\left(X ; T_{\ell}\right)=h\left(T_{\ell}\right)-\frac{1}{m} \sum_{i=1}^{m} h\left(T_{\ell} \mid X=x_{i}\right)$
* Distribution of $S_{\ell}$ is extremely complicated to compute/evaluate


## Mutual Information (Estimation) in Noisy DNNs

- Layer $\ell$ : Denote $S_{\ell} \triangleq f_{\ell}\left(T_{\ell-1}\right) \Longrightarrow T_{\ell}=S_{\ell}+Z_{\ell}, Z_{\ell} \sim \mathcal{N}\left(0, \beta^{2} \mathrm{I}\right)$
- Assume: $X \sim \operatorname{Unif}(\mathcal{X})$, where $\mathcal{X} \triangleq\left\{x_{i}\right\}_{i=1}^{m}$ is empirical dataset
- Mutual Information: $I\left(X ; T_{\ell}\right)=h\left(T_{\ell}\right)-\frac{1}{m} \sum_{i=1}^{m} h\left(T_{\ell} \mid X=x_{i}\right)$
* Distribution of $S_{\ell}$ is extremely complicated to compute/evaluate
$\circledast$ But, $P_{S_{\ell}}$ and $P_{S_{\ell} \mid X=x_{i}}$ are easily sampled from via DNN fwd. pass


## Mutual Information (Estimation) in Noisy DNNs

- Layer $\ell$ : Denote $S_{\ell} \triangleq f_{\ell}\left(T_{\ell-1}\right) \Longrightarrow T_{\ell}=S_{\ell}+Z_{\ell}, Z_{\ell} \sim \mathcal{N}\left(0, \beta^{2} \mathrm{I}\right)$
- Assume: $X \sim \operatorname{Unif}(\mathcal{X})$, where $\mathcal{X} \triangleq\left\{x_{i}\right\}_{i=1}^{m}$ is empirical dataset
- Mutual Information: $I\left(X ; T_{\ell}\right)=h\left(T_{\ell}\right)-\frac{1}{m} \sum_{i=1}^{m} h\left(T_{\ell} \mid X=x_{i}\right)$
* Distribution of $S_{\ell}$ is extremely complicated to compute/evaluate
* But, $P_{S_{\ell}}$ and $P_{S_{\ell} \mid X=x_{i}}$ are easily sampled from via DNN fwd. pass
$\Longrightarrow$ Estimate MI from samples \& Exploit noisy DNN structure


## Mutual Information (Estimation) in Noisy DNNs

- Layer $\ell$ : Denote $S_{\ell} \triangleq f_{\ell}\left(T_{\ell-1}\right) \Longrightarrow T_{\ell}=S_{\ell}+Z_{\ell}, Z_{\ell} \sim \mathcal{N}\left(0, \beta^{2} \mathrm{I}\right)$
- Assume: $X \sim \operatorname{Unif}(\mathcal{X})$, where $\mathcal{X} \triangleq\left\{x_{i}\right\}_{i=1}^{m}$ is empirical dataset
- Mutual Information: $I\left(X ; T_{\ell}\right)=h\left(T_{\ell}\right)-\frac{1}{m} \sum_{i=1}^{m} h\left(T_{\ell} \mid X=x_{i}\right)$
* Distribution of $S_{\ell}$ is extremely complicated to compute/evaluate
* But, $P_{S_{\ell}}$ and $P_{S_{\ell} \mid X=x_{i}}$ are easily sampled from via DNN fwd. pass
$\Longrightarrow$ Estimate MI from samples \& Exploit noisy DNN structure


## Differential Entropy Estimation under Gaussian Convolutions

Estimate $h(S+Z)$ using $n$ i.i.d. samples from $P_{S} \in \mathcal{F}_{d}$ (nonparametric class) and knowing that $Z \sim \mathcal{N}\left(0, \beta^{2} \mathrm{I}_{d}\right)$ independent of $S$.

