

Broadcast Channels with Cooperation: Capacity and Duality for the Semi-Deterministic Case

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Outline

- Channel-source duality for BCs
- Semi-deterministic BC with decoder cooperation
- Source coding dual
- Capacity results
- Summary

Duality - Preface

“There is a curious and provocative duality between the properties of a source with a distortion measure and those of a channel...”

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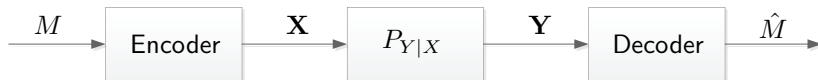
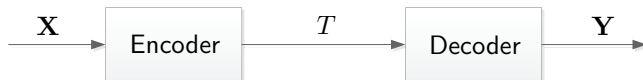
- The solutions are dual - Information measures coincide.
- A formal proof of duality is still absent.
- Solving one problem $\implies$ Valuable insight into solving dual.

Duality - Preface

Point-to-Point Case:

Duality - Preface

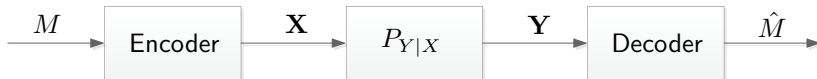
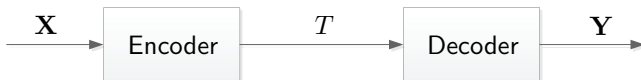
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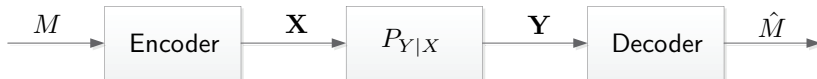
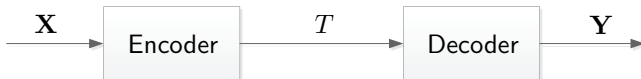
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Duality - Preface

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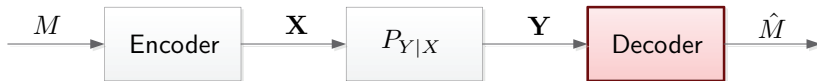
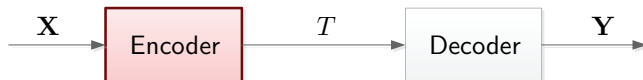
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Fixed-Type Code: $(\mathbf{X}, \mathbf{Y}) \in \mathcal{T}_\epsilon^{(n)}(P_X^* P_{Y|X})$

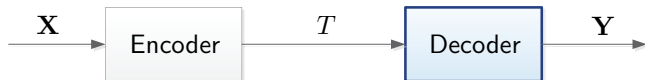
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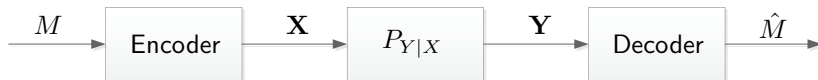
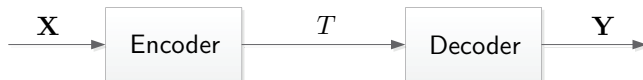
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Point-to-Point Case:

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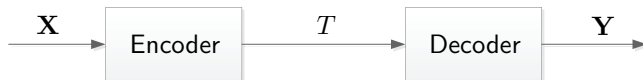


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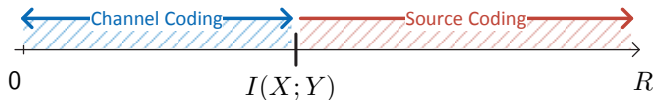
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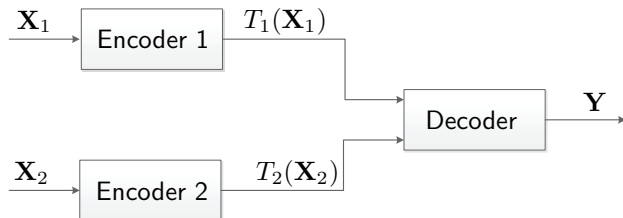
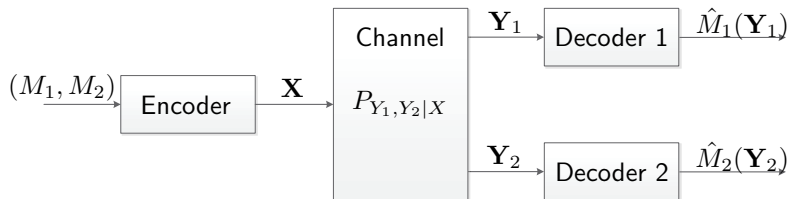
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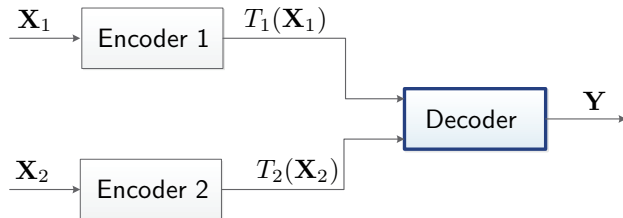
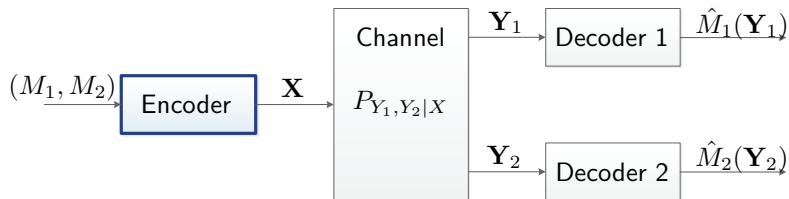
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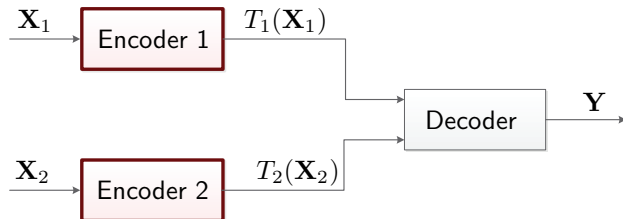
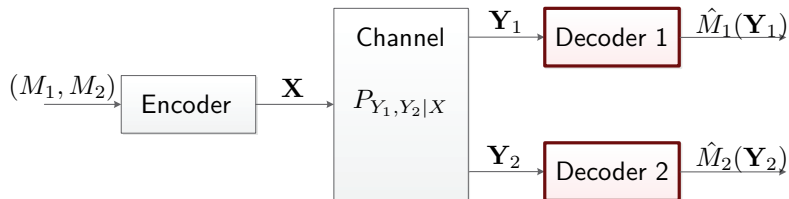
Multi-User Duality - Broadcast Channels



