Delay-Aware Proportional Fair Scheduling in OFDMA Networks

A project submitted in partial fulfillment of the requirements for the degree of

Bachelor of Technology

by

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April 30, 2012
Declaration

I declare that this written submission represents my ideas in my own words and where others’ ideas or words have been included, I have adequately cited and referenced the original sources. I also declare that I have adhered to all principles of academic honesty and integrity and have not misrepresented or fabricated or falsified any idea, data, fact, or source in my submission. I understand that any violation of the above will be cause for disciplinary action by the Institute and can also evoke penal action from the sources which have thus not been properly cited or from whom proper permission has not been taken when needed.

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Date: April 30, 2012
Abstract

This project considers the problem of delay-constrained scheduling over wireless fading channels in OFDMA networks like LTE-Advanced networks. Existing scheduling algorithms are considered and extended to OFDMA networks, and performance is evaluated. Specifically, the problem of scheduling users on the downlink in TD-LTE networks has been addressed, and suitably modified proportional-fair and opportunistic schedulers are proposed. Their performance is evaluated in the context of downlink in TD-LTE systems, and compared. Further, a simulation environment has been created which can be used for further analysis of scheduling algorithms in TD-LTE networks, and which can be suitably extended for simulating relay-assisted networks.
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<th>Description</th>
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<tbody>
<tr>
<td>$\eta_x$</td>
<td>mean of $x$</td>
</tr>
<tr>
<td>$\sigma_x$</td>
<td>standard deviation of $x$</td>
</tr>
<tr>
<td>2G</td>
<td>2nd generation</td>
</tr>
<tr>
<td>3G</td>
<td>3rd generation</td>
</tr>
<tr>
<td>3GPP</td>
<td>3rd Generation Partnership Project</td>
</tr>
<tr>
<td>4G</td>
<td>4th generation</td>
</tr>
<tr>
<td>CQI</td>
<td>channel quality indicator</td>
</tr>
<tr>
<td>DwPTS</td>
<td>downlink pilot time slot</td>
</tr>
<tr>
<td>E-ESM</td>
<td>exponential-effective SINR mapping</td>
</tr>
<tr>
<td>eNB</td>
<td>evolved node B</td>
</tr>
<tr>
<td>FDD</td>
<td>frequency division duplexing</td>
</tr>
<tr>
<td>GP</td>
<td>guard period</td>
</tr>
<tr>
<td>GPF</td>
<td>generalised proportional fairness</td>
</tr>
<tr>
<td>JFI</td>
<td>Jain’s fairness index</td>
</tr>
<tr>
<td>LoS</td>
<td>line of sight</td>
</tr>
<tr>
<td>LTE</td>
<td>Long Term Evolution</td>
</tr>
<tr>
<td>LTE-A</td>
<td>Long Term Evolution - Advanced</td>
</tr>
<tr>
<td>Acronym</td>
<td>Full Form</td>
</tr>
<tr>
<td>---------</td>
<td>-----------</td>
</tr>
<tr>
<td>MIMO</td>
<td>multiple-input multiple-output</td>
</tr>
<tr>
<td>MU-MIMO</td>
<td>Multiple user multiple-input multiple-output</td>
</tr>
<tr>
<td>OFDM</td>
<td>orthogonal frequency division multiplexing</td>
</tr>
<tr>
<td>OFDMA</td>
<td>orthogonal frequency division multiple access</td>
</tr>
<tr>
<td>PDSCH</td>
<td>physical downlink shared channel</td>
</tr>
<tr>
<td>PF</td>
<td>proportional fair</td>
</tr>
<tr>
<td>QAM</td>
<td>quadrature amplitude modulation</td>
</tr>
<tr>
<td>QoS</td>
<td>quality of service</td>
</tr>
<tr>
<td>QPSK</td>
<td>quadrature phase shift keying</td>
</tr>
<tr>
<td>SC-FDMA</td>
<td>single carrier frequency division multiple access</td>
</tr>
<tr>
<td>SINR</td>
<td>signal-to-interference-plus-noise ratio</td>
</tr>
<tr>
<td>SNR</td>
<td>signal-to-noise ratio</td>
</tr>
<tr>
<td>STBC</td>
<td>space-time block codes</td>
</tr>
<tr>
<td>TD-LTE</td>
<td>Time Division - Long Term Evolution</td>
</tr>
<tr>
<td>TDD</td>
<td>time division duplexing</td>
</tr>
<tr>
<td>TTL</td>
<td>time to live</td>
</tr>
<tr>
<td>UE</td>
<td>user equipment</td>
</tr>
<tr>
<td>UpPTS</td>
<td>uplink pilot time slot</td>
</tr>
<tr>
<td>VoIP</td>
<td>Voice over internet protocol</td>
</tr>
</tbody>
</table>
Chapter 1

Introduction

The past few years have seen a boom in cellular communications. In a span of a few years, we have moved from 2G to 3G and are now in the process of deploying 4th generation wireless communications. With every generation, we have seen architectural & technology improvements which allow us to send more and more bits using the same wireless channel. Not only is spectrum a rare and precious resource, the increasing traffic demands mean that we have to use it more carefully than ever before.

Most of the technology standards specify various aspects of the physical layer, i.e. the basic modulation and coding schemes essential for wireless transmission as well as various protocols involved in the higher layers. However, the task of scheduling users for quality-of-service (QoS) purposes has been left open, i.e. the service provider can decide upon the implementation of scheduling algorithms to meet QoS requirements.

Each user has its own requirements, traffic model, and channel conditions. An efficient scheduling algorithm should provide users the quality-of-service they desire, with guarantees on throughput, delay and fairness while making the best use of available resources. Schedulers vary from very simple to very complex, and have been designed keeping in consideration different measures for quality of service. The round-robin scheduler, one of the simplest schedulers, was designed to schedule processes within an operating system. It schedules processes one after another in a non-discriminatory fashion, making it the most fair scheduler. On the other hand, the opportunistic scheduler designed for wireless networks schedules the user with best channel conditions at any given instant. This scheduler maximises the net system throughput, but can be very poor when fairness
is considered as a quality of service metric.

The challenge of this project is to design a scheduler that provides efficient utilisation of available spectrum by maximising the net system throughput while maintaining fairness amongst the contending users. The problem is further complicated by the introduction of relays, which are a recent addition in 4G networks. Essentially, relays behave like tiny base stations but unlike a base station, they may not have any wired back-haul links to the network. Instead, relays may connect to another base station just like any other user. They help improve coverage to users on the cell edge, or in areas where signal quality from the primary base station is poor.

The addition of relays not only presents issues in design of scheduling algorithms, but also calls for development of routing algorithms. Most mobile traffic has some constraints with respect to delay; for example, real time applications like voice and video communication have very tight delay constraints. With such delay constraints, optimal routing of packets becomes an important concern. A user may have very good channel quality from a relay, but congestion at the relay may increase the delay involved in the transmission to unacceptable levels. Designing intelligent algorithms that enable a user to avail a certain quality-of-service while maximising utilisation of available spectrum is a major topic of research in next generation wireless networks.
Chapter 2

Relay Architecture and Scheduling Algorithms

2.1 Relay Architectures for Wireless Networks

With a growth in number of cellular subscribers and with the scarcity of available frequency spectrum, service providers are facing difficulty in maintaining a quality of service for service subscribers. The solution is to improve spatial frequency reuse by decreasing the cell radius, and the implementation of femtocells. However, this results in a greater demand for infrastructure, as more base stations need to be set up, as well as the deployment of specific hardware (femtocell base stations) in an unorganized fashion, which introduces system dynamics of its own.