## Mutual Information (Estimation) in Noisy DNNs

- Layer $\ell$ : Denote $S_{\ell} \triangleq f_{\ell}\left(T_{\ell-1}\right) \Longrightarrow T_{\ell}=S_{\ell}+Z_{\ell}, Z_{\ell} \sim \mathcal{N}\left(0, \beta^{2} \mathrm{I}\right)$
- Assume: $X \sim \operatorname{Unif}(\mathcal{X})$, where $\mathcal{X} \triangleq\left\{x_{i}\right\}_{i=1}^{m}$ is empirical dataset
- Mutual Information: $I\left(X ; T_{\ell}\right)=h\left(T_{\ell}\right)-\frac{1}{m} \sum_{i=1}^{m} h\left(T_{\ell} \mid X=x_{i}\right)$
* Distribution of $S_{\ell}$ is extremely complicated to compute/evaluate
* But, $P_{S_{\ell}}$ and $P_{S_{\ell} \mid X=x_{i}}$ are easily sampled from via DNN fwd. pass
$\Longrightarrow$ Estimate MI from samples \& Exploit noisy DNN structure


## Differential Entropy Estimation under Gaussian Convolutions

Estimate $h(S+Z)$ using $n$ i.i.d. samples from $P_{S} \in \mathcal{F}_{d}$ (nonparametric class) and knowing that $Z \sim \mathcal{N}\left(0, \beta^{2} \mathrm{I}_{d}\right)$ independent of $S$.

Results [ZG-Greenewald-Polyanskiy'18]:

## Mutual Information (Estimation) in Noisy DNNs

- Layer $\ell$ : Denote $S_{\ell} \triangleq f_{\ell}\left(T_{\ell-1}\right) \Longrightarrow T_{\ell}=S_{\ell}+Z_{\ell}, Z_{\ell} \sim \mathcal{N}\left(0, \beta^{2} \mathrm{I}\right)$
- Assume: $X \sim \operatorname{Unif}(\mathcal{X})$, where $\mathcal{X} \triangleq\left\{x_{i}\right\}_{i=1}^{m}$ is empirical dataset
- Mutual Information: $I\left(X ; T_{\ell}\right)=h\left(T_{\ell}\right)-\frac{1}{m} \sum_{i=1}^{m} h\left(T_{\ell} \mid X=x_{i}\right)$
* Distribution of $S_{\ell}$ is extremely complicated to compute/evaluate
* But, $P_{S_{\ell}}$ and $P_{S_{\ell} \mid X=x_{i}}$ are easily sampled from via DNN fwd. pass
$\Longrightarrow$ Estimate MI from samples \& Exploit noisy DNN structure


## Differential Entropy Estimation under Gaussian Convolutions

Estimate $h(S+Z)$ using $n$ i.i.d. samples from $P_{S} \in \mathcal{F}_{d}$ (nonparametric class) and knowing that $Z \sim \mathcal{N}\left(0, \beta^{2} \mathrm{I}_{d}\right)$ independent of $S$.

Results [ZG-Greenewald-Polyanskiy'18]:

- Sample complexity is exponential in $d$


## Mutual Information (Estimation) in Noisy DNNs

- Layer $\ell$ : Denote $S_{\ell} \triangleq f_{\ell}\left(T_{\ell-1}\right) \Longrightarrow T_{\ell}=S_{\ell}+Z_{\ell}, Z_{\ell} \sim \mathcal{N}\left(0, \beta^{2} \mathrm{I}\right)$
- Assume: $X \sim \operatorname{Unif}(\mathcal{X})$, where $\mathcal{X} \triangleq\left\{x_{i}\right\}_{i=1}^{m}$ is empirical dataset
- Mutual Information: $I\left(X ; T_{\ell}\right)=h\left(T_{\ell}\right)-\frac{1}{m} \sum_{i=1}^{m} h\left(T_{\ell} \mid X=x_{i}\right)$
* Distribution of $S_{\ell}$ is extremely complicated to compute/evaluate
* But, $P_{S_{\ell}}$ and $P_{S_{\ell} \mid X=x_{i}}$ are easily sampled from via DNN fwd. pass
$\Longrightarrow$ Estimate MI from samples \& Exploit noisy DNN structure


## Differential Entropy Estimation under Gaussian Convolutions

Estimate $h(S+Z)$ using $n$ i.i.d. samples from $P_{S} \in \mathcal{F}_{d}$ (nonparametric class) and knowing that $Z \sim \mathcal{N}\left(0, \beta^{2} \mathrm{I}_{d}\right)$ independent of $S$.