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Probabilistic relations are preserved:

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Broadcast Channel

$$(\mathbf{X}, \mathbf{Y}_1, \mathbf{Y}_2) \in \mathcal{T}_\epsilon^{(n)}\left(P_X^* P_{Y_1, Y_2|X}\right)$$

Dual Source Coding Setting

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e.g., Markov relations, deterministic functions, etc.

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Additional Principles:

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Additional Principles:

- Causal/non-causal encoder CSI $\longleftrightarrow$ Causal/non-causal decoder SI

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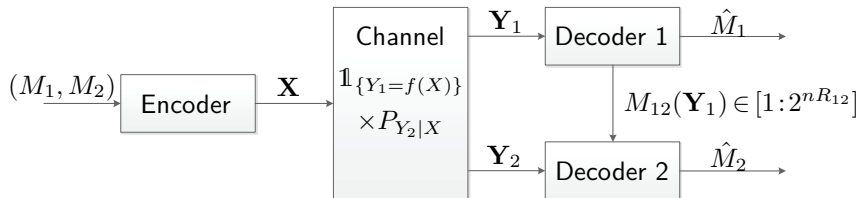
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★ **Result Duality:** Information measures at the corner points coincide! ★

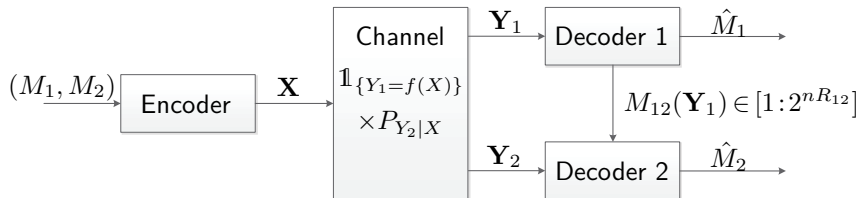
Cooperative SD-BC vs. Cooperative WAK Problem

Without cooperation: [Gelfand vs. Pinsker, 1980] and [Wyner, 1975]&[Ahlsvede-Körner, 1975]



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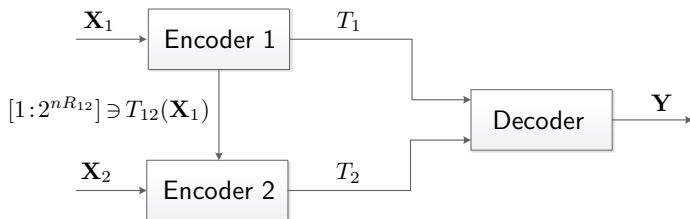
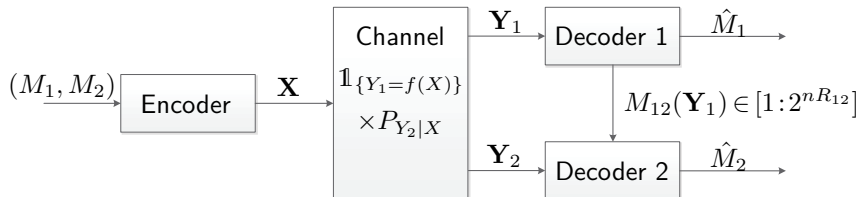


BCs with Cooperation:

- Physically degraded (PD) [Dabora and Servetto, 2006].
- Relay-BC [Liang and Kramer, 2007].
- State-dependent PD [Dikstein, Permuter and Steinberg, 2014].
- Degraded message sets / PD with parallel conf. [Steinberg, 2015].

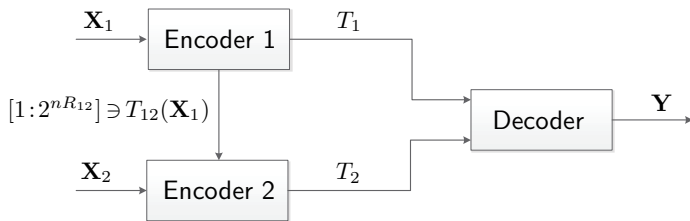
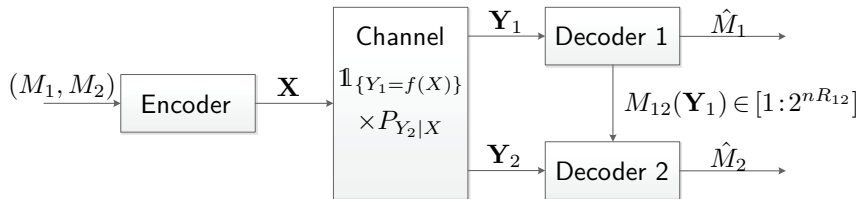
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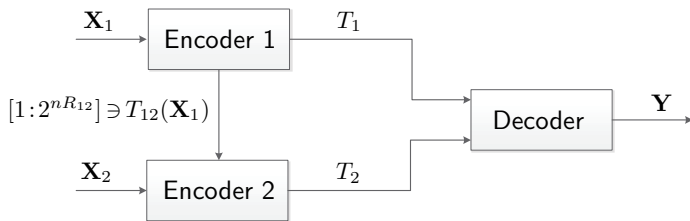
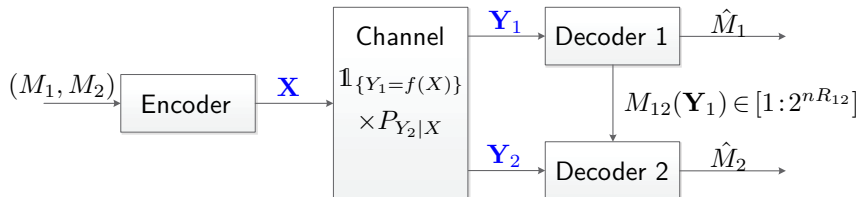
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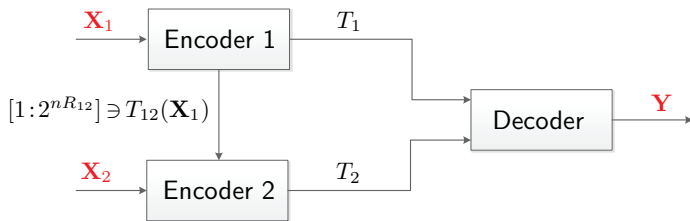
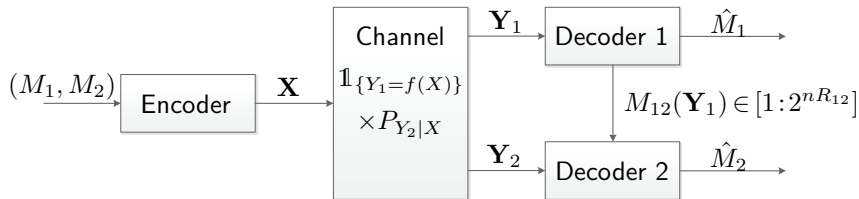
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Theorem (Coordination-Capacity Region)