An alternate solution is to deploy low cost relay base stations within each cell, specifically at the cell edge and where the signal-to-noise ratio (SNR) is low. The relay stations can be strategically located as to receive a good SNR with the base station, and can boost quality of service in their coverage areas.

2.1.1 Types of Relays

Relays are of two main types. Amplify-and-forward relays and Decode-and-forward relays[10].

Amplify and forward relays are easy to implement. They simply amplify the signal and re-transmit it. However, since they do not decode the signal, they also amplify the
degradation suffered by the signal, and do nothing to improve signal quality.

A decode and forward relay decodes the received information and re-encodes it before forwarding a copy. Because of this process, the signal degradation is removed, and the quality of service is improved. However, they are of high complexity because of the presence of the decoder and encoder units.

Decode and forward relays have been further divided into two types.

**Transparent Relays** These relays do not communicate any control signals to the mobile stations. Effectively, they overhear the mobile station’s transmission to the base station, and forward a decoded and re-encoded copy to the base station when requested to do so. So, the mobile station is not aware of the presence of transparent relays, and the presence of transparent relays does not assist the mobile station in power control for uplink transmissions.

**Non-Transparent Relays** Non-transparent relays communicate control signals with the mobile stations. Effectively, they act as base stations for the mobile stations, and have associated hand-over protocols, just as between base stations. The only difference between the relay and the base station is that the relay does not have a backhaul network for communication, but relies on a base station. Since the mobile station is aware of the presence of the relay, it can control its power so as to minimize power loss in transmission, while achieving better network coverage.

There are two major advantages of using relays in cellular networks. The first and obvious advantage is to increase coverage. As relay stations are generally located closer to the cell-edge, they can provide cell-edge users with improved service, thereby increasing network coverage. The other advantage is that relays help improve the capacity of the network. This is especially true in the case of OFDMA networks like LTE or WiMax; the implementation of relays improves the received SINR by the users near the cell-edge, which in turn reduces the number of resources allotted to each user. As the relay is connected to the primary base station via a fairly good line-of-sight (LoS) link, the SNR of the wireless link can be assumed to be sufficiently good. This allows more users to be accommodated in the same spectrum, which increases network capacity.


2.2 Round Robin and Related Scheduling Algorithms

2.2.1 The Round Robin Scheduler

Round robin scheduling is extremely popular for scheduling processes on an operating system. In an operating system, time slices are assigned to each process in equal portions and in a circular order, without any priority. The round robin scheduler is simple, easy to use and starvation free.

The round robin scheduler can also be used for data packet switching, as an alternative to the first-come-first-served queuing based scheduler. In this mechanism, separate queues are maintained for every data-flow. The scheduler allows every data-flow which has a non-empty queue to transmit a certain number of packets. This scheduling algorithm works best when all packets are of equal length and achieves max-min fairness in that case, i.e. the minimum data rates are maximized. If the packet size varies greatly from one user to another, then the user with the larger packet size is favored, leading to a loss in fairness.

The algorithm for the round robin scheduler is listed in Algorithm 1.

Algorithm 1 The Round Robin Scheduler

\[
\begin{align*}
\text{user\_being\_served} \leftarrow 0 \\
\text{loop} \\
\quad \text{serve(user\_being\_served)} \\
\quad \text{user\_being\_served} \leftarrow \text{user\_being\_served} + 1 \\
\quad \text{if} \quad \text{user\_being\_served} \geq \text{number\_of\_users} \quad \text{then} \\
\quad \quad \text{user\_being\_served} \leftarrow 0 \\
\quad \text{end if} \\
\text{end loop}
\end{align*}
\]

In a packet-based centralized wireless radio network with link-adaptation, the round-robin algorithm is not optimal, as it assigns greater time to expensive users, with poor channel conditions, if the same number of packets need to be transmitted. In such a scenario, it makes more sense to wait until the channel conditions of a particular user have improved before scheduling the user, in order to get better data rates, and hence waste less time on an expensive user.
2.2.2 The Opportunistic Scheduler

Opportunistic scheduling was developed for wireless networks with the aim of maximising network throughput. An optimistic scheduler simply schedules the user with a non-empty queue and the best channel condition. It is easy to see that this scheduler will maximise network throughput, but provides no guarantee with regards to fairness in scheduling.

The algorithm for the opportunistic scheduler is listed in Algorithm 2.

Algorithm 2 The Opportunistic Scheduler

\[
\text{loop} \\
\text{user\_being\_served} \leftarrow \text{arg max}\{\text{user\_data\_rates} \land \text{user\_can\_transmit}\} \\
\text{serve(user\_being\_served)} \\
\text{end loop}
\]

2.2.3 The Weighted Round Robin Scheduler

The weighted round robin scheduler assigns weights to every user. Then, it allocates a number of packets to each user as \( q_i = \frac{\text{weight}_i}{\min\{\text{weights}\}} \times q \), using a round robin scheduling between the users once the number of packets for each user has been determined.

The algorithm for the weighted round robin scheduler is listed in Algorithm 3.

Algorithm 3 The Weighted Round Robin Scheduler

\[
\text{loop} \\
\text{min} \leftarrow \min\{\text{weights}\} \\
\text{for each non-empty queue } q \text{ do} \\
\quad \text{packets\_to\_be\_served} \leftarrow q.\text{weight}/\text{min} \times \text{mean\_packet\_size} \\
\quad \text{serve(q)} \\
\text{end for} \\
\text{Update weights} \\
\text{end loop}
\]

The weights are designed so that each user gets the same bandwidth, irrespective of packet size. For this purpose, an estimate of packet size is required, which may be hard to achieve in practice. This defect is corrected to an extent with the \textit{deficit round robin scheduler}. 

2.2.4 The Deficit Round Robin Scheduler

The deficit round robin scheduler was first proposed in [22]. This algorithm performs better than the weighed round robin scheduler in terms of handling users with different packet sizes as it does not require an estimate of packet sizes for each user in order to ensure that each user gets a fair share of resources. Moreover, it can better handle variances in the packet sizes of the various users due to the nature of the algorithm being based on past resource usage of users and not on the estimates of future usage.

The scheduler works by assigning a deficit counter to each user. The user is scheduled if the deficit counter exceeds the head of queue packet size. If the deficit counter is lower, then the user is not served, and the deficit counter is incremented by a fixed amount, called \textit{quantum}.

\begin{algorithm}
\caption{The Deficit Round Robin Scheduler}
\begin{algorithmic}
deficit\_counter = zeros(num\_users)
\ForEach {each non-empty queue \texttt{q}}
  \State \texttt{q.deficit\_counter} $\leftarrow$ \texttt{q.deficit\_counter} + \texttt{quantum}
  \While {\texttt{q.HoQ.size} $\leq$ \texttt{q.deficit\_counter}}
    \State \texttt{q.deficit\_counter} $\leftarrow$ \texttt{q.deficit\_counter} $-$ \texttt{q.HoQ.size}
    \State \texttt{serve(q)}
    \If {\texttt{q} is empty}
      \State \texttt{q.deficit\_counter} $\leftarrow$ 0
      \State \texttt{break}
    \EndIf
  \EndWhile
\EndFor
\EndLoop
\end{algorithmic}
\end{algorithm}

While the deficit round robin scheduler is useful in ensuring fairness in data flow, it does not adapt well to packet-based centralized wireless radio with link-adaptation. Expensive users with poor channel conditions can still drain resources in the network.