Results [ZG-Greenewald-Polyanskiy'18]:

- Sample complexity is exponential in $d$
- Absolute-error minimax risk is $O\left((\log n)^{d / 4} / \sqrt{n}\right)$ (all const. explicit)


## $I\left(X ; T_{\ell}\right)$ Dynamics - Illustrative Minimal Example

## Single Neuron Classification:

## $I\left(X ; T_{\ell}\right)$ Dynamics - Illustrative Minimal Example

Single Neuron Classification:


## $I\left(X ; T_{\ell}\right)$ Dynamics - Illustrative Minimal Example

## Single Neuron Classification:

- Input: $X \sim \operatorname{Unif}\left(\mathcal{X}_{-1} \cup \mathcal{X}_{1}\right)$

$$
\mathcal{X}_{-1} \triangleq\{-3,-1,1\}, \mathcal{X}_{1} \triangleq\{3\}
$$



## $I\left(X ; T_{\ell}\right)$ Dynamics - Illustrative Minimal Example

Single Neuron Classification:

- Input: $X \sim \operatorname{Unif}\left(\mathcal{X}_{-1} \cup \mathcal{X}_{1}\right)$

$$
\begin{aligned}
& \xrightarrow[X]{\tanh (w X+b)} \xrightarrow{S_{w, b}} \overbrace{\uparrow} \xrightarrow{T} \\
& \\
& \\
& \sim \mathcal{N}\left(0, \beta^{2}\right)
\end{aligned}
$$



## $I\left(X ; T_{\ell}\right)$ Dynamics - Illustrative Minimal Example

Single Neuron Classification:

- Input: $X \sim \operatorname{Unif}\left(\mathcal{X}_{-1} \cup \mathcal{X}_{1}\right)$

$$
\mathcal{X}_{-1} \triangleq\{-3,-1,1\}, \mathcal{X}_{1} \triangleq\{3\}
$$

$$
\begin{aligned}
& \xrightarrow{X} \xrightarrow{\tanh (w X+b)} \stackrel{S_{w, b}}{\rightarrow} \xrightarrow{T} \\
& \\
& Z \sim \mathcal{N}\left(0, \beta^{2}\right)
\end{aligned}
$$



* Move tanh center $x=2(\Longleftrightarrow b=-2)$


## $I\left(X ; T_{\ell}\right)$ Dynamics - Illustrative Minimal Example

Single Neuron Classification:

- Input: $X \sim \operatorname{Unif}\left(\mathcal{X}_{-1} \cup \mathcal{X}_{1}\right)$

$$
\mathcal{X}_{-1} \triangleq\{-3,-1,1\}, \mathcal{X}_{1} \triangleq\{3\}
$$




## $I\left(X ; T_{\ell}\right)$ Dynamics - Illustrative Minimal Example

## Single Neuron Classification:

- Input: $X \sim \operatorname{Unif}\left(\mathcal{X}_{-1} \cup \mathcal{X}_{1}\right)$

$$
\mathcal{X}_{-1} \triangleq\{-3,-1,1\}, \mathcal{X}_{1} \triangleq\{3\}
$$

$$
\begin{aligned}
& \xrightarrow{X} \xrightarrow{\tanh (w X+b)} \stackrel{S_{w, b}}{\uparrow} \xrightarrow{T} \\
& \\
& Z \sim \mathcal{N}\left(0, \beta^{2}\right)
\end{aligned}
$$


$\circledast$ Sharpen tanh transition $(\Longleftrightarrow$ increase $w$ and keep $b=-2 w)$

## $I\left(X ; T_{\ell}\right)$ Dynamics - Illustrative Minimal Example

Single Neuron Classification:

- Input: $X \sim \operatorname{Unif}\left(\mathcal{X}_{-1} \cup \mathcal{X}_{1}\right)$

$$
\mathcal{X}_{-1} \triangleq\{-3,-1,1\}, \mathcal{X}_{1} \triangleq\{3\}
$$

$$
\begin{aligned}
\xrightarrow[X]{\tanh (w X+b)} \xrightarrow{S_{w, b}} \overbrace{\uparrow} \xrightarrow{T} \\
Z \sim \mathcal{N}\left(0, \beta^{2}\right)
\end{aligned}
$$