For a desired coordination PMF $P_{X_2} P_{Y|X_2} \mathbb{1}_{\{X_1=f(Y)\}}$:

$$\mathcal{C}_{WAK} = \bigcup \left\{ \begin{array}{l} R_{12} \geq I(V; X_1) - I(V; X_2) \\ R_1 \geq H(X_1|V, U) \\ R_2 \geq I(U; X_2|V) - I(U; X_1|V) \\ R_1 + R_2 \geq H(X_1|V, U) + I(V, U; X_1, X_2) \end{array} \right\}$$

where the union is over all $P_{X_1, X_2} P_{V|X_1} P_{U|X_2, V} P_{Y|X_1, U, V}$ with $P_{X_2} P_{Y|X_2} \mathbb{1}_{\{X_1=f(Y)\}}$ as marginal.

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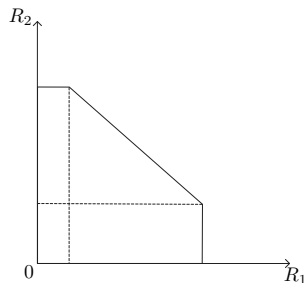
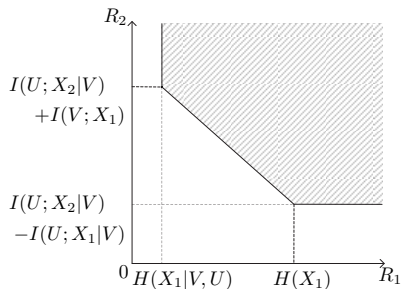
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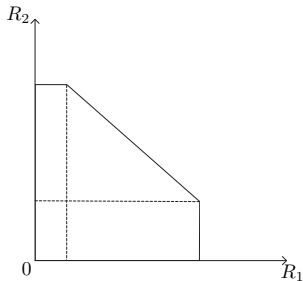
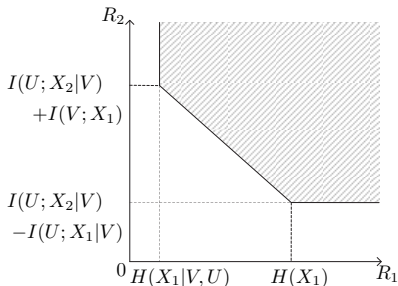
Corner Point Correspondence

For fixed joint PMFs and R_{12} :



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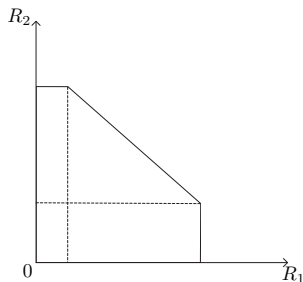
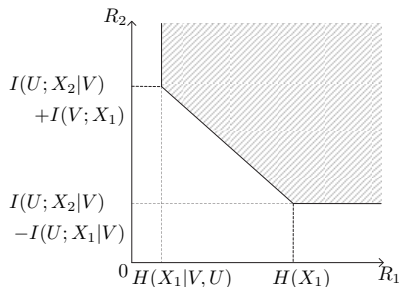
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(R_1, R_2) at Lower Corner Point: $\left(H(X_1), I(U; X_2 V) - I(U; X_1 V) \right)$	(R_1, R_2) at Lower Corner Point:
(R_1, R_2) at Upper Corner Point: $\left(H(X_1 V, U), I(U; X_2 V) + I(V; X_1) \right)$	(R_1, R_2) at Upper Corner Point:

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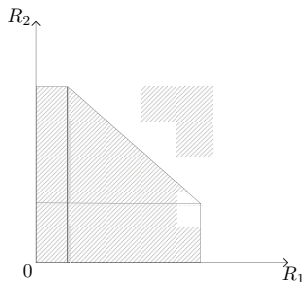
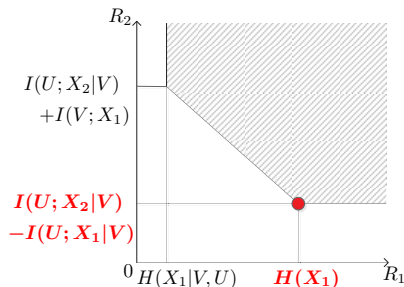
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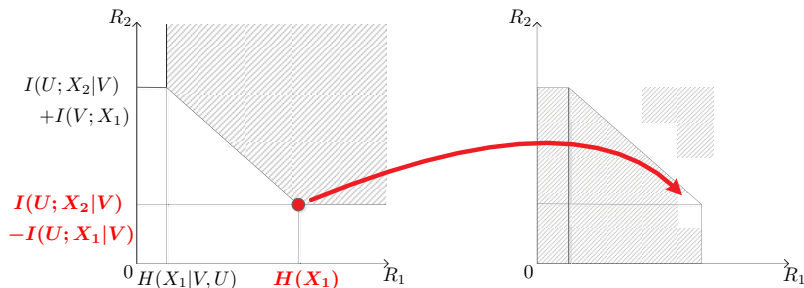
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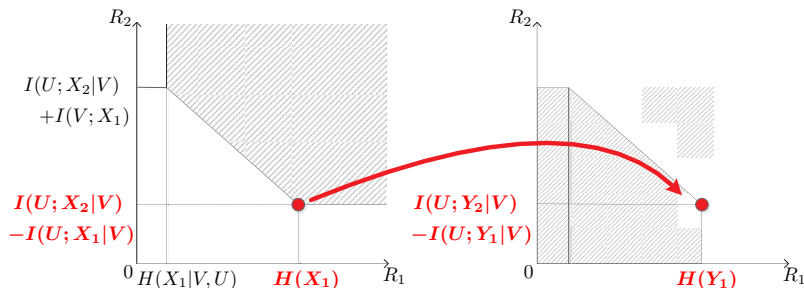
For fixed joint PMFs and R_{12} :



Cooperative WAK Problem	Cooperative Semi-Deterministic BC
$R_{12} = I(V; X_1) - I(V; X_2)$	$R_{12} = I(V; Y_1) - I(V; Y_2)$
(R_1, R_2) at Lower Corner Point: $(H(X_1 V,U), I(U;X_2 V) - I(U;X_1 V))$	(R_1, R_2) at Lower Corner Point:
(R_1, R_2) at Upper Corner Point: $(H(X_1 V,U), I(U;X_2 V) + I(V; X_1))$	(R_1, R_2) at Upper Corner Point:

Corner Point Correspondence

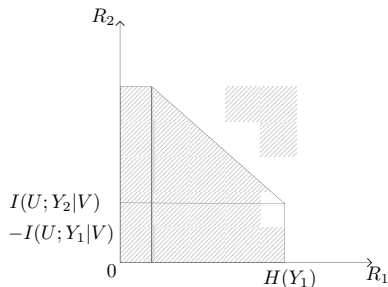
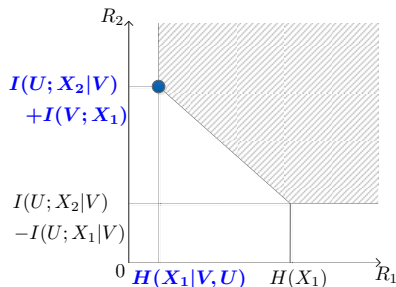
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(R_1, R_2) at Lower Corner Point: $(H(X_1), I(U; X_2 V) - I(U; X_1 V))$	(R_1, R_2) at Lower Corner Point: $(H(Y_1), I(U; Y_2 V) - I(U; Y_1 V))$
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Corner Point Correspondence

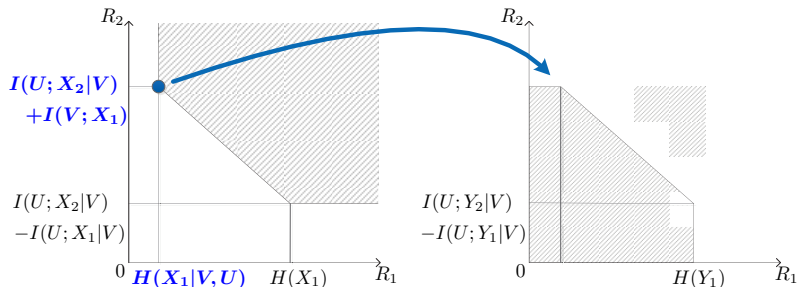
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$R_{12} = I(V; X_1) - I(V; X_2)$	$R_{12} = I(V; Y_1) - I(V; Y_2)$
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(R_1, R_2) at Upper Corner Point: $(H(X_1 V, U), I(U; X_2 V) + I(V; X_1))$	(R_1, R_2) at Upper Corner Point:

Corner Point Correspondence

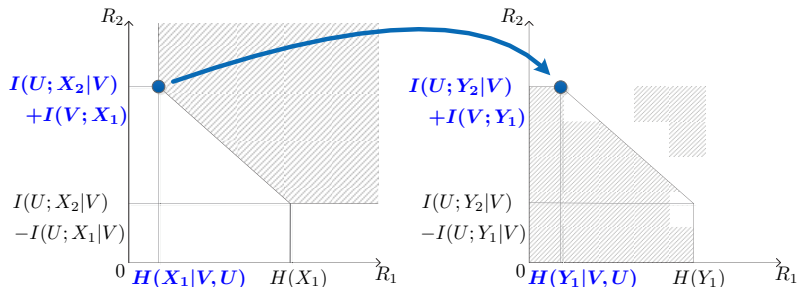
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$R_{12} = I(V; X_1) - I(V; X_2)$	$R_{12} = I(V; Y_1) - I(V; Y_2)$
(R_1, R_2) at Lower Corner Point: $(H(X_1), I(U; X_2 V) - I(U; X_1 V))$	(R_1, R_2) at Lower Corner Point: $(H(Y_1), I(U; Y_2 V) - I(U; Y_1 V))$
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Corner Point Correspondence

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Semi-Deterministic BC with Cooperation - Solution

Theorem (Capacity Region)

The capacity region is:

$$\mathcal{C}_{BC} = \bigcup \left\{ \begin{array}{l} R_{12} \geq I(V; Y_1) - I(V; Y_2) \\ R_1 \leq H(Y_1) \\ R_2 \leq I(V, U; Y_2) + R_{12} \\ R_1 + R_2 \leq H(Y_1|V, U) + I(U; Y_2|V) + I(V; Y_1) \end{array} \right\}$$

where the union is over all $P_{V,U,Y_1,X} P_{Y_2|X} \mathbb{1}_{\{Y_1=f(X)\}}$.