2.2.5 The Opportunistic Deficit Round Robin Scheduler

This scheduler was proposed in [20]. This scheduler uses the deficit round robin scheduler, but schedules a user only if the user has a sufficiently good signal to interference-plus-noise ratio (SINR).
Algorithm 5: The Opportunistic Deficit Round Robin Scheduler

\( k \leftarrow k_{\text{initial}} \) \{The polling interval\}

\begin{algorithm}
\textbf{loop}
\begin{algorithmic}
\State \texttt{polling\_timer} \leftarrow k \times T_f
\If {\texttt{polling\_timer} has not expired}
\State BS polls and updates SINR, Queue state for each SS
\State BS updates the active list \( L_{\text{active}} \) of each SS
\State \{\( i \in L_{\text{active}} \text{ if } (\text{SINR} > \text{SINR}_{\text{th}}) \land \text{Queue state}_i \neq 0 \)\}
\For {\( i \in L_{\text{active}} \)}
\If {\text{SINR} \leq \text{SINR}_{\text{th}}}
\State Withdraw the BW assigned to SS\(_i\) and mark SS\(_i\) as lagging and other SS as leading
\EndIf
\EndFor
\Else\endIf
\State Update \( k \)
\EndIf
\Endalgorithmic
\textbf{end loop}
\end{algorithm}

The algorithm for uplink scheduling has been listed in Algorithm 5.

This algorithm is sub-optimal. It makes a binary decision based on channel conditions. It does not penalize users with moderately bad channel conditions, only users with very bad channel conditions. Also, this algorithm does not take into account multi-channel diversity when allocating resources. It can be used once subcarriers are allotted to the user equipment, but it does not allot subcarriers to users.

2.2.6 Proportional Fair Schedulers

These schedulers are discussed in section 2.3. They have been mentioned here for the sake of completeness. Proportional fair scheduling aims to maximize network throughput while ensuring that every user achieves a minimum level of service. Proportional fair scheduling uses a number of algorithms to ensure a high network throughput while ensuring proportional fairness. Many of the algorithms rely on optimizing an objective function which ensures optimal performance.
2.3 Fair Schedulers

An important parameter to be considered when analyzing schedulers is fairness. Depending on the nature of the system and the application involved, the notion of fairness varies. Various approaches have been described to measure fairness\[9, 7, 17, 19\].

Jain’s fairness Index\[9\] is defined as

\[
JFI = \frac{\left(\sum_{i=1}^{u} x_i\right)^2}{u \sum_{i=1}^{u} x_i^2}
\]

(2.1)

where we have \(u\) users with \(x_i\) is the amount of resource allotted to user \(i\).

JFI is a number between 0 and 1; 0 being unfair and 1 being fair. While JFI can be used as a reliable measure of fairness, we need to investigate the fairness in the transport layer.

The TCP Fairness Index is defined as\[17\]

\[
TFI = \frac{\left(\sum_{i=1}^{u} M\left(\frac{\psi_i}{\zeta_i}\right)\right)^2}{\sum_{i=1}^{u} M\left(\frac{\psi_i}{\zeta_i}\right)^2}
\]

(2.2)

where

\[
M(x) = \begin{cases} 
  x & \text{if } 0 \leq x \leq 1 \\
  1 & \text{otherwise}
\end{cases}
\]

(2.3)

and \(\psi_i\) is the total throughput achieved by user \(i\) at the transport layer and \(\zeta_i\) is the throughput achieved by user \(i\) in a round robin scheduler. The TFI measures fairness in comparison with a round robin scheduler.

**Proportional Fairness**  A set of rates \(R_i\) is said to be proportionally fair if the \(R_i\)s are feasible and if, for every other feasible set of rates \(S_i\), the following holds\[11\]

\[
\sum_i \frac{S_i - R_i}{R_i} \leq 0
\]

(2.4)

A number of schedulers try to achieve proportional fairness\[6, 13, 21\], as it strikes a balance between maximizing network throughput while ensuring that every user gets a minimum level of fairness.

A simple algorithm for proportional fair scheduling is listed in Algorithm 6.
Algorithm 6 A Simple Proportional Fair Scheduler

\[
\text{loop}
\]
\[
\text{for each channel } c \text{ do}
\]
\[
\text{for each user } u \text{ do}
\]
\[
\begin{align*}
\text{u.data_rate}(c) &\leftarrow (1 - \alpha) \times \text{u.data_rate}(c) + \alpha \times \text{u.current_data_rate}(c) \\
\text{u.index} &\leftarrow \frac{\text{u.current_data_rate}(c)}{\text{u.data_rate}(c)} \\
\text{scheduled_user} &= \arg \max \{\text{u.index}\}
\end{align*}
\]
\[
\text{scheduled_user.transmit}(c)
\]
\[
\text{end for}
\]
\[
\text{end for}
\]
\[
\text{end loop}
\]

However, proportional fair schedulers may not maximize network throughput or proportional fairness in multi-cell networks. This is because users in mobile networks associate with the base station which offers best SNR. This algorithm does not balance loads as to maximize throughput or fairness. A scheduler which takes into account the entire network is described in [5]. The paper also describes a concept of generalised proportional fairness (GPF), which takes the entire network into consideration, and not just one cell.
Chapter 3

LTE - Advanced

LTE-Advanced represents the 4th generation in cellular wireless communication. This technology was proposed by NTT DoCoMo of Japan, and was adopted by the ITU in 2009, and finalised by the 3GPP in March 2011. LTE represents a quantum leap in cellular wireless communication because of specific features which take advantage of advanced topology networks; optimized heterogeneous networks with a mix of macro-cells and low power nodes such as femto-cells. LTE-Advanced further introduces multi-carriers to improve performance in terms of ability to use ultra-wide bandwidth (up to 100 MHz).

3.1 Key Features in LTE - Advanced

Some of the main aims of LTE-A are listed below

- Peak data rates: 1 Gbps downlink, 500 Mbps uplink.
- Peak spectrum efficiency: 30 bps/Hz downlink, 15 bps/Hz uplink.
- Support for scalable bandwidth use and spectrum aggregation.
- LTE-A systems will be capable of inter-networking with LTE and 3GPP legacy systems.
3.2 LTE - Advanced Technologies

A number of key technologies enable LTE-A to support the high data rates demanded. OFDM and MIMO are the two key technologies that are enablers; apart from a number of other techniques and technologies.