## $I\left(X ; T_{\ell}\right)$ Dynamics - Illustrative Minimal Example

## Single Neuron Classification:

- Input: $X \sim \operatorname{Unif}\left(\mathcal{X}_{-1} \cup \mathcal{X}_{1}\right)$

$$
\mathcal{X}_{-1} \triangleq\{-3,-1,1\}, \mathcal{X}_{1} \triangleq\{3\}
$$




Correct classification performance

## $I\left(X ; T_{\ell}\right)$ Dynamics - Illustrative Minimal Example

## Single Neuron Classification:

- Input: $X \sim \operatorname{Unif}\left(\mathcal{X}_{-1} \cup \mathcal{X}_{1}\right)$

$$
\mathcal{X}_{-1} \triangleq\{-3,-1,1\}, \mathcal{X}_{1} \triangleq\{3\}
$$

## - Empirical Results:







## $I\left(X ; T_{\ell}\right)$ Dynamics - Illustrative Minimal Example

## Single Neuron Classification:

- Input: $X \sim \operatorname{Unif}\left(\mathcal{X}_{-1} \cup \mathcal{X}_{1}\right)$

$$
\mathcal{X}_{-1} \triangleq\{-3,-1,1\}, \mathcal{X}_{1} \triangleq\{3\}
$$



- Mutual Information:

$$
I(X ; T)
$$

## $I\left(X ; T_{\ell}\right)$ Dynamics - Illustrative Minimal Example

## Single Neuron Classification:

- Input: $X \sim \operatorname{Unif}\left(\mathcal{X}_{-1} \cup \mathcal{X}_{1}\right)$

$$
\mathcal{X}_{-1} \triangleq\{-3,-1,1\}, \mathcal{X}_{1} \triangleq\{3\}
$$



- Mutual Information:

$$
I(X ; T)=I\left(X ; S_{w, b}+Z\right)
$$

## $I\left(X ; T_{\ell}\right)$ Dynamics - Illustrative Minimal Example

## Single Neuron Classification:

- Input: $X \sim \operatorname{Unif}\left(\mathcal{X}_{-1} \cup \mathcal{X}_{1}\right)$

- Mutual Information:

$$
I(X ; T)=I\left(X ; S_{w, b}+Z\right)=I\left(\tanh (w X+b) ; S_{w, b}+Z\right)
$$

## $I\left(X ; T_{\ell}\right)$ Dynamics - Illustrative Minimal Example

## Single Neuron Classification:

- Input: $X \sim \operatorname{Unif}\left(\mathcal{X}_{-1} \cup \mathcal{X}_{1}\right)$

$$
\mathcal{X}_{-1} \triangleq\{-3,-1,1\}, \mathcal{X}_{1} \triangleq\{3\}
$$



- Mutual Information:

$$
I(X ; T)=I\left(X ; S_{w, b}+Z\right)=I\left(\tanh (w X+b) ; S_{w, b}+Z\right)=I\left(S_{w, b} ; S_{w, b}+Z\right)
$$

## $I\left(X ; T_{\ell}\right)$ Dynamics - Illustrative Minimal Example

## Single Neuron Classification:

- Input: $X \sim \operatorname{Unif}\left(\mathcal{X}_{-1} \cup \mathcal{X}_{1}\right)$

$$
\mathcal{X}_{-1} \triangleq\{-3,-1,1\}, \mathcal{X}_{1} \triangleq\{3\}
$$



- Mutual Information:

$$
I(X ; T)=I\left(X ; S_{w, b}+Z\right)=I\left(\tanh (w X+b) ; S_{w, b}+Z\right)=I\left(S_{w, b} ; S_{w, b}+Z\right)
$$

$\Longrightarrow I(X ; T)$ is the aggregate info. transmitted over AWGN w. symbols $\mathcal{S}_{w, b} \triangleq\{\tanh (-3 w+b), \tanh (-w+b), \tanh (w+b), \tanh (3 w+b)\}$

## $I\left(X ; T_{\ell}\right)$ Dynamics - Illustrative Minimal Example

## Single Neuron Classification:

- Input: $X \sim \operatorname{Unif}\left(\mathcal{X}_{-1} \cup \mathcal{X}_{1}\right)$

$$
\mathcal{X}_{-1} \triangleq\{-3,-1,1\}, \mathcal{X}_{1} \triangleq\{3\}
$$



- Mutual Information:

$$
I(X ; T)=I\left(X ; S_{w, b}+Z\right)=I\left(\tanh (w X+b) ; S_{w, b}+Z\right)=I\left(S_{w, b} ; S_{w, b}+Z\right)
$$

$\Longrightarrow I(X ; T)$ is the aggregate info. transmitted over AWGN w. symbols $\mathcal{S}_{w, b} \triangleq\{\tanh (-3 w+b), \tanh (-w+b), \tanh (w+b), \tanh (3 w+b)\} \longrightarrow\{ \pm 1\}$