Semi-Deterministic BC with Cooperation - Solution

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- Later: Achievability and converse proofs for an alternative region.

Semi-Deterministic BC with Cooperation - Solution

Theorem (Capacity Region)

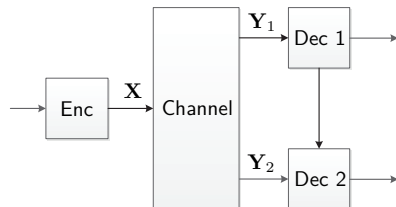
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where the union is over all $P_{V,U,Y_1,X} P_{Y_2|X} \mathbb{1}_{\{Y_1=f(X)\}}$.

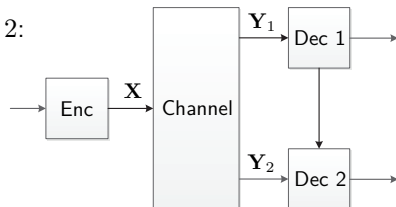
- Later: Achievability and converse proofs for an alternative region.
- $\mathcal{C}_{BC}$ emphasizes duality.

Cooperative Semi-Deterministic BC - Achievability Outline



Cooperative Semi-Deterministic BC - Achievability Outline

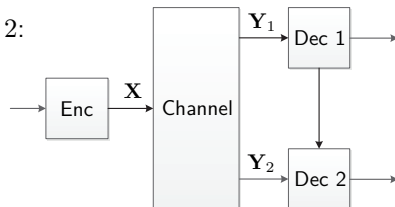
- **Rate Splitting:** $M_j = (M_{j0}, M_{jj}), j = 1, 2$:



Cooperative Semi-Deterministic BC - Achievability Outline

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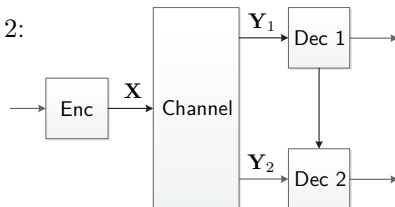
- ▶ (M_{10}, M_{20}) - Common message;



Cooperative Semi-Deterministic BC - Achievability Outline

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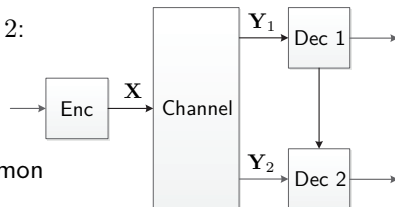


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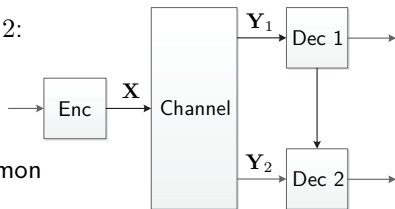


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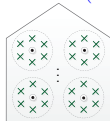
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y_1 -codebook $\sim P_{Y_1|V}^n$



$\times \mathbf{v}(m_{10}, m_{20})$



u -codebook $\sim P_{U|V}^n$

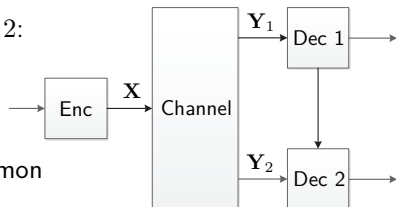
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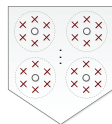
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Cooperative Semi-Deterministic BC - Achievability Outline

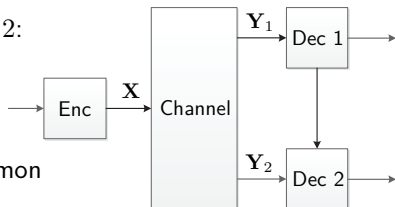
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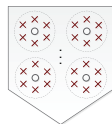
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1. Partition common message c.b. into $2^{nR_{12}}$ bins.



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Cooperative Semi-Deterministic BC - Achievability Outline

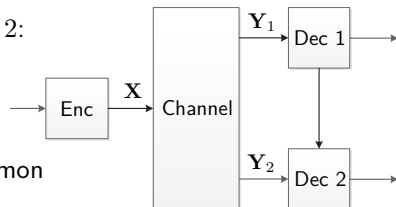
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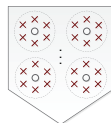
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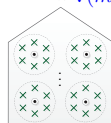
1. Partition common message c.b. into $2^{nR_{12}}$ bins.
2. Convey bin number via link.



y_1 -codebook $\sim P_{Y_1|V}^n$



$\times \mathbf{v}(m_{10}, m_{20})$



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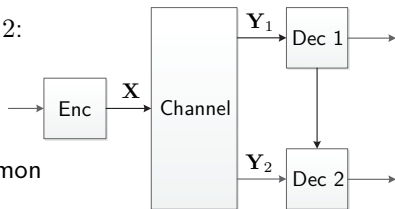
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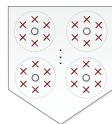
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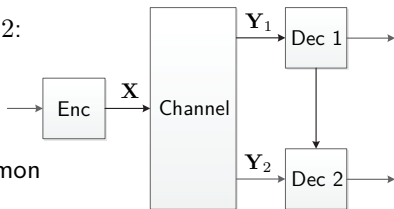
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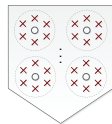
- **Cooperation:**

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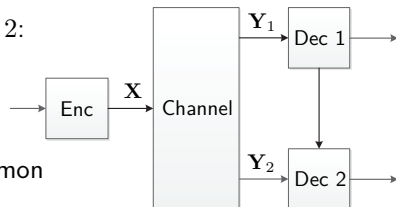
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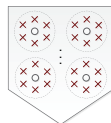
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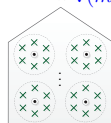
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 $\Rightarrow$ More channel resources for private message.