3.2.1 OFDM

OFDM forms the basis of the radio bearer. The radio link uses OFDMA in the downlink and SC-FDMA in the uplink. OFDM works by modulating data on a number of closely spaced low-rate carriers\[15\]. Normally, these carriers would interfere with each other, but by setting the symbol period to be the inverse of the carrier bandwidth, the carriers are made to be orthogonal to each other. The transmitted data is spread across different carriers, which means that by using appropriate error control coding schemes, sufficient resistance may be obtained against fading and other multi-path effects. In addition, since the data is modulated on each carrier at a low rate, inter-symbol interference effects can be overcome. It also allows for single frequency networks, where all transmitters transmit on the same channel. The sub-carrier spacing is shown in Figure 3.1

One requirement of OFDM systems is that they must be linear. Any non-linearity will result in interference between sub-carriers due to distortion. Further, amplifiers used in OFDM systems must be able to provide high peak to average power ratios.

The data in OFDM systems is spread across a number of sub-carriers. Each sub-
carrier carries a low data rate, which provides resilience against multi-path effects, by allowing the data to be sampled only when it is stable. Further, if any one sub-carrier is nulled because of frequency selective fading, only a portion of the data is lost, and can be easily recovered using error-control codes. This can be done because redundant bits are transmitted on different sub-carriers.

OFDM requires precise timing and frequency synchronisation. If synchronisation is not achieved, then carriers are no longer orthogonal, and the error rate may increase. Consider Figure 3.1. If the demodulator has a frequency offset because of poor frequency synthesis or Doppler shift, then the demodulator samples at a point where the sum due to other components is non-zero, i.e. the demodulation is no longer orthogonal. This leads to a degradation of the signal, which in turn may lead to increased errors. For the same reason, the clock must also be synchronised. If the clock is not synchronised, then orthogonality is reduced, and errors may increase.

3.2.2 MIMO

MIMO aims to utilise the multi-paths between the transmitter and the receiver to significantly improve throughput on a given channel and bandwidth. It employs multiple antennas at the transmitter and receiver, and through appropriate space-time codes and combining of received signal MIMO allows multiple data streams to be set up on the same channel, which increases data rate, and reduces errors over a fading channel. [15]
**Spatial diversity**  Spatial diversity often refers to transmit and receive diversity. This provides resilience to fading by reducing the bit-error rate for a given SINR.

**Spatial multiplexing**  Spatial multiplexing takes advantage of multiple paths to carry additional traffic, i.e. it increases the data throughput capacity.

Because it uses multiple antennas, MIMO allows wireless technology to support significantly higher data rates while still obeying Shannon’s law. By increasing the number of transmit-receive pairs, we can linearly increase the traffic that can be supported in the same bandwidth. This makes MIMO important for next generation wireless networks, as spectrum becomes an increasingly valuable commodity.

In order that MIMO systems can function, we need to apply appropriate coding schemes so that the receiver may decode the data. This is done by applying a code not just across time (which is the case for all communication systems) but also across the various antennas. Such codes are called space-time codes.

**Space-Time Codes**

Space-time codes are used to enable transmission of multiple copies of a data stream using multiple antennas and to exploit multiple copies of the received signal to combine them in an optimal way to improve reliability of the communication link. Space-time coding uses both spatial and temporal diversity.

When using space-time block coding, the data to be transmitted is divided into blocks. Each block is then encoded by an appropriate space-time code, which can be represented as a matrix as shown

\[
C_{mn} = \begin{bmatrix}
    s_{11} & s_{12} & \cdots & s_{1n} \\
    s_{21} & s_{22} & \cdots & s_{2n} \\
    \vdots & \vdots & \ddots & \vdots \\
    s_{m1} & s_{m2} & \cdots & s_{mn}
\end{bmatrix}
\]  

(3.1)

The resulting code is then transmitted by different antennas at different times. Each row represents a time slot, and each column represents transmissions by a particular antenna over time.
Alamouti Codes  Alamouti codes, named after the developer, are an elegant way to exploit transmit diversity without knowledge of channel conditions. It was developed for exploiting diversity using two transmit antennas. The Alamouti code for two transmit antennas\[4\] is denoted by the following matrix

\[
C_{22} = \begin{bmatrix}
 s_1 & s_2 \\
 -s_2^* & s_1^* 
\end{bmatrix}
\] (3.2)

Tarokh et al discovered a set of relatively straightforward higher order space-time block codes\[23, 24\]. They proved that no code could achieve full rate for more than two transmit antennas. While these codes have been improved upon, they serve as examples of why the rate cannot reach 1; as well as other issues with producing good STBCs. A linear decoding scheme which works under perfect channel state information assumption was also discussed.

Differential Space-Time Block Codes  Differential space-time block codes are a form of code that does not require knowledge of channel impairments for decoding purposes. These are generally based on standard space-time block codes, but transmit based on differences in the input data blocks. This enables the receiver to extract data based on the differences in the blocks in the set.

MU-MIMO  Multiple User MIMO, or MU-MIMO is gaining popularity. It works by scheduling multiple users to be able to access the same channel by utilising the spatial degrees of freedom offered by MIMO.

3.3 Relays

Relaying is one of the features proposed for LTE-A systems. The aim of relaying is to enhance coverage and capacity.

While the concept of relays is not new, and has been discussed in section 2.1, relays in LTE are being considered to ensure optimal performance that meets the expectations of users while keeping operational expenses within bounds.

While MIMO, OFDM and advanced error control techniques have helped increase data rates in LTE, data rates are still poor near the cell-edge, where signal strength is the
lowest. As technology is being pushed to the limit, some alternate techniques are being looked at to improve network performance. One such technique is to deploy relays near the cell edge.

LTE relays are decode and forward relays. They demodulate the data, correct errors, and re-transmit a new signal. The UE communicates with a relay, which in turns communicates with a donor eNB. The relay is a fixed-infrastructure without a backhaul connection and relays messages between a UE and an eNB through multi-hop transmissions.

There are a number of advantages of using relays in LTE networks.

- **Increased network density** Relays can be easily deployed in situations where network coverage must be increased by increasing number of base-stations. LTE relays are easy to install and may be installed in convenient areas like lampposts, walls, etc.

- **Coverage in holes** Relays can be deployed to fill coverage holes. Deploying a relay near a coverage black-spot can help eliminate the coverage hole.

- **Coverage outside main cell area** Relays can also be used to extend coverage beyond the primary cell area. This may be used for rapid network roll-out, and eNBs can be installed later as traffic volumes increase.

LTE relays may operate in one of the following two modes:

- **Half-duplex** A half-duplex system provides communication in both directions, but not simultaneously. The communication has to be multiplexed. In relay systems, this means that the transmission has to be carefully scheduled. This can be either static and pre-assigned, or dynamic and intelligent.

- **Full-duplex** A full-duplex relay can transmit and receive simultaneously. Often, in LTE relays, this is done on the same frequency, but with a small delay (less than the frame duration). In order to successfully operate relays in full-duplex mode, good isolation between transmit and receive antennas is required.