## $I\left(X ; T_{\ell}\right)$ Dynamics - Illustrative Minimal Example

## Single Neuron Classification:

- Input: $X \sim \operatorname{Unif}\left(\mathcal{X}_{-1} \cup \mathcal{X}_{1}\right)$

$$
\mathcal{X}_{-1} \triangleq\{-3,-1,1\}, \mathcal{X}_{1} \triangleq\{3\}
$$



- Mutual Information:

$$
I(X ; T)=I\left(X ; S_{w, b}+Z\right)=I\left(\tanh (w X+b) ; S_{w, b}+Z\right)=I\left(S_{w, b} ; S_{w, b}+Z\right)
$$

$\Longrightarrow I(X ; T)$ is the aggregate info. transmitted over AWGN w. symbols $\mathcal{S}_{w, b} \triangleq\{\tanh (-3 w+b), \tanh (-w+b), \tanh (w+b), \tanh (3 w+b)\} \longrightarrow\{ \pm 1\}$


## $I\left(X ; T_{\ell}\right)$ Dynamics - Illustrative Minimal Example

## Single Neuron Classification:

- Input: $X \sim \operatorname{Unif}\left(\mathcal{X}_{-1} \cup \mathcal{X}_{1}\right)$

$$
\mathcal{X}_{-1} \triangleq\{-3,-1,1\}, \mathcal{X}_{1} \triangleq\{3\}
$$



- Mutual Information:

$$
I(X ; T)=I\left(X ; S_{w, b}+Z\right)=I\left(\tanh (w X+b) ; S_{w, b}+Z\right)=I\left(S_{w, b} ; S_{w, b}+Z\right)
$$

$\Longrightarrow I(X ; T)$ is the aggregate info. transmitted over AWGN w. symbols $\mathcal{S}_{w, b} \triangleq\{\tanh (-3 w+b), \tanh (-w+b), \tanh (w+b), \tanh (3 w+b)\} \longrightarrow\{ \pm 1\}$



## $I\left(X ; T_{\ell}\right)$ Dynamics - Illustrative Minimal Example

## Single Neuron Classification:

- Input: $X \sim \operatorname{Unif}\left(\mathcal{X}_{-1} \cup \mathcal{X}_{1}\right)$

$$
\mathcal{X}_{-1} \triangleq\{-3,-1,1\}, \mathcal{X}_{1} \triangleq\{3\}
$$



- Mutual Information:

$$
I(X ; T)=I\left(X ; S_{w, b}+Z\right)=I\left(\tanh (w X+b) ; S_{w, b}+Z\right)=I\left(S_{w, b} ; S_{w, b}+Z\right)
$$

$\Longrightarrow I(X ; T)$ is the aggregate info. transmitted over AWGN w. symbols $\mathcal{S}_{w, b} \triangleq\{\tanh (-3 w+b), \tanh (-w+b), \tanh (w+b), \tanh (3 w+b)\} \longrightarrow\{ \pm 1\}$




## Clustering of Representations - Larger Networks

Noisy version of DNN from [Schwartz-Ziv\&Tishby'17]:

## Clustering of Representations - Larger Networks

Noisy version of DNN from [Schwartz-Ziv\&Tishby'17]:

- Binary Classification: 12 -bit input \& 12-10-7-5-4-3-2 MLP arch.


## Clustering of Representations - Larger Networks

Noisy version of DNN from [Schwartz-Ziv\&Tishby'17]:

- Binary Classification: 12 -bit input \& 12-10-7-5-4-3-2 MLP arch.
- Noise std.: Set to $\beta=0.1$


## Clustering of Representations - Larger Networks

Noisy version of DNN from [Schwartz-Ziv\&Tishby'17]:

- Binary Classification: 12 -bit input \& 12-10-7-5-4-3-2 MLP arch.
- Noise std.: Set to $\beta=0.1$


## Clustering of Representations - Larger Networks

## Noisy version of DNN from [Schwartz-Ziv\&Tishby'17]:

- Binary Classification: 12 -bit input \& 12-10-7-5-4-3-2 MLP arch.
- Noise std.: Set to $\beta=0.1$



## Clustering of Representations - Larger Networks

## Noisy version of DNN from [Schwartz-Ziv\&Tishby'17]:

- Binary Classification: 12-bit input \& 12-10-7-5-4-3-2 MLP arch.
- Noise std.: Set to $\beta=0.1$



## Clustering of Representations - Larger Networks

## Noisy version of DNN from [Schwartz-Ziv\&Tishby'17]:

- Binary Classification: 12-bit input \& 12-10-7-5-4-3-2 MLP arch.
- Noise std.: Set to $\beta=0.1$