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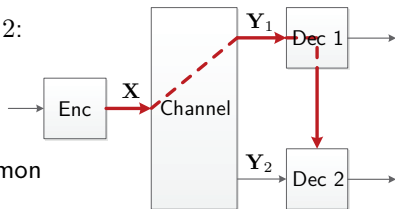
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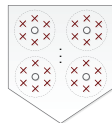
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Summary

- Channel-source duality for BCs.

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Summary

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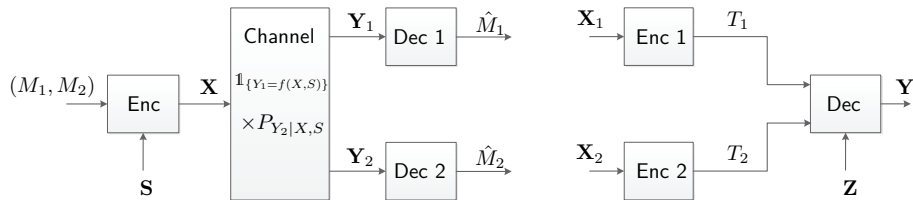
Thank you!

Multi-User Duality - Additional Examples

State-Dependant Semi-Deterministic BC vs. Dual:

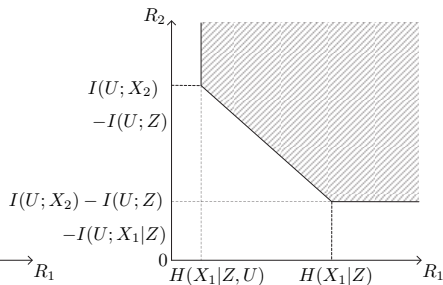
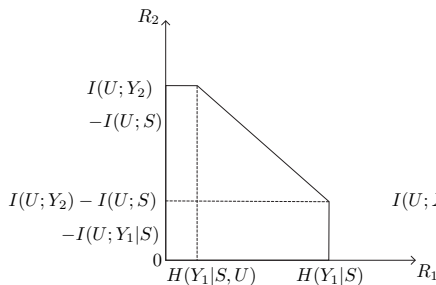
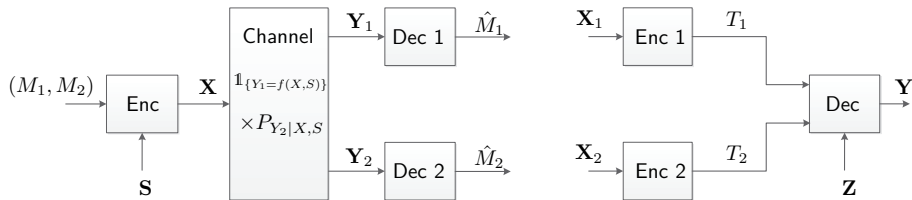
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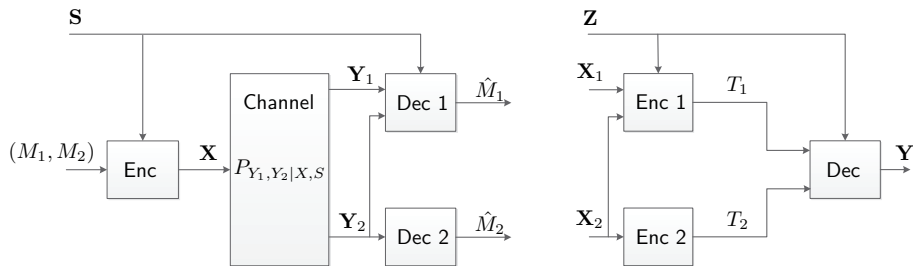


Multi-User Duality - Additional Examples

State-Dependant Output-Degraded BC vs. Dual:

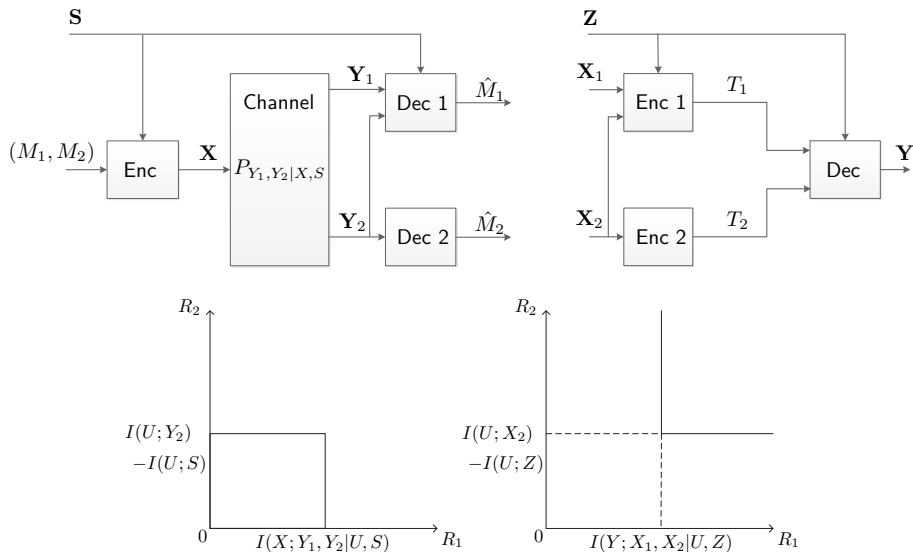
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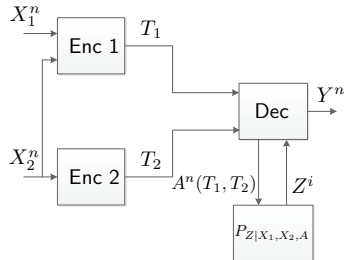
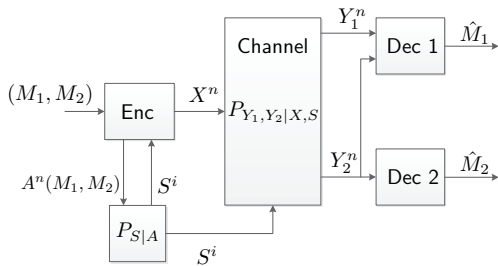


Multi-User Duality - Additional Examples

Action-Dependant Output-Degraded BC vs. Dual:

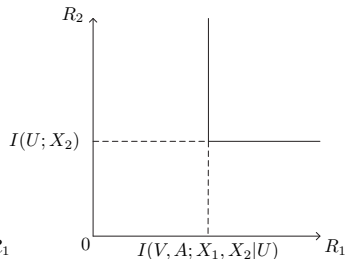
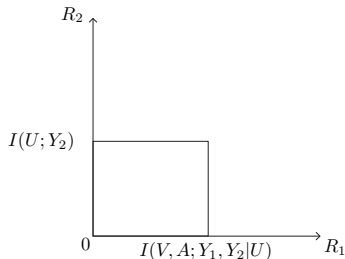
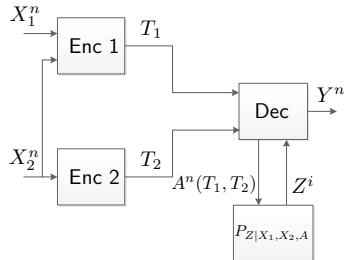
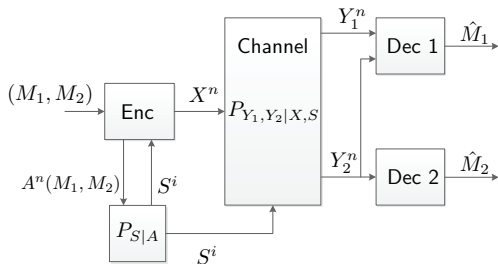
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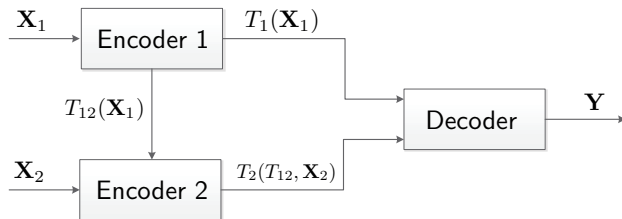


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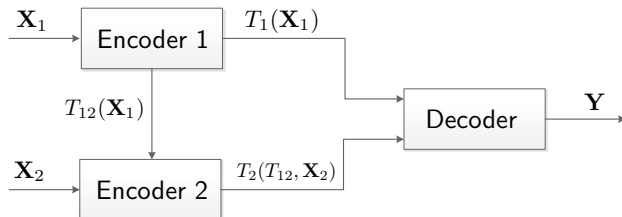
Action-Dependant Output-Degraded BC vs. Dual:



AK Problem with Cooperation - Achievability Outline

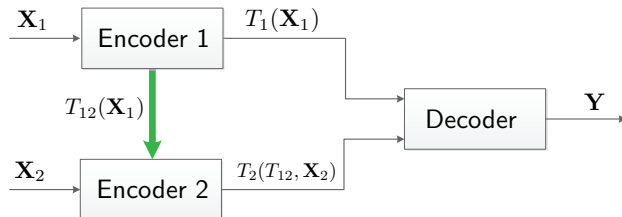


AK Problem with Cooperation - Achievability Outline



Rate	Corner Point 1	Corner Point 2
R_{12}	$I(V; X_1) - I(V; X_2)$	$I(V; X_1) - I(V; X_2)$
R_1	$H(X_1)$	$H(X_1 V, U)$
R_2	$I(U; X_2 V) - I(U; X_1 V)$	$I(U; X_2 V) + I(V; X_1)$

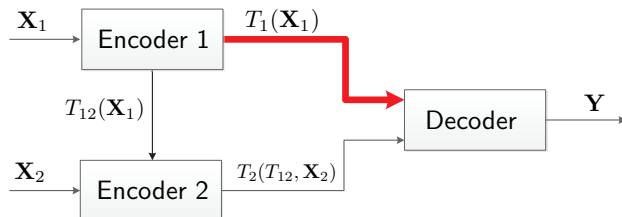
AK Problem with Cooperation - Achievability Outline



Rate	Corner Point 1	Corner Point 2
R_{12}	$I(V; \mathbf{X}_1) - I(V; \mathbf{X}_2)$	$I(V; \mathbf{X}_1) - I(V; \mathbf{X}_2)$
R_1	$H(\mathbf{X}_1)$	$H(\mathbf{X}_1 V, U)$
R_2	$I(U; \mathbf{X}_2 V) - I(U; \mathbf{X}_1 V)$	$I(U; \mathbf{X}_2 V) + I(V; \mathbf{X}_1)$

- **Cooperation:** Wyner-Ziv scheme to convey $\mathbf{V}$ via cooperation link.

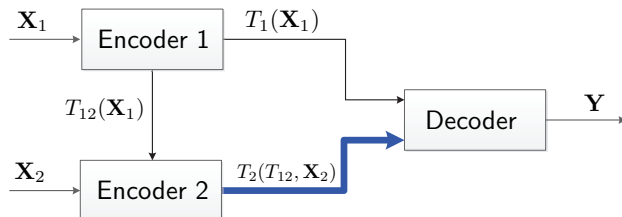
AK Problem with Cooperation - Achievability Outline



Rate	Corner Point 1	Corner Point 2
R_{12}	$I(V; X_1) - I(V; X_2)$	$I(V; X_1) - I(V; X_2)$
R_1	$H(X_1)$	$H(X_1 V, U)$
R_2	$I(U; X_2 V) - I(U; X_1 V)$	$I(U; X_2 V) + I(V; X_1)$

- **Cooperation:** Wyner-Ziv scheme to convey $\mathbf{V}$ via cooperation link.
- **Corner Point 1:** $\mathbf{V}$ is transmitted to dec. by Enc. 1 within $\mathbf{X}_1$.