Further, relays may be divided as
<table>
<thead>
<tr>
<th>LTE RELAY CLASS</th>
<th>CELL ID</th>
<th>DUPLEX FORMAT</th>
</tr>
</thead>
<tbody>
<tr>
<td>Type 1</td>
<td>Yes</td>
<td>Inband half-duplex</td>
</tr>
<tr>
<td>Type 1a</td>
<td>Yes</td>
<td>Outband full-duplex</td>
</tr>
<tr>
<td>Type 1b</td>
<td>Yes</td>
<td>Inband full-duplex</td>
</tr>
<tr>
<td>Type 2</td>
<td>No</td>
<td>Inband full-duplex</td>
</tr>
</tbody>
</table>

Table 3.1: Summary of relay classification & features in 3GPP rel. 10

- **Inband** The eNB—relay link operate on the same band as the eNB—UE link.
- **Outband** The eNB—relay link operates on a different band than the eNB—UE (or relay—UE) link.

**Type 1 LTE Relay Nodes** Type 1 LTE relay nodes appear as base stations to the UE. They have their own synchronisation and control signals, and provide backward compatibility. Type 1 relay nodes are further divided in the following categories

- **Type 1a** These are full-duplex outband relays.
- **Type 1b** These are full-duplex inband relays.

**Type 2 LTE Relay Nodes** Type 2 LTE Relay nodes do not have their own identity, and appear just like the primary eNB in a cell. A UE will not be able to distinguish a type 2 relay node from the primary eNB in the cell.

The types of LTE relays are summarised in Table 3.1.

### 3.4 Physical Layer

The LTE physical layer is defined in [2]. The fundamental unit of time in the LTE physical layer is $T_s = 1/(15000 \times 2048)$s. All times are mentioned with respect to this unit of time.

Uplink and Downlink transmissions are organised into radio frames with $T_f = 307200 \times T_s = 10$ms duration. Two radio frame structures are supported. Transmissions in upto 4 secondary cells can be aggregated along with the primary cell. The UE may assume that the same frame structure is being used in all 5 cells.
Type 1, FDD  This frame structure is valid for both full-duplex and half-duplex FDD. Each radio frame is $T_f = 307200 \times T_s = 10\text{ms}$ long and is divided into 20 slots, each slot of length $T_{\text{slot}} = 15360 \times T_s = 0.5\text{ms}$, the slots being numbered from 0 to 19. A subframe is defined as two consecutive slots such that the slots are numbered as $2i$ and $2i + 1$.

The type 1 frame structure is illustrated in Figure 3.4.

Type 2, TDD  This frame structure is valid for TDD. Each radio frame of length $T_f = 307200 \times T_s = 10\text{ms}$ consists of two half frames of length $153600 \times T_s = 5\text{ms}$. Each half frame consists of 5 subframes of length $30720 \times T_s = 1\text{ms}$. Supported uplink and downlink configurations are listed in Table 3.2, where U represents uplink subframes, D represents downlink subframes, and S denotes a special subframe with three fields: DwPTS, GP and UpPTS. Like the Type 1 frame, each subframe is divided into two slots with $T_{\text{slot}} = 15360 \times T_s = 0.5\text{ms}$.

The type 2 frame structure is illustrated in Figure 3.5.
Figure 3.5: The LTE Type 2 Frame Structure

Table 3.2: Uplink-Downlink Configurations in Type 2 LTE Frame
For the sake of brevity, this report describes only the downlink in LTE.

### 3.5 Downlink

The transmitted signal is described by one or several resource grids of $N_{RB} \times N_{sc}$ subcarriers and $N_{symb}^{DL}$ OFDM symbols. The resource grid structure is illustrated in Figure 3.6. The number of OFDM symbols in a slot depends on the cyclic prefix length and the subcarrier spacing and is listed in Table 3.3.

<table>
<thead>
<tr>
<th>CONFIGURATION</th>
<th>$N_{RB}^{sc}$</th>
<th>$N_{symb}^{DL}$</th>
</tr>
</thead>
<tbody>
<tr>
<td>Normal cyclic prefix</td>
<td>$\Delta f = 15kHz$</td>
<td>12</td>
</tr>
<tr>
<td>Extended cyclic prefix</td>
<td>$\Delta f = 15kHz$</td>
<td>7</td>
</tr>
<tr>
<td></td>
<td>$\Delta f = 7.5kHz$</td>
<td>24</td>
</tr>
</tbody>
</table>

Table 3.3: Physical Resource Block Parameters
Resource Blocks

Resource blocks are used to describe mapping of physical channels to resource elements.

A physical resource block is defined as $N_{DL}^{symb}$ consecutive OFDM symbols in the time domain and $N_{sc}^{RB}$ subcarriers in the frequency domain, where $N_{DL}^{symb}$ and $N_{sc}^{RB}$ are defined in Table 3.3. A physical resource block consists of $N_{DL}^{symb} \times N_{sc}^{RB}$ resource elements, which corresponds to 180kHz in the frequency domain and one slot in the time domain.

General Structure For Downlink Physical Channels

The baseband signal representing a downlink physical channel is defined in terms of these steps.

- Scrambling coded bits in each of the codewords to be transmitted.
- Modulation of scrambled bits to generate complex-valued modulation symbols.
- Mapping complex modulation symbols to one or more transmission layers.
- Precoding modulation symbols on each layer for transmission on antenna ports.
- Mapping complex modulation symbols for each antenna port to resource elements.
- Generation of complex-value time domain OFDM symbols for each antenna port.

These steps are illustrated in Figure 3.7 and are mentioned in [2].

3.5.2 Adaptive Modulation and Coding

LTE-A features adaptive modulation and coding based on the channel quality of the user. The user communicates the channel quality via 4 bits reserved for indicating the channel
quality indicator (CQI) index, which serves as a basis for selecting a modulation and coding scheme and consequently the data rate.

**Channel Quality Indicator (CQI)**

The CQI index is a 4 bit number ranging from 0 to 16. It serves as an indicator of the channel quality and the basis of the selection of the modulation and coding scheme. The CQI is defined in [3]. The UE reports the highest CQI index between 1 and 15 which satisfies the condition that a single physical downlink shared channel (PDSCH) transport block with a combination of modulation scheme and transport block size corresponding to the CQI index, and occupying a group of downlink reference blocks termed the CSI reference resource can be received with a transport block error probability not more than 10%.

The modulation and coding schemes employed for various CQI indices is listed in Table 3.4.
Chapter 4

Proposed Scheduling Algorithms

Two algorithms are proposed based on the existing opportunistic and proportional fair algorithms. The modifications are motivated in order to reduce packet drops due to deadline violation.

4.1 Modified Proportional Fair Scheduler

The disadvantage of the PF scheduling algorithm is that it does not take into account delay constraints in scheduling decisions. To incorporate delay considerations in the scheduling algorithm, a modification was proposed to the PF scheduler, which takes into account the time-to-live (TTL) of the packet. An exponential penalty function is used to increase priority of users which have packets with early deadlines. This function is illustrated in \( \Phi_1(TTL) = \exp\left(-\frac{TTL}{t_0}\right) \) (4.1)

Further, in an attempt to stabilise queue lengths, another penalty is added to increase the index of users with longer queues. This penalty function is illustrated in \( \Phi_2(q) = \exp\left(\frac{q}{q_0}\right) \) (4.2)

This penalty is multiplied with the PF index, and the resulting quantity is used as a decision metric. The decision metric, or index, is listed in \[ \text{PF index} = \frac{r}{R} \times \Phi_1(TTL) \times \Phi_2(q) \] (4.3)
where \( r \) is the instantaneous data rate of the user and \( \bar{r} \) is the average data rate computed using an appropriate exponential weighting scheme.