$\Longrightarrow$ Compression of $I\left(X ; T_{\ell}\right)$ driven by clustering of representations


## Circling back to Deterministic DNNs

- $I\left(X ; T_{\ell}\right)$ is constant


## Circling back to Deterministic DNNs

- $I\left(X ; T_{\ell}\right)$ is constant $\Longrightarrow$ Doesn't measure clustering


## Circling back to Deterministic DNNs

- $I\left(X ; T_{\ell}\right)$ is constant $\Longrightarrow$ Doesn't measure clustering
- Alternative measures for clustering (det. and noisy DNNs):


## Circling back to Deterministic DNNs

- $I\left(X ; T_{\ell}\right)$ is constant $\Longrightarrow$ Doesn't measure clustering
- Alternative measures for clustering (det. and noisy DNNs):
- Scatter plots (up to 3D layers)


## Circling back to Deterministic DNNs

- $I\left(X ; T_{\ell}\right)$ is constant $\Longrightarrow$ Doesn't measure clustering
- Alternative measures for clustering (det. and noisy DNNs):
- Scatter plots (up to 3D layers)
- Within-class \& In-between-class pairwise distance distribution


## Circling back to Deterministic DNNs

- $I\left(X ; T_{\ell}\right)$ is constant $\Longrightarrow$ Doesn't measure clustering
- Alternative measures for clustering (det. and noisy DNNs):
- Scatter plots (up to 3D layers)
- Within-class \& In-between-class pairwise distance distribution
- Binned entropy $H\left(\operatorname{Bin}\left(T_{\ell}\right)\right)$


## Circling back to Deterministic DNNs

- $I\left(X ; T_{\ell}\right)$ is constant $\Longrightarrow$ Doesn't measure clustering
- Alternative measures for clustering (det. and noisy DNNs):
- Scatter plots (up to 3D layers)
- Within-class \& In-between-class pairwise distance distribution
- Binned entropy $H\left(\operatorname{Bin}\left(T_{\ell}\right)\right)$
- Noisy DNNs: $I\left(X ; T_{\ell}\right)$ and $H\left(\operatorname{Bin}\left(T_{\ell}\right)\right)$ highly correlated!^


## Circling back to Deterministic DNNs

- $I\left(X ; T_{\ell}\right)$ is constant $\Longrightarrow$ Doesn't measure clustering
- Alternative measures for clustering (det. and noisy DNNs):
- Scatter plots (up to 3D layers)
- Within-class \& In-between-class pairwise distance distribution
- Binned entropy $H\left(\operatorname{Bin}\left(T_{\ell}\right)\right)$
- Noisy DNNs: $I\left(X ; T_{\ell}\right)$ and $H\left(\operatorname{Bin}\left(T_{\ell}\right)\right)$ highly correlated!*
- Det. DNNs: $H\left(\operatorname{Bin}\left(T_{\ell}\right)\right)$ compresses (resolution wrt bins size)


## Circling back to Deterministic DNNs

- $I\left(X ; T_{\ell}\right)$ is constant $\Longrightarrow$ Doesn't measure clustering
- Alternative measures for clustering (det. and noisy DNNs):
- Scatter plots (up to 3D layers)
- Within-class \& In-between-class pairwise distance distribution
- Binned entropy $H\left(\operatorname{Bin}\left(T_{\ell}\right)\right)$
- Noisy DNNs: $I\left(X ; T_{\ell}\right)$ and $H\left(\operatorname{Bin}\left(T_{\ell}\right)\right)$ highly correlated!*
- Det. DNNs: $H\left(\operatorname{Bin}\left(T_{\ell}\right)\right)$ compresses (resolution wrt bins size)
* Past Works: Estimated $I\left(X ; T_{\ell}\right)$ by $I\left(X ; \operatorname{Bin}\left(T_{\ell}\right)\right)$