AK Problem with Cooperation - Achievability Outline



Rate	Corner Point 1	Corner Point 2
R_{12}	$I(V; X_1) - I(V; X_2)$	$I(V; X_1) - I(V; X_2)$
R_1	$H(X_1)$	$H(X_1 V, U)$
R_2	$I(U; X_2 V) - I(U; X_1 V)$	$I(U; X_2 V) + I(V; X_1)$

- **Cooperation:** Wyner-Ziv scheme to convey $\mathbf{V}$ via cooperation link.
- **Corner Point 1:** $\mathbf{V}$ is transmitted to dec. by Enc. 1 within $\mathbf{X}_1$.
- **Corner Point 2:** $\mathbf{V}$ is explicitly transmitted to dec. by Enc. 2.

AK Problem with Cooperation - Proof Outline

Converse:

AK Problem with Cooperation - Proof Outline

Converse:

- Standard techniques while defining

$$V_i = (T_{12}, X_1^{n \setminus i}, X_{2,i+1}^n),$$
$$U_i = T_2,$$

for every $1 \leq i \leq n$.

AK Problem with Cooperation - Proof Outline

Converse:

- Standard techniques while defining

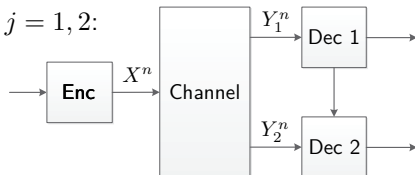
$$\begin{aligned}V_i &= (T_{12}, X_1^{n \setminus i}, X_{2,i+1}^n), \\U_i &= T_2,\end{aligned}$$

for every $1 \leq i \leq n$.

- Time mixing properties.

Semi-Deterministic BC with Cooperation - Achievability Outline

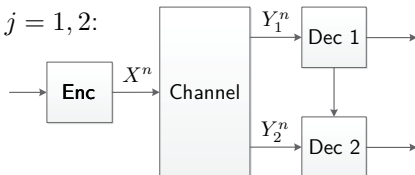
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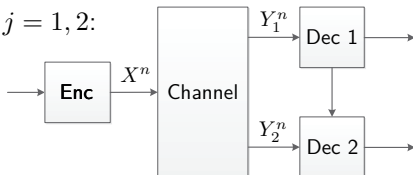
- ▶ (M_{10}, M_{20}) - Public message;



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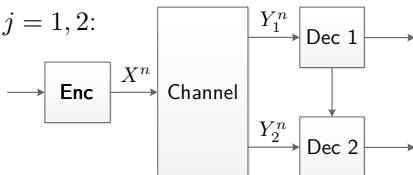
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Semi-Deterministic BC with Cooperation - Achievability Outline

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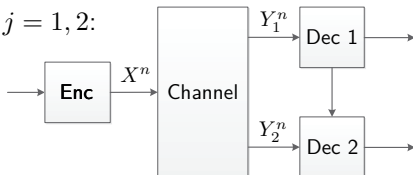


- **Codebook Structure:** Marton:

Semi-Deterministic BC with Cooperation - Achievability Outline

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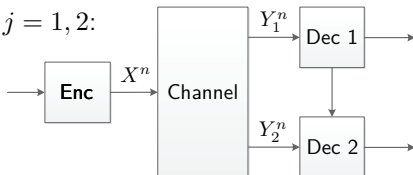
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Semi-Deterministic BC with Cooperation - Achievability Outline

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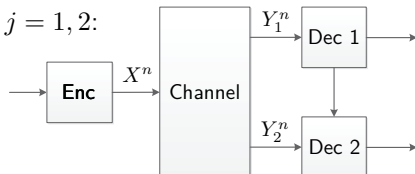
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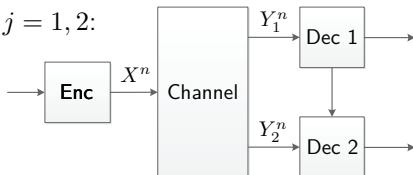
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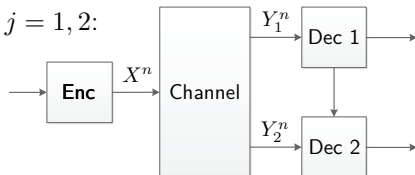
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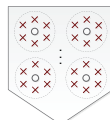
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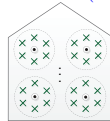
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$$y_1\text{-codebook} \sim P_{Y_1|V}^n$$



$$\times \mathbf{v}(m_{10}, m_{20})$$

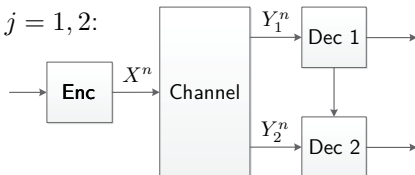


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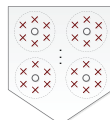
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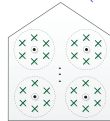
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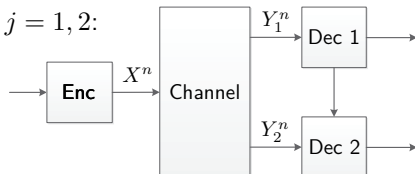
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- **Decoding:** Joint typicality decoding.

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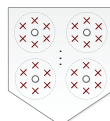
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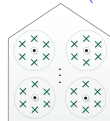
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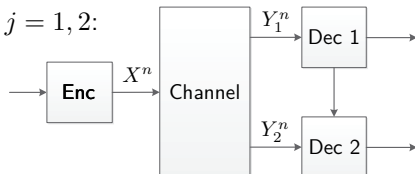
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- **Decoding:** Joint typicality decoding.
- **Cooperation:** Bin number of $V^n - 2^{nR_{12}}$ bins.

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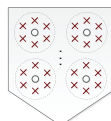
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- **Decoding:** Joint typicality decoding.
- **Cooperation:** Bin number of V^n - $2^{nR_{12}}$ bins.
- **Gain:** Dec. 2 reduces search space of $\mathbf{V}$ by R_{12} .

Semi-Deterministic BC with Cooperation - Converse Outline

Via telescoping identities:

Semi-Deterministic BC with Cooperation - Converse Outline

Via telescoping identities:

1. Auxiliaries: $V_i = (M_{12}, Y_1^{i-1}, Y_{2,i+1}^n)$ and $U_i = M_2$.

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★ Replaces 2 uses of Csiszár Sum Identity.