The proposed algorithm has been listed in Algorithm 7.

**Algorithm 7** Proposed modification to the proportional-fair scheduler

```plaintext
loop
  for each channel \( c \) do
    for each user \( u \) do
      \( u.data_rate(c) \leftarrow (1 - \alpha) \times u.data_rate(c) + \alpha \times u.current.data_rate(c) \)
      \( u.index \leftarrow \frac{u.current.data_rate(c)}{u.data_rate(c)} \times \exp\left(-\frac{u.HoQ.TTL}{t_0}\right) \times \exp\left(\frac{u.queue.length}{q_0}\right) \)
      scheduled_user = arg max\{u.index\}
      scheduled_user.transmit(c)
    end for
  end for
end loop
```

### 4.2 Modified Opportunistic Scheduler

The necessity of the proportional fair algorithm in the presence of the additional penalty terms is suspect. It was decided to check the performance of an opportunistic scheduler using the same penalty functions mentioned in Equation 4.1 and Equation 4.2. The performance of the modified opportunistic scheduler is measured as against the performance of the modified proportional fair algorithm.

The modified opportunistic scheduler uses an index mentioned in Equation 4.4

\[
\text{Opportunistic index} = r \times \Phi_1(TTL) \times \Phi_2(q) \tag{4.4}
\]

The proposed modification to the opportunistic scheduler has been listed in Algorithm 8.

**Algorithm 8** Proposed modification to the opportunistic scheduler

```plaintext
loop
  for each channel \( c \) do
    for each user \( u \) do
      \( u.index \leftarrow u.current.data_rate(c) \times \exp\left(-\frac{u.HoQ.TTL}{t_0}\right) \times \exp\left(\frac{u.queue.length}{q_0}\right) \)
      scheduled_user = arg max\{u.index\}
      scheduled_user.transmit(c)
    end for
  end for
end loop
```
Chapter 5

Simulation and Results

A system was created which would allow for easy system-level simulation for scheduling exercises. The simulator was designed in C++, with an object-oriented approach which would allow the simulator to be extended for any future work. A number of scheduling algorithms were implemented on the system; in order to set benchmarks with regards to system performance as well as to evaluate performance of proposed algorithms with respect to the set benchmarks.

5.1 Simulation Setup

This section describes the simulation setup, with respect to the channel models used, the cellular structure, and traffic models.

5.1.1 Logical channels

We consider logical channels with a bandwidth of 1.4 MHz each in 20 MHz of available spectrum. This gives us 14 logical channels which can be allotted to users or relays. The 1.4 MHz allotted to a user is further divided into resource blocks as specified in the LTE standards, each resource block occupying a 180 KHz bandwidth. This system allocates 7 resource blocks for each user, of which 6 are used in the downlink data communication, and one resource block is used for feedback regarding channel quality[14].
5.1.2 Placement of users

The simulation environment considers a seven-cell cluster like distribution of resources. Users close to the base station are served by the base station, while users near the cell edge are to be served by relays. For the purpose of scheduling, two logical channels are allotted for users served by the primary base station, six channels are allotted for communication between the base station and the 6 relays, and the remaining 6 channels are allotted for the link between the relays and the users. However, it is important to note that this allocation of channels is not a constraint, but is adopted just for simplifying the scheduling algorithm design.

5.1.3 Channel Models

We attempt to simulate the system as a typical urban model. We consider path loss, shadowing and fading effects.

Path loss effects are computed with an exponent of 3.5. We have considered i.i.d. log-normal shadowing and i.i.d. Rayleigh fading in the channel model. The log-normal shadowing changes slowly, after every 0.1s, while the Rayleigh fading changes with every slot.

Hence, the SINR across each resource block is computed in the manner mentioned in Equation 5.1.

\[
\text{SINR} = \frac{P_t 10^{\kappa} \lambda}{\sum_{i=1}^{6} d_i^{\gamma} + N_0}
\]  

Equation 5.1

where

- \( P_t \) is the transmit power,
- \( \kappa \) is a normal random variable (log-normal shadowing),
- \( \lambda \) is an exponential random variable (rayleigh fading),
- \( \gamma \) is the path-loss exponent,
- \( N_0 \) is the thermal noise.

5.1.4 Traffic Models

The simulator allows for a number of traffic models. For the purpose of the simulation, we assumed bursty traffic with identically sized packets of 500 bits each. The packets arrive
with a Poisson burst rate of 0.4 packets for every slot. This rate was chosen so that the network would be loaded to 70% of its capacity. At the same time, neighbouring cells are assumed to have a random channel utilisation of 70%. Further, the time-to-live (TTL) of the packets is changed, and scheduler performance is measured against the packet TTL.

5.1.5 Exponential-Effective SINR Mapping (E-ESM)

For the purpose of computing the CQI, it is necessary to know the SINR. It is possible to calculate the SINR across each resource block, and then compute the block error probability as a function of the SINRs, but such a function is almost impossible to derive analytically. Instead, we use an effective SINR mapping method, which uses exponential averaging to compute the effective SINR. This scheme is listed in Equation 5.2.

\[
\text{SINR}_{\text{eff}} = -\beta \ln \left\{ \frac{1}{N} \sum_{n=1}^{N} \exp \left( -\frac{\text{SINR}_n}{\beta} \right) \right\} \tag{5.2}
\]

where \( \beta \) is a scaling factor which is tuned to optimise block error rate prediction. Such optimal \( \beta \) are obtained numerically for different modulation and coding schemes.

[14] lists a table of \( \beta \) values corresponding to different CQI indices. The table is reproduced in Table 5.1.
Our simulator assumes $\text{CQI} = 15$, then computes the ESM using the value of $\beta$ corresponding to the value of CQI. The value obtained thus is compared with the threshold mentioned in [14] (reproduced in Table 5.1). If the obtained SINR is less than the threshold, then the value of CQI is decremented by 1, and the process is repeated.

All parameters used for the simulation have been listed in Table 5.2.

### 5.2 Implemented Scheduling Algorithms

A number of scheduling algorithms were implemented and the results of the simulation are documented in this section. While simulating the setup, the users were placed in the same location so as to suffer identical path-loss effects irrespective of the scheduler used. However, because of limitations within the programming environment, shadowing effects could not be consistently reproduced across different realisations of the system.

#### 5.2.1 Round Robin Scheduler

The implementation of the round robin scheduler is the same as mentioned in Algorithm 1. This algorithm was implemented to generate benchmarks against which system performance can be verified.

We observe that the round robin scheduler performs poorly, as it does not take into account the channel states of various users. The percentage of packets dropped is indicated in Figure 5.1.