## Circling back to Deterministic DNNs

- $I\left(X ; T_{\ell}\right)$ is constant $\Longrightarrow$ Doesn't measure clustering
- Alternative measures for clustering (det. and noisy DNNs):
- Scatter plots (up to 3D layers)
- Within-class \& In-between-class pairwise distance distribution
- Binned entropy $H\left(\operatorname{Bin}\left(T_{\ell}\right)\right)$
- Noisy DNNs: $I\left(X ; T_{\ell}\right)$ and $H\left(\operatorname{Bin}\left(T_{\ell}\right)\right)$ highly correlated!*
- Det. DNNs: $H\left(\operatorname{Bin}\left(T_{\ell}\right)\right)$ compresses (resolution wrt bins size)
$\circledast$ Past Works: Estimated $I\left(X ; T_{\ell}\right)$ by $I\left(X ; \operatorname{Bin}\left(T_{\ell}\right)\right)=H\left(\operatorname{Bin}\left(T_{\ell}\right)\right)$


## Circling back to Deterministic DNNs

- $I\left(X ; T_{\ell}\right)$ is constant $\Longrightarrow$ Doesn't measure clustering
- Alternative measures for clustering (det. and noisy DNNs):
- Scatter plots (up to 3D layers)
- Within-class \& In-between-class pairwise distance distribution
- Binned entropy $H\left(\operatorname{Bin}\left(T_{\ell}\right)\right)$
- Noisy DNNs: $I\left(X ; T_{\ell}\right)$ and $H\left(\operatorname{Bin}\left(T_{\ell}\right)\right)$ highly correlated!*
- Det. DNNs: $H\left(\operatorname{Bin}\left(T_{\ell}\right)\right)$ compresses (resolution wrt bins size)
$\circledast$ Past Works: Estimated $I\left(X ; T_{\ell}\right)$ by $I\left(X ; \operatorname{Bin}\left(T_{\ell}\right)\right)=H\left(\operatorname{Bin}\left(T_{\ell}\right)\right)$
$X$ Incapable of accurately estimating MI values


## Circling back to Deterministic DNNs

- $I\left(X ; T_{\ell}\right)$ is constant $\Longrightarrow$ Doesn't measure clustering
- Alternative measures for clustering (det. and noisy DNNs):
- Scatter plots (up to 3D layers)
- Within-class \& In-between-class pairwise distance distribution
- Binned entropy $H\left(\operatorname{Bin}\left(T_{\ell}\right)\right)$
- Noisy DNNs: $I\left(X ; T_{\ell}\right)$ and $H\left(\operatorname{Bin}\left(T_{\ell}\right)\right)$ highly correlated!*
- Det. DNNs: $H\left(\operatorname{Bin}\left(T_{\ell}\right)\right)$ compresses (resolution wrt bins size)
$\circledast$ Past Works: Estimated $I\left(X ; T_{\ell}\right)$ by $I\left(X ; \operatorname{Bin}\left(T_{\ell}\right)\right)=H\left(\operatorname{Bin}\left(T_{\ell}\right)\right)$
$X$ Incapable of accurately estimating MI values
$\checkmark$ Still, simple to compute \& follows MI in tracking clustering!


## Circling back to Deterministic DNNs (Cntd.)

## Comparing to Previously Shown MI Plots:



## Circling back to Deterministic DNNs (Cntd.)

## Comparing to Previously Shown MI Plots:





## Circling back to Deterministic DNNs (Cntd.)

## Comparing to Previously Shown MI Plots:




$\Longrightarrow$ Past works we not showing MI but clustering (via binned-MI)!

## Summary

- Reexamined Information Bottleneck Compression:


## Summary

- Reexamined Information Bottleneck Compression:
- $I(X ; T)$ fluctuations in det. DNNs are theoretically impossible


## Summary

- Reexamined Information Bottleneck Compression:
- $I(X ; T)$ fluctuations in det. DNNs are theoretically impossible
- Yes, past works presented $I(X ; T)$ dynamics during training


## Summary

- Reexamined Information Bottleneck Compression:
- $I(X ; T)$ fluctuations in det. DNNs are theoretically impossible
- Yes, past works presented $I(X ; T)$ dynamics during training
- Noisy DNN Framework: Studying IT quantities over DNNs


## Summary

- Reexamined Information Bottleneck Compression:
- $I(X ; T)$ fluctuations in det. DNNs are theoretically impossible
- Yes, past works presented $I(X ; T)$ dynamics during training
- Noisy DNN Framework: Studying IT quantities over DNNs
- Toolkit for accurate MI estimation over this framework


## Summary

- Reexamined Information Bottleneck Compression:
- $I(X ; T)$ fluctuations in det. DNNs are theoretically impossible
- Yes, past works presented $I(X ; T)$ dynamics during training
- Noisy DNN Framework: Studying IT quantities over DNNs
- Toolkit for accurate MI estimation over this framework
- Clustering of the learned representations is the source of compression