<table>
<thead>
<tr>
<th>PARAMETER</th>
<th>VALUE</th>
</tr>
</thead>
<tbody>
<tr>
<td>distance of UE from eNB</td>
<td>[35, 300] m</td>
</tr>
<tr>
<td>cell radius</td>
<td>500 m</td>
</tr>
<tr>
<td>number of users</td>
<td>10</td>
</tr>
<tr>
<td>available channels</td>
<td>14</td>
</tr>
<tr>
<td>channels allotted to users</td>
<td>2</td>
</tr>
<tr>
<td>$P_t$</td>
<td>40 W</td>
</tr>
<tr>
<td>$\gamma$</td>
<td>3.5</td>
</tr>
<tr>
<td>$\eta_\zeta$</td>
<td>0</td>
</tr>
<tr>
<td>$\sigma_\zeta$</td>
<td>0.89</td>
</tr>
<tr>
<td>$\eta_\lambda$</td>
<td>0.4698</td>
</tr>
<tr>
<td>$N_0$</td>
<td>$8 \times 10^{-14}$ W</td>
</tr>
</tbody>
</table>

Table 5.2: Parameters Used in the Simulation
5.2.2 Opportunistic Scheduler

This algorithm is the same as mentioned in Algorithm 2. Again, this algorithm was implemented for the generation of benchmarks.

The performance of the scheduler in terms of percentage of packets dropped is indicated in Figure 5.2. We observe a surprising result that even though the opportunistic scheduler should maximise the network throughput, it performs almost as bad as the round robin scheduler. On checking the simulation trace, it was found that certain good users were being scheduled all the time, while other users were left out, which resulted in packet drops due to deadline violations. This means that the opportunistic scheduler is a bad choice when the QoS metric is deadline-based.

5.2.3 PF Scheduler

The PF scheduling algorithm has been described in Algorithm 6. This implementation serves as a benchmark to test the improvements due to the modification in the PF algorithm.

The results of the simulation for the PF scheduler are indicated in Figure 5.3. We observe that the PF scheduler performs significantly better than the opportunistic or round
robin schedulers in terms of percentage of packets dropped due to deadline violations.

5.2.4 Modifications to PF scheduler

The results for the simulation are indicated in Figure 5.4. We observe an overall reduction in the number of packets dropped in the system as compared to the PF scheduler. This is compared in Figure 5.5.

5.2.5 Modifications to opportunistic scheduler

The results of the simulation for the proposed algorithm are indicated in Figure 5.6. We observe a significant improvement in performance as compared to the unmodified opportunistic scheduler. This is compared in Figure 5.7.

Lastly, we compare the proposed modifications to the opportunistic and the PF schedulers with each other. The comparison is documented in Figure 5.8. It is to be noted that the performance of the modified PF scheduler is comparable to the performance of the modified opportunistic scheduler.
Figure 5.3: Percentage Packet Drops in Proportional Fair Scheduler

Figure 5.4: Percentage Packet Drops in Proposed Modified Proportional Fair Scheduler
Figure 5.5: Comparison of PF With the Proposed Modified PF Scheduler in Terms of Percentage Packet Drops

Figure 5.6: Percentage Packet Drops in Proposed Modified Opportunistic Scheduler
Figure 5.7: Comparison of Opportunistic With the Proposed Modified Opportunistic Scheduler in Terms of Percentage Packet Drops
Figure 5.8: Comparison of Proposed Modified Opportunistic Scheduler With the Proposed Modified Proportional Fair Scheduler in Terms of Percentage Packet Drops
Chapter 6

Conclusions and Future Work

The modifications to the opportunistic and the proportional fair schedulers are innovative and simple to implement. At the same time, they help to a great extent in improving the performance of the schedulers within the given QoS metrics of packet drops due to deadline exhaustion.

A number of extensions and improvements to this project are possible.

**Extension to relays**  The existing scheduler and simulation setup can be extended to simulate relay-assisted networks. The algorithms mentioned here have to be modified appropriately to adapt them to relay-assisted networks. One method which can be used is *delay apportioning* which apportions the Time to Live between the eNB–relay and the relay–UE links.

**Better simulation of the LTE-A physical layer**  The simulation of the LTE-A physical layer in this model, while a good approximation, can be improved further to allow for some of the more advanced features of LTE-A networks, like allowing for variable bandwidth allocation to users, and simulation of MIMO systems.

**Additional traffic models**  Currently, the simulator only has support for bursty traffic, which does not completely model all the scenarios possible. The performance analysis of the proposed schedulers must be studied for different models like broadband traffic[16], VoIP, etc.
Reinforcement learning based algorithms for scheduling and routing  A possibility to be explored is the employment of a reinforcement learning algorithm to the problem of dynamic resource block allocation, which would direct the way for self-organizing relays, not unlike the power control in femtocell networks mentioned in [8].
Appendix A

Documentation of Simulator Code

The code for the simulator is listed here.

Listing A.1 lists the function prototypes for generating random numbers. It uses the C++ random number generation library and improves it to provide random numbers from a variety of distributions.

- **seedRand(uint64_t seed)** This function seeds the default C++ random number generator. If a 64 bit seed value is provided, it seeds the random number generator using the value provided; if not, it uses the system clock to seed the random number generator with the number of seconds elapsed since midnight, 1st January, 1970.

- **uniformRand()** This function returns a uniform random number between 0 and 1.

- **exponentialRand(float lambda)** This function returns an exponential random variable with parameter lambda. Note that the mean of the generated random variable will be \( \frac{1}{\lambda} \).

- **binaryRand(float p)** This returns a true value with probability p and a false value with probability 1 − p.

- **normalRand(float mean, float stdDev)** This function returns a normal random variable with mean mean and standard deviation stdDev. It generates a random number from two uniform random numbers using the Box-Muller transform [18].

- **poissonRand(float lambda)** This function generates a Poisson random variable with parameter lambda. It generates the random number using Knuth’s algorithm [12].
# include < inttypes .h>
using namespace std;

void seedRand();
void seedRand(uint64_t seed);
float uniformRand();
float exponentialRand(float lambda);
bool binaryRand(float p);
float normalRand(float mean, float stdDev);
uint32_t poissonRand(float lambda);

# endif // RANDOM_H_INCLUDED

Listing A.1: random.h

Listing A.2 lists the traffic models in the simulator. Currently, we have support only for bursty traffic, but other models can be incorporated into the simulator.

Listing A.2: trafficmodel.h

Listing A.3 defines a co-ordinates structure.

Listing A.3: coordinates.h

Listing A.4 lists the structure for data packets. Since the user class (Listing A.5) uses priority queues to manage the packets, we must provide a comparison operator.

The priority queue returns the maximum value first. However, packets must be ordered so that highest priority is given to packets with earlier deadlines. Hence,

packet1 < packet2 ⇐⇒ packet1.expiryTime > packet2.expiryTime

Listing A.4: packet.h

Listing A.5 uses priority queues to manage the packets, we must provide a comparison operator.

The priority queue returns the maximum value first. However, packets must be ordered so that highest priority is given to packets with earlier deadlines. Hence,
class packet
{
public:
    uint16_t size;
    uint32_t expiryTime;

    packet(): size(0), expiryTime(0) {}
bool operator < (const packet& pkt) const
{
    return expiryTime > pkt.expiryTime; // This may appear
contradictory, but packets are placed in a priority queue.
    Priority is higher for packets with an earlier deadline.
}
};

Listing A.4: packet.h

Listing A.5 defines the main user class. The class has been organised into the following sections.

Constants These are constants used in the simulation. Most of them are self-explanatory.

- **MIN_DIST** is the minimum distance from the base station where users can be placed.
- **MAX_DIST** is the maximum distance from the base stations where users can be placed. This is less than the **CELL_RADIUS** as the remaining users are to be supported by relays.
- **UPDATE_SHADOWING_AFTERT** specifies the number of slots after which the shadowing should be updated. In order to save computing power, the simulator does not compute channel state every slot, but only when requested by certain functions. This behaviour has been documented in a later section.
- **SHADOWING.SIGMA** specifies the standard deviation of the normal random variable used to generate the log-normal fading. It specifies the standard deviation of ζ, and the log-normal shadowing is computed as 10^ζ.
- **MEAN.RAYLEIGH.FADING** specifies the mean of the exponential random variable used to simulate the Rayleigh-fading channel. If the amplitude of the signal is Rayleigh distributed, then the power is exponentially distributed. Hence, in computation of SINR, an exponential random variable is multiplied to the transmit power.
- **DIST.ATTENUATING_FACTOR** specifies the path-loss exponent.
- CQI2DataRate, beta and SINRthresh are used in the E-ESM and CQI computation used to calculate the data rate.

Variables These are private and not meant to be accessed directly.

- initialized This stores whether or not the class has been initialised correctly.
- position Stores the (x,y) co-ordinates of the user.
- simulationTime A pointer to simulation time. When the class is initialised, the main programme must generate a variable scoped throughout the length of the programme, and provide the user with a pointer to this variable. This serves as a common clock to all users in the simulation.
- timeLastUpdated The simulation time instance when the object was refreshed. Note that objects are not refreshed at every slot, but only when queried. This speeds up simulation.
- timeShadowingLastUpdated The time the shadowing was last updated.
- shadowingParams This array stores the shadowing variables for the current cell, as well as from the 6 neighbouring cells. It stores $10^c$, where $\zeta \sim \mathcal{N}(0, \text{SHADOWING_SIGMA})$.
- dataQueue The queue to hold packets. This is a priority queue, so the head-of-queue packet is always the one which expires earliest.
- bitsInQueue The number of bits that have been stored in the queue. This is different from the queue length, which is the number of packets in the queue.
- userTrafficModel The traffic model for the user. One of the traffic models listed in Listing A.2. Currently, only bursty traffic is supported. However, support for other models like VoIP, M/Pareto[16] and others can be added.
- channelStateForNeighbours A pointer to a 2-D array, which stores channel activity in neighbouring cells. The main programme must create this array, and provide all users with a pointer to the array. Also, the main programme must update this array at every slot instance before querying any users in that slot.
- dataRate Stores the possible data rate across each channel at the time instance last queried. This is a hack to save simulation steps; by storing the data rate, the E-ESM algorithm does not have to be invoked every time the user is queried.
• **QoS Parameters** `packetsOffered` and `packetsServed` store the number of packets offered and served to the users until the current instant of time. These are useful in evaluating performance of scheduling algorithms in terms of packets dropped.

**Private Member Functions** These member functions are private, which means that they cannot be accessed outside the class. They are used internally for some updates.

- `placeUser()` places the user uniformly inside the annulus defined by `MIN_DIST` and `MAX_DIST`.
- `generateTraffic()` generates traffic for one slot using the specified traffic model.
- `updateStatus()` refreshes the status of the user to the current simulation time instant. This includes refreshing the data rate, generating traffic until the current time-slot and dropping packets whose deadlines have expired.
- `updateChannelStateForAllChannels()` computes data rates across all channels. It is called by `updateStatus()`.

**Public Member Functions** These provide handles to the user class to the end-user of the simulation setup. The main programme must access the user class only via these functions.

- `notInitialized` This is an exception class. When the main programme tries to access a user object which has not been completely initialized, this error is thrown.
- `user()` The default constructor. It does not initialise the user object completely. Instead, the resulting user object must be initialised using the `initialize` function.
- `user(trafficModel, channelStateForNeighbours, simulationTime)` The constructor. The main programme must provide the user with the three quantities; it must define the traffic model to be used, and provide the user object with pointers to the channel state for neighbours array, which indicates whether corresponding channels in neighbouring cells are active; and the pointer to simulation time. This constructor initialises the user object completely, and no further initialisation is needed.
• `user(trafficModel, channelStateForNeighbours, simulationTime)` Used to initialise the user object after using the default constructor. Effect same as using the constructor with arguments.

• `getDataRate(channelId)` Returns the current data rate along the specified channel.

• `transmitData(channelsToBeUsed)` Transmits the data along specified channels. The channels to be used are indicated by `true` values in the array.

• `getBitsToSend()` Returns the bits in the queue.

• `getQueueLength()` Returns the number of packets in the queue.

• `getHoQPacketSize()` This returns the size of the head-of-queue packet.

• `getHoQTTL()` Gives the time-to-live (from current simulation time) for the head-of-queue packet.

• `getPacketsOffered()` Returns the number of packets offered by the user to the system.

• `getPacketsServed()` Returns the number of packets that could be successfully transmitted.

```cpp
#ifndef USER_H_INCLUDED
#define USER_H_INCLUDED

#include <queue>
#include <inttypes.h>
#include "trafficModel.h"
#include "packet.h"
#include "coordinates.h"

using namespace std;

class user {

private:
    static const uint8_t NUM_CHANNELS = 14; // 20MHz/1.4MHz per user means 14 logical channels available for every user.
    static const float MIN_DIST = 35;
    static const float MAX_DIST = 300;
    static const float CELL_RADIUS = 500;
    static const float TRANSMIT_POWER = 40;
    static const float THERMAL_NOISE = 8E-14;
    static const uint32_t UPDATE_SHADOWING_AFTER = 100;
    static const float SHADOWING_SIGMA = 0.89;
    static const float MEAN_RAYLEIGH_FADING = 0.4698;
    static const float DIST_ATTENUATING_FACTOR = 3.5;
    static const uint16_t CQI2DataRate[16];
    static const float beta[16];
    static const float SINRthresh[16];
};
```
bool initialized;
coordinates position;
uint32_t* volatile simulationTime;
uint32_t timeLastUpdated;
uint32_t timeShadowingLastUpdated;
float shadowingParams[7];
priority_queue<packet> dataQueue;
uint32_t bitsInQueue;
trafficModel userTrafficModel;
bool** volatile channelStateForNeighbours;
uint16_t dataRate[NUM_CHANNELS];

// QoS parameters
uint32_t packetsOffered;
uint32_t packetsServed;

void placeUser();
void generateTraffic();
void updateStatus();
void updateChannelStateForAllChannels();

public:
   // Exception classes
   class notInitialized{};

   user();
   user(trafficModel userTrafficModel, bool** channelStateForNeighbours,
        uint32_t* simulationTime);
   void initialize(trafficModel userTrafficModel, bool**
                   channelStateForNeighbours, uint32_t* simulationTime);
   uint16_t getDataRate(uint8_t channelId);
   void transmitData(bool* channelsToBeUsed);
   uint32_t getBitsToSend();
   uint16_t getQueueLength();
   uint16_t getHoQPacketSize();
   uint32_t getHoQTTL();
   uint32_t getPacketsOffered();
   uint32_t getPacketsServed();
};

#endif // USER_H_INCLUDED

Listing A.5: user.h
Bibliography