## Summary

- Reexamined Information Bottleneck Compression:
- $I(X ; T)$ fluctuations in det. DNNs are theoretically impossible
- Yes, past works presented $I(X ; T)$ dynamics during training
- Noisy DNN Framework: Studying IT quantities over DNNs
- Toolkit for accurate MI estimation over this framework
- Clustering of the learned representations is the source of compression
- Methods to track clustering in det. DNNs (incl. $\left.H\left(\operatorname{Bin}\left(T_{\ell}\right)\right)\right)$


## Summary

- Reexamined Information Bottleneck Compression:
- $I(X ; T)$ fluctuations in det. DNNs are theoretically impossible
- Yes, past works presented $I(X ; T)$ dynamics during training
- Noisy DNN Framework: Studying IT quantities over DNNs
- Toolkit for accurate MI estimation over this framework
- Clustering of the learned representations is the source of compression
- Methods to track clustering in det. DNNs (incl. $\left.H\left(\operatorname{Bin}\left(T_{\ell}\right)\right)\right)$
* Det. DNNs cluster representations


## Summary

- Reexamined Information Bottleneck Compression:
- $I(X ; T)$ fluctuations in det. DNNs are theoretically impossible
- Yes, past works presented $I(X ; T)$ dynamics during training
- Noisy DNN Framework: Studying IT quantities over DNNs
- Toolkit for accurate MI estimation over this framework
- Clustering of the learned representations is the source of compression
- Methods to track clustering in det. DNNs (incl. $H\left(\operatorname{Bin}\left(T_{\ell}\right)\right)$ )
* Det. DNNs cluster representations $\Longrightarrow$ Clarify past observations


## Summary

- Reexamined Information Bottleneck Compression:
- $I(X ; T)$ fluctuations in det. DNNs are theoretically impossible
- Yes, past works presented $I(X ; T)$ dynamics during training
- Noisy DNN Framework: Studying IT quantities over DNNs
- Toolkit for accurate MI estimation over this framework
- Clustering of the learned representations is the source of compression
- Methods to track clustering in det. DNNs (incl. $H\left(\operatorname{Bin}\left(T_{\ell}\right)\right)$ )
* Det. DNNs cluster representations $\Longrightarrow$ Clarify past observations
- Future Research:


## Summary

- Reexamined Information Bottleneck Compression:
- $I(X ; T)$ fluctuations in det. DNNs are theoretically impossible
- Yes, past works presented $I(X ; T)$ dynamics during training
- Noisy DNN Framework: Studying IT quantities over DNNs
- Toolkit for accurate MI estimation over this framework
- Clustering of the learned representations is the source of compression
- Methods to track clustering in det. DNNs (incl. $H\left(\operatorname{Bin}\left(T_{\ell}\right)\right)$ )
* Det. DNNs cluster representations $\Longrightarrow$ Clarify past observations
- Future Research:
- Curse of dimensionality: How to track clustering in high-dimensions?


## Summary

- Reexamined Information Bottleneck Compression:
- $I(X ; T)$ fluctuations in det. DNNs are theoretically impossible
- Yes, past works presented $I(X ; T)$ dynamics during training
- Noisy DNN Framework: Studying IT quantities over DNNs
- Toolkit for accurate MI estimation over this framework
- Clustering of the learned representations is the source of compression
- Methods to track clustering in det. DNNs (incl. $H\left(\operatorname{Bin}\left(T_{\ell}\right)\right)$ )
* Det. DNNs cluster representations $\Longrightarrow$ Clarify past observations
- Future Research:
- Curse of dimensionality: How to track clustering in high-dimensions?
- Is compression necessary? Desirable?


## Summary

- Reexamined Information Bottleneck Compression:
- $I(X ; T)$ fluctuations in det. DNNs are theoretically impossible
- Yes, past works presented $I(X ; T)$ dynamics during training
- Noisy DNN Framework: Studying IT quantities over DNNs
- Toolkit for accurate MI estimation over this framework
- Clustering of the learned representations is the source of compression
- Methods to track clustering in det. DNNs (incl. $H\left(\operatorname{Bin}\left(T_{\ell}\right)\right)$ )
* Det. DNNs cluster representations $\Longrightarrow$ Clarify past observations
- Future Research:
- Curse of dimensionality: How to track clustering in high-dimensions?
- Is compression necessary? Desirable?
- Build on findings to improve DNN training alg. and architectures